

Learning to Operate Distribution Grids with Extreme Penetration of Renewables

Di Shi

Associate Professor

dshi@nmsu.edu

Klipsch School of Electrical and Computer Engineering
New Mexico State University

August 2, 2023

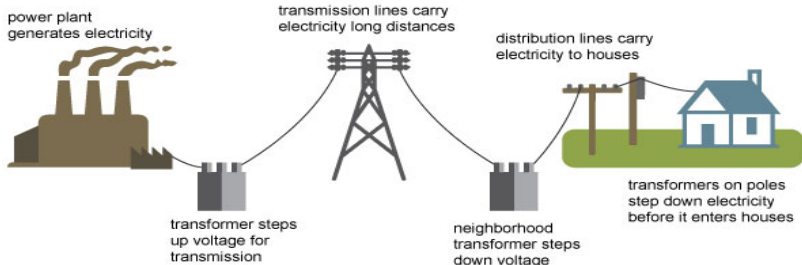
Outline

- Operational challenges caused by DERs
- How AI & ML can help?
- Several case studies
- Research needs

Transformation of Power Systems

Large, centralized, unidirectional

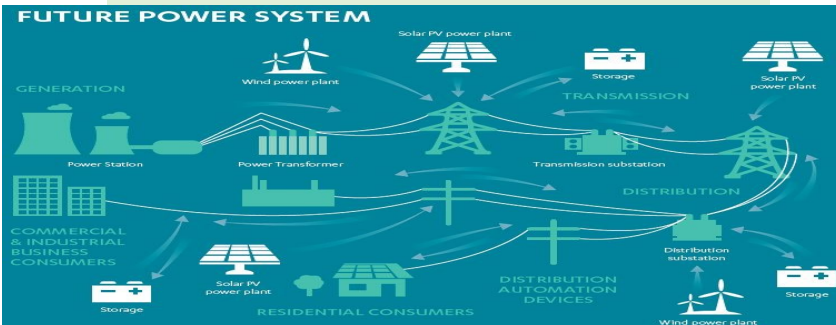
Electricity generation, transmission, and distribution



Source: Adapted from National Energy Education Development Project (public domain)

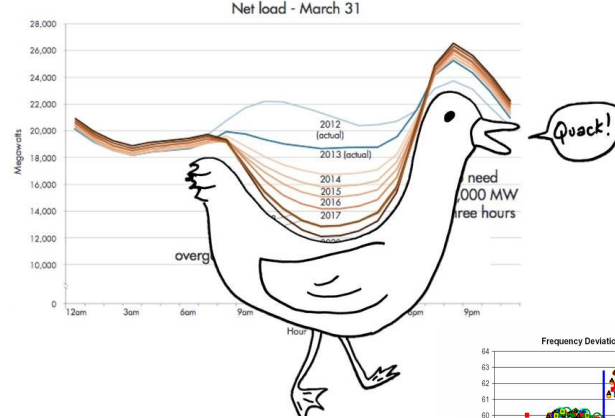
flow of energy

Clean, localized, multi-directional



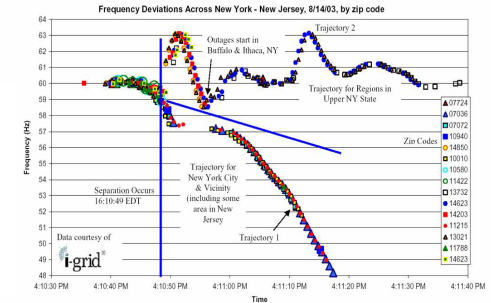
Source: Scottish energy news

flow of energy



The well-known Californian duck curves showing abrupt changes in system net load

System fast dynamic responses under extreme events – the August 2003 North American Blackout



Grand challenges: the increasing dynamics and stochastics in the modern power grid, making it difficult to design and implement optimal control actions in real time

- Increased penetration of IBRs, ESS, etc.
- Demand response
- New market behavior
- Experience/model-based control suggestions using limited studied cases are either conservative or risky for operation

Challenges - cont.

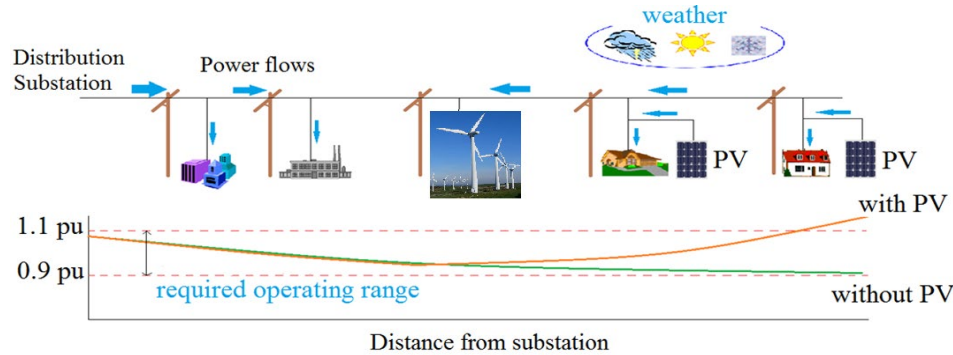
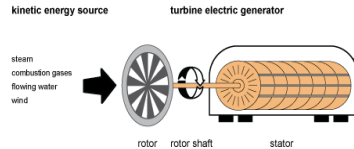
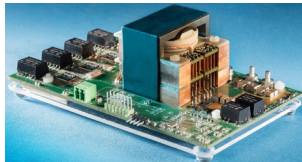


Fig. 1 Voltages become more difficult to control

- Bi-directional power flow causes grid voltage instability
- Over-voltage and fluctuation
- PV/Wind are highly intermittent
- Power quality issues
- Equipment failure
- Challenging for grid operator



Source: U.S. Energy Information Administration

- Time constants of synchronous machines are in seconds or longer
- Time constants of power electronic converters are in the order of milliseconds or shorter
- System dynamics are becoming faster and faster as the penetration DER increases
- Faster decision support is much needed

converter-interfaced units

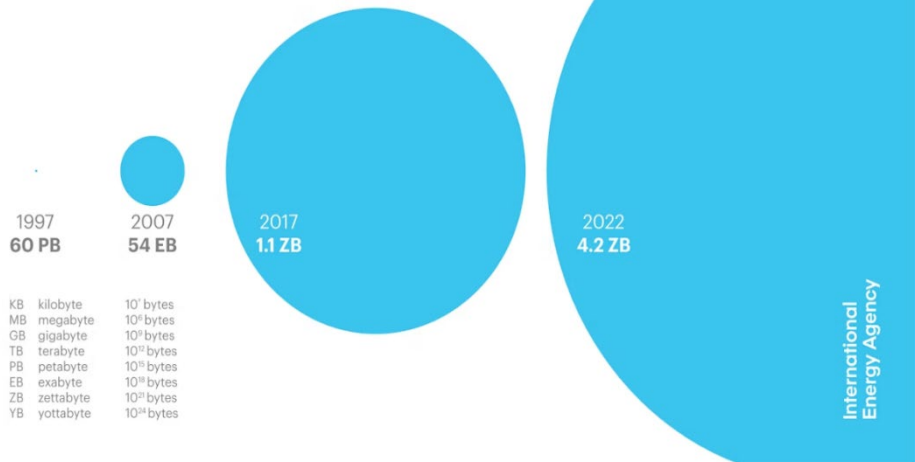
rotating generation units

----- milliseconds ----- seconds ----- minutes ----- hours ----- days -----

Fig. 2 Time constants of IBRs and turbine electric generators

Opportunities

Global annual internet traffic
Tracking Clean Energy Progress



KB kilobyte 10³ bytes
 MB megabyte 10⁶ bytes
 GB gigabyte 10⁹ bytes
 TB terabyte 10¹² bytes
 PB petabyte 10¹⁵ bytes
 EB exabyte 10¹⁸ bytes
 ZB zettabyte 10²¹ bytes
 YB yottabyte 10²⁴ bytes



Source: phoenix

Current state of digitalization of the energy value chain

	Generators	Transmission	Distribution	Utilities	Prosumers
Current state	Early stage	Advanced	Early stage	Pilot projects	Pilot projects
Next steps	Modernizing power plants, automating grid controls	Advanced algorithms for optimized operations	Full automation for grid stability, optimization	Fast acting aggregated demand response	Virtual power plants, aggregated balancing

Source: Bloomberg New Energy Finance

Increasing DERs
increasing communication
increasing controllable devices
increasing measurements

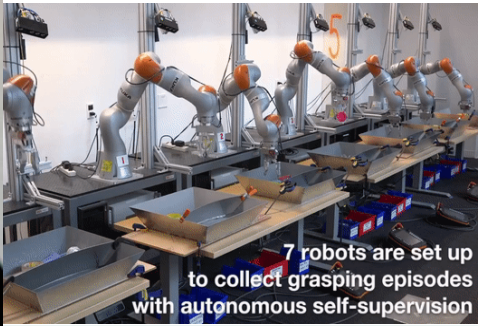
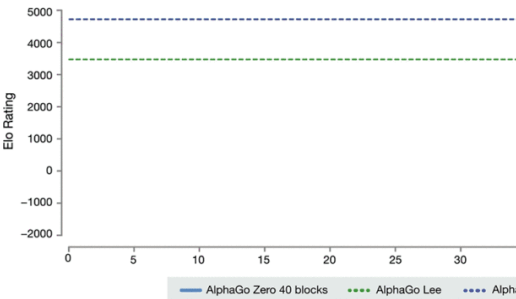


The ubiquity of data, connectivity and devices



Digital technologies are set to make energy system around the world more connected, intelligent, efficient, reliable, and sustainable.

Recent Advancement in AI & ML



7 robots are set up to collect grasping episodes with autonomous self-supervision

Oct.2017, 《Nature》, AlphaGo Zero vs AlphaGo Lee with a score of 100:0, 4 days' training by **learning from scratch**.

Robot arms learn to pick things up, handle soft objects in different ways, with **no human interference**.

Credit of pics: Google

Core technology: Deep learning + Reinforcement learning

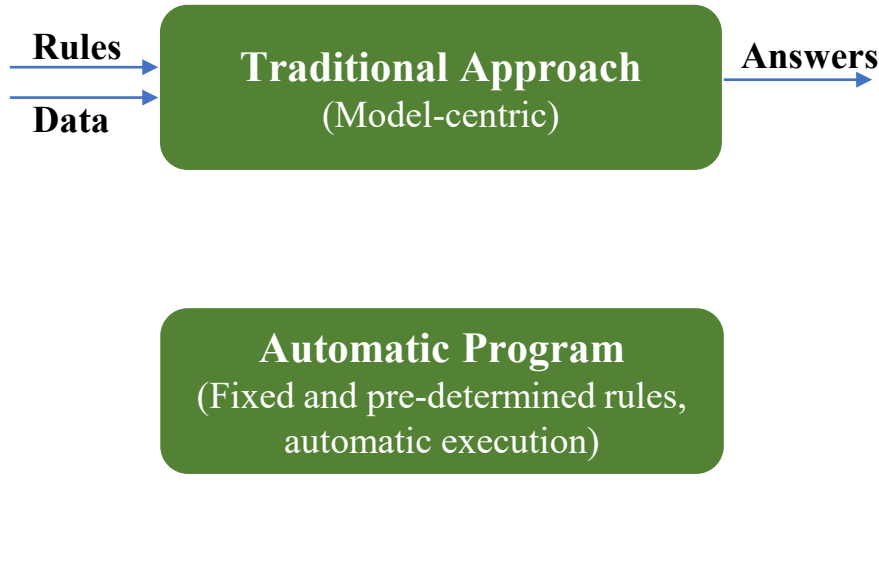
Timeline of AI milestones:

- 2010: Deep Mind Founded
- 2014: Google acquired Deep Mind
- 2015-2017: 2015, AlphaGoFan (5:0 vs Hui Fan); 2016, AlphaGoLee (4:1 vs Lee Sedol); 2017, AlphaGoMaster (3:0 Jie Ke)
- 2018: 2017, AlphaZero; 2018, AlphaStar
- 2019: 2019, MuZero

Hints: Power systems have lots of data, but much less event data, and very few of them are labeled. How about ML + Classical power system analysis and computation approaches?

Self learning/self-supervised learning?

AI/ML vs Traditional Approaches



Flexibility: offer greater flexibility and adaptability in dealing with complex and non-linear control problems. Unlike traditional control methods that often rely on well-defined mathematical models, AI/ML can learn control strategies directly from data, making it more capable of handling systems with uncertainties and varying dynamics effectively.

Shift in Methodology - cont.

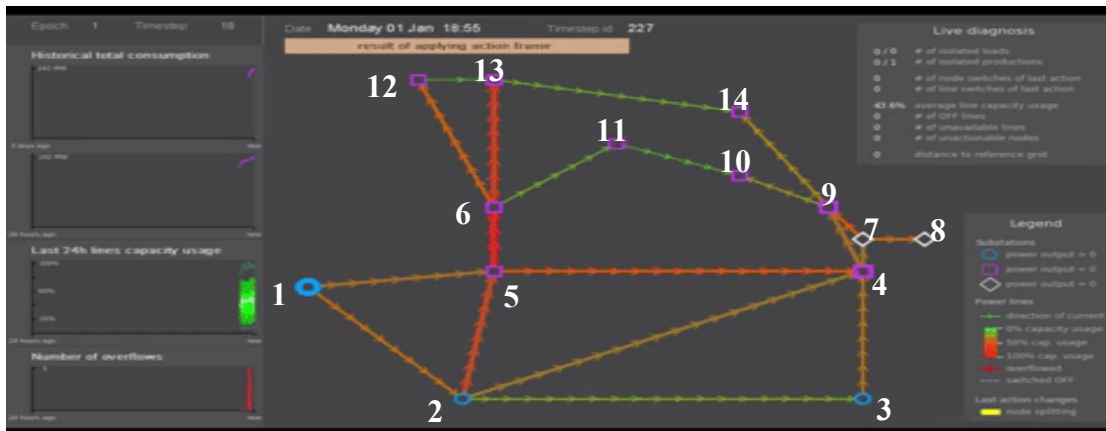
Continuous learning: AI/ML algorithms can continuously learn and refine their control policies as they interact with the system. This dynamic learning ability enables them to adapt to changing conditions or evolving system requirements over time, ensuring superior performance in dynamic scenarios.

No need for explicit models: Unlike traditional control methods that frequently require a priori knowledge of the system's dynamics and model parameters, AI/ML methods do not depend on explicit models. Instead, they can learn control policies directly from experience, making them well-suited for systems with unknown or difficult-to-model dynamics. This characteristic provides AI/ML with a notable advantage in addressing real-world problems where precise system models may not be readily available.

Lack of approaches to synthesize **massive number of measurements** from thousands of smart sensors from **wide areas** to make **timely decisions** on how to **best allocate** energy resources.

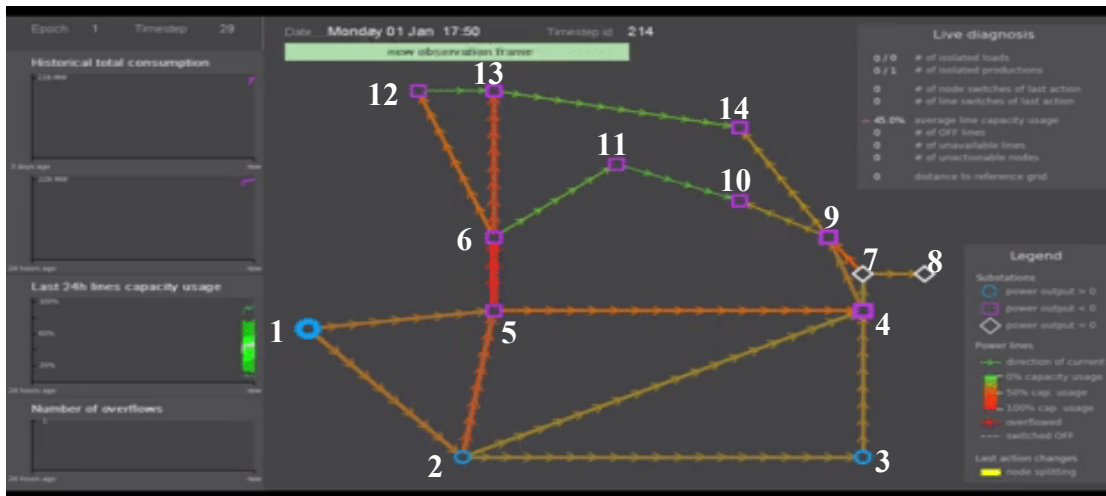
Do Nothing Agent

- Line 5-6, 4-5, 4-7, 4-9 are forced to switch off continuously, leading to grid failure.



Trained Agent

- switch-off line 10-11, line 5-6 overflow alleviated
- switch-off line 13-14, line 2-5 overflow alleviated
- Successfully goes through the system peak-load time



Series of power system AI competition **L2RPN**: <https://l2rpn.chal.earn.org/>

Problem Formulation and Complexity

Optimization problem:

- Input Data

Obj. Min/Max (Objective)

- s.t. Constraint₁
 Constraint₂
 ...
 Constraint_i
 Constraint_j
 ...

Single-timestep Constraints

Multi-timestep Constraints

- Decision Variables

Goal: Maximize **ATCs** of the entire system over all **time-steps** and **scenarios**

Transfer Capability at a Time Step:

Step_single_line_margin = $\text{Max}(0, 1 - \text{Flow}/\text{ThermalLimit})^{**2}$
 Step_single_line_score = $1 - (1 - \text{Step_single_line_margin})^{**2}$
 Step_total_score = $\text{Sum}(\text{Step_single_line_score})$ over lines

Transfer Capability for one Scenario:

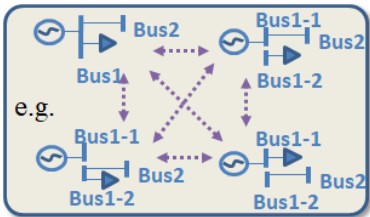
Scenario_Score = 0, if Game Over (when certain constraints are violated)
 = $\text{Sum}(\text{Step_total_score})$ over all timesteps, otherwise

Transfer Capability of All Scenarios:

Total_score = $\text{Sum}(\text{Scenario_Score})$ over all scenarios

Action Space/Decision Variables:

Node Splitting/Rejoining



Line Switching On/Off (20 lines)



Combination of Node Splitting/Rejoining and Line Switching on/off

*Note: A Maximum of 1 action at the node + 1 action at a line per timestep is allowed

Huge number of combination in a single timestep!

Problem Complexity:

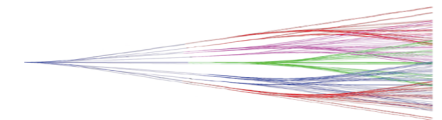
Total number of possible trajectories:

3120⁵¹⁸⁴

Action space for each time step

Total time steps of 1 scenario (18 days with 5 mins intervals)

Scenario 1st day 0:00 0:10 2nd day 0:00 0:10 nth day 0:00 0:10



Comparison of Solutions

Conventional Approach

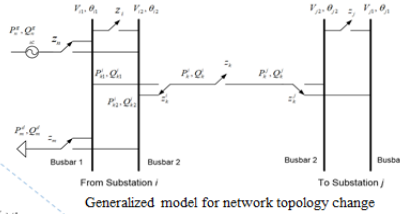
Vs.

Machine Learning based Approach

The objective is to maximize the system available transmission capacity, an auxiliary variable λ_k is introduced.

Objective Fun.: $\max \sum_{k \in \mathcal{B}_k} \lambda_k$

Constraints:



$$\begin{aligned} & -M^p(1-z_k) \leq \theta_{n_1} - \theta_{n_2} \leq M^p(1-z_k), \forall i \\ & -M^p(1-z_k) \leq V_{n_1} - V_{n_2} \leq M^p(1-z_k), \forall i \\ & P_{n_1}^p \leq (1-z_k)P_{n_1}^{p,0}, \forall n \in \mathcal{G} / \mathcal{G}_d \\ & P_{n_1}^p \geq z_k P_{n_1}^{p,0}, \forall n \in \mathcal{G} / \mathcal{G}_d \\ & -(1-z_k)M^p \leq P_{n_1}^p \leq (1-z_k)M^p, \forall n \in \mathcal{G}_d \\ & -z_k M^p \leq P_{n_1}^p \leq z_k M^p, \forall n \in \mathcal{G}_d \\ & -(1-z_k)M^q \leq Q_{n_1}^q \leq (1-z_k)M^q, \forall n \in \mathcal{G} \\ & -z_k M^q \leq Q_{n_1}^q \leq z_k M^q, \forall n \in \mathcal{G} \end{aligned}$$

$$\begin{aligned} & P_k^{p,0} = P_{n_1}^{p,0} + P_{n_2}^{p,0}, \forall k \\ & Q_k^{p,0} = Q_{n_1}^{p,0} + Q_{n_2}^{p,0}, \forall k \\ & -z_k^{p,0} M^p \leq V_{n_1}^{p,0} - V_{n_2}^{p,0} \leq z_k^{p,0} M^p, \forall k \\ & -(1-z_k^{p,0}) M^p \leq V_{n_1}^{p,0} - V_{n_2}^{p,0} \leq (1-z_k^{p,0}) M^p, \forall k \\ & -z_k^{p,0} M^q \leq \theta_{n_1}^{p,0} - \theta_{n_2}^{p,0} \leq z_k^{p,0} M^q, \forall k \\ & -(1-z_k^{p,0}) M^q \leq \theta_{n_1}^{p,0} - \theta_{n_2}^{p,0} \leq (1-z_k^{p,0}) M^q, \forall k \\ & -(1-z_k) M^p \leq g_n (V_n^p)^2 - V_n^p V_n^q (g_n \cos \theta_n^p - \theta_n^q) \\ & -z_k M^p \leq -V_n^p (b_n \cos \theta_n^p - \theta_n^q) - V_n^q (g_n \sin \theta_n^p - \theta_n^q) \\ & -b_n \cos(\theta_n^p - \theta_n^q) - Q_n \leq (1-z_k) M^p, \forall k \\ & -(1-z_k) M^q \leq g_n (V_n^q)^2 - V_n^p V_n^q (g_n \cos \theta_n^p - \theta_n^q) \\ & + b_n \sin(\theta_n^p - \theta_n^q) - P_n \leq (1-z_k) M^q, \forall k \\ & -(1-z_k) M^q \leq -V_n^p (b_n \cos \theta_n^p - \theta_n^q) - V_n^q (g_n \sin \theta_n^p - \theta_n^q) \\ & -b_n \cos(\theta_n^p - \theta_n^q) - Q_n \leq (1-z_k) M^q, \forall k \end{aligned}$$

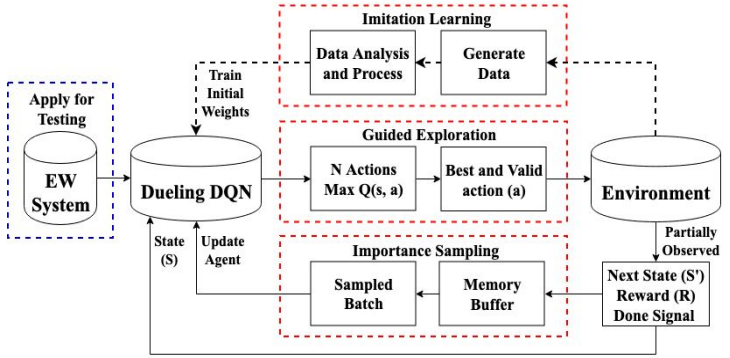
$$\begin{aligned} & \sum_{n \in \mathcal{B}_k} P_{n_1}^p - \sum_{n \in \mathcal{B}_k} P_{n_2}^p - \sum_{n \in \mathcal{B}_k} P_{n_1}^q + \sum_{n \in \mathcal{B}_k} P_{n_2}^q = 0, \forall i \\ & \sum_{n \in \mathcal{B}_k} Q_{n_1}^q - \sum_{n \in \mathcal{B}_k} Q_{n_2}^q - \sum_{n \in \mathcal{B}_k} P_{n_1}^p + \sum_{n \in \mathcal{B}_k} P_{n_2}^p = 0, \forall i \\ & \sum_{n \in \mathcal{B}_k} P_{n_1}^p - \sum_{n \in \mathcal{B}_k} P_{n_2}^p - \sum_{n \in \mathcal{B}_k} P_{n_1}^q + \sum_{n \in \mathcal{B}_k} P_{n_2}^q = 0, \forall i \\ & \sum_{n \in \mathcal{B}_k} Q_{n_1}^q - \sum_{n \in \mathcal{B}_k} Q_{n_2}^q - \sum_{n \in \mathcal{B}_k} P_{n_1}^p + \sum_{n \in \mathcal{B}_k} P_{n_2}^p = 0, \forall i \\ & z_k + z_k' \leq 1, \forall i, k \in f(i) \cup t(i) \\ & \sum_{k \in \mathcal{B}_k} (1-z_k) \leq 1 \\ & \sum_{k \in \mathcal{B}_k} (1-z_k) \leq 1 \end{aligned}$$

$$\begin{aligned} & -z_k^{p,0} M^p \leq P_{n_1}^{p,0} \leq (1-z_k^{p,0}) M^p, \forall k \\ & -z_k^{p,0} M^q \leq P_{n_1}^{q,0} \leq z_k^{p,0} M^q, \forall k \\ & -(1-z_k^{p,0}) M^q \leq Q_{n_1}^{q,0} \leq (1-z_k^{p,0}) M^q, \forall k \\ & -z_k^{p,0} M^q \leq Q_{n_1}^{p,0} \leq z_k^{p,0} M^q, \forall k \\ & -z_k M^p \leq P_{n_1}^p \leq z_k M^p, \forall k \\ & -z_k M^q \leq Q_{n_1}^q \leq z_k M^q, \forall k \\ & z_k^{p,0} \leq z_k, \forall k \end{aligned}$$

Constraints on bus voltage, generators, lines, and loads at a substation

Constraints on real and reactive power, volt, power flow, apparent power of a line

Constraints on power balance at a bus bar, number of bus splitting, and number of line switching.



Test trained models on 200 unseen chronics, each has 5184 continuous steps

Agent	Game Over	Mean Score All	Mean Score w/o Dead
EW $\theta = 0.90$	17	75491.63	82504.51
EW $\theta = 0.91$	15	76345.36	82535.52
EW $\theta = 0.92$	15	76353.23	82544.03
EW $\theta = 0.93$	12	77725.94	82687.17
EW $\theta = 0.94$	15	76386.96	82580.49
EW $\theta = 0.95$	24	72256.32	82109.45

Autonomously controlling the grid for weeks!!

Solution Time: Hours or tens of mins (with dc approximation)



Solution Time: Sub-second

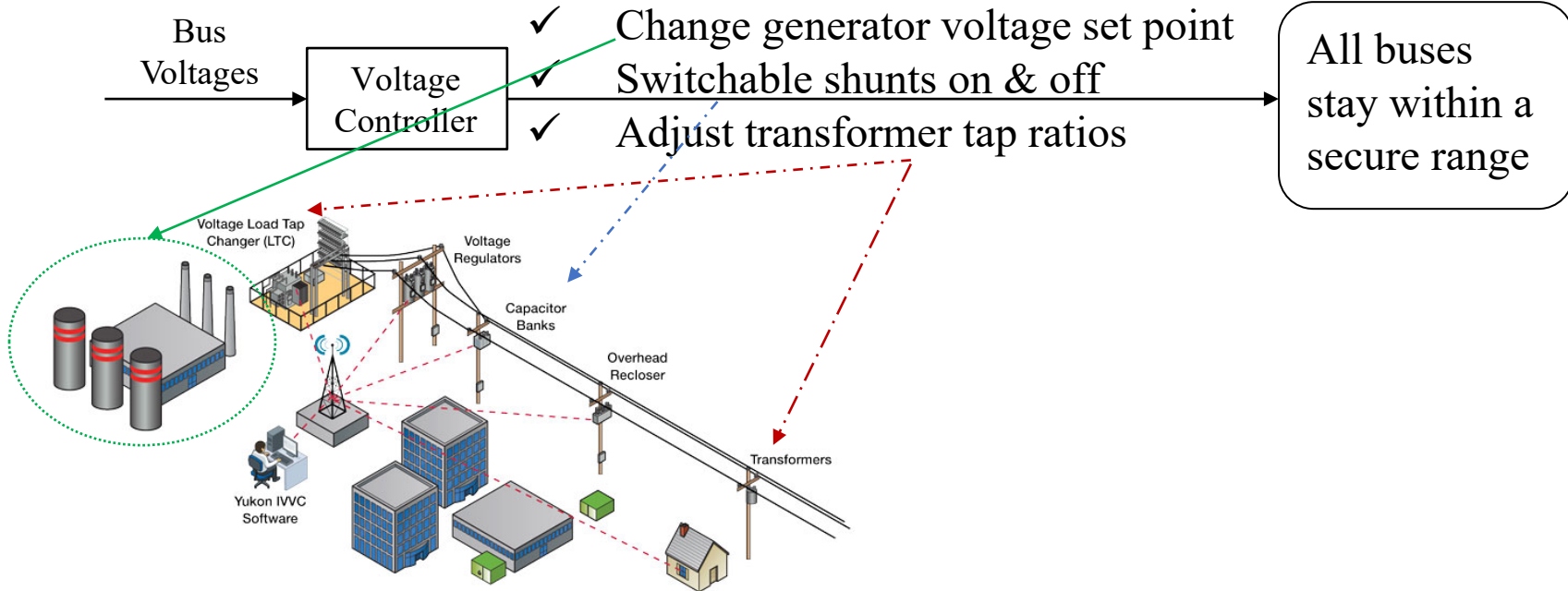
Our code has been open sourced at: <https://github.com/shidi1985/L2RPN>

Autonomous Voltage Control (AVC)

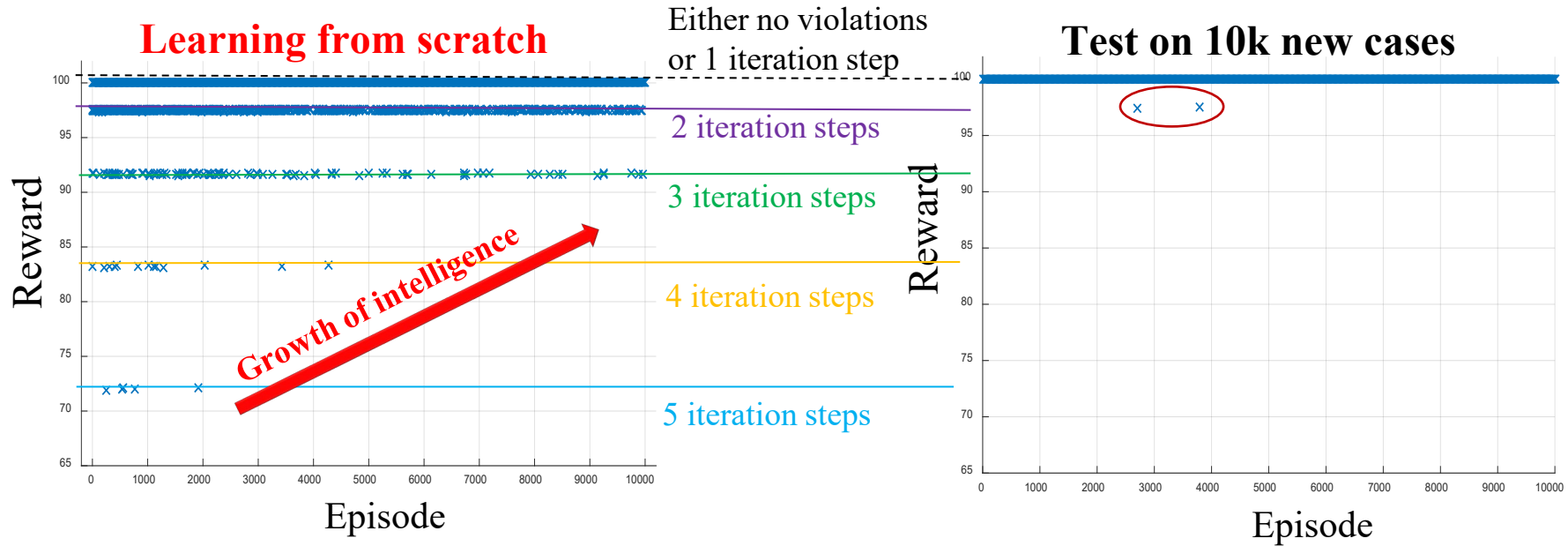
(Considering load variation, renewable intermittency and contingency conditions)

Objective:

Maintain steady-state voltages at all buses within the range of 0.95-1.05pu after disturbance(s) or contingencies from any given initial operating point.



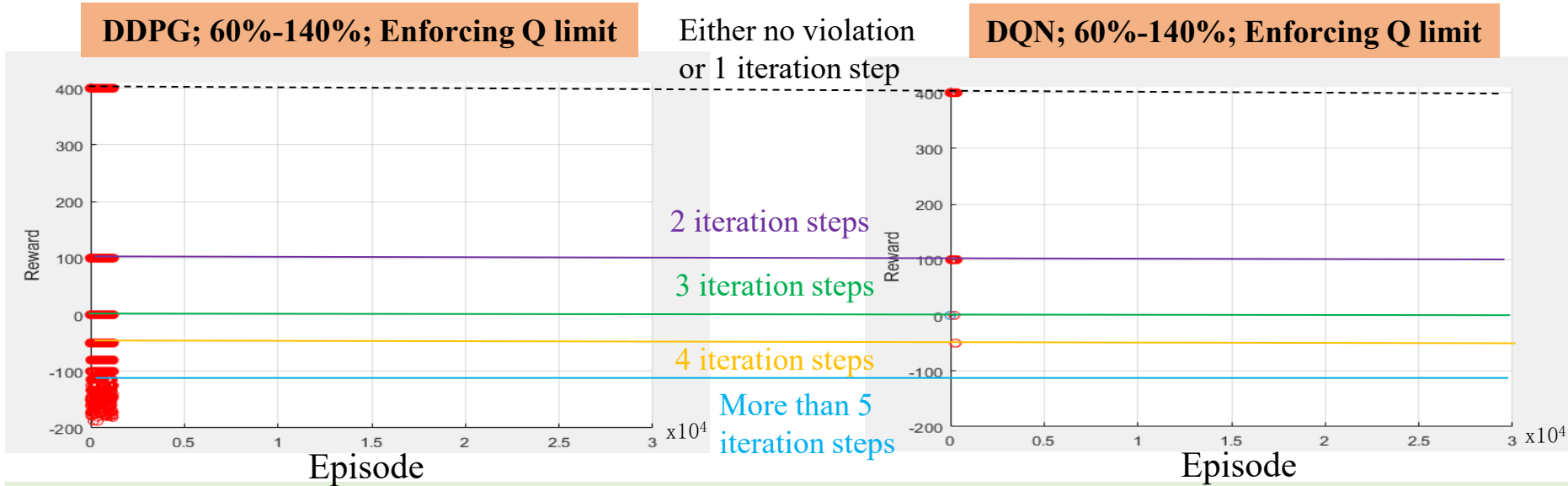
DQN Agent for IEEE 14-bus System



After 10,000 episodes' learning, the designed DQN agent starts to master the voltage control problem by making decisions autonomously.

Further Testing with Topological Changes-200 Bus System

- Test the DRL agent under different loading conditions: heavily, fully, and lightly loaded.
- **Consider topological changes. For example, random line tripping contingency or N-1 conditions.**



Observations:

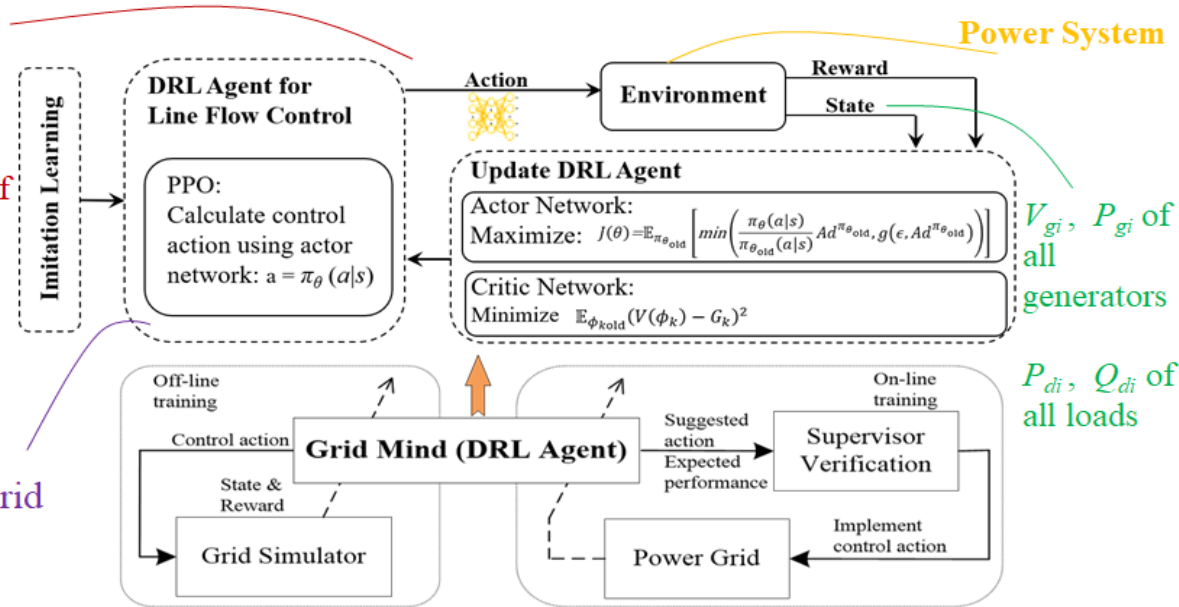
1. The designed agents work very well under all testing conditions.
2. The results comply with basic power system principles and engineering judgement very well.
3. The proposed framework is promising for power system autonomous operation and control. 14

Deriving Model-free Fast AC OPF Solutions

Objective: Minimize system-level generation cost without violating security constraints or shedding load, by controlling the voltage setpoints and power outputs of generators under various loading conditions.

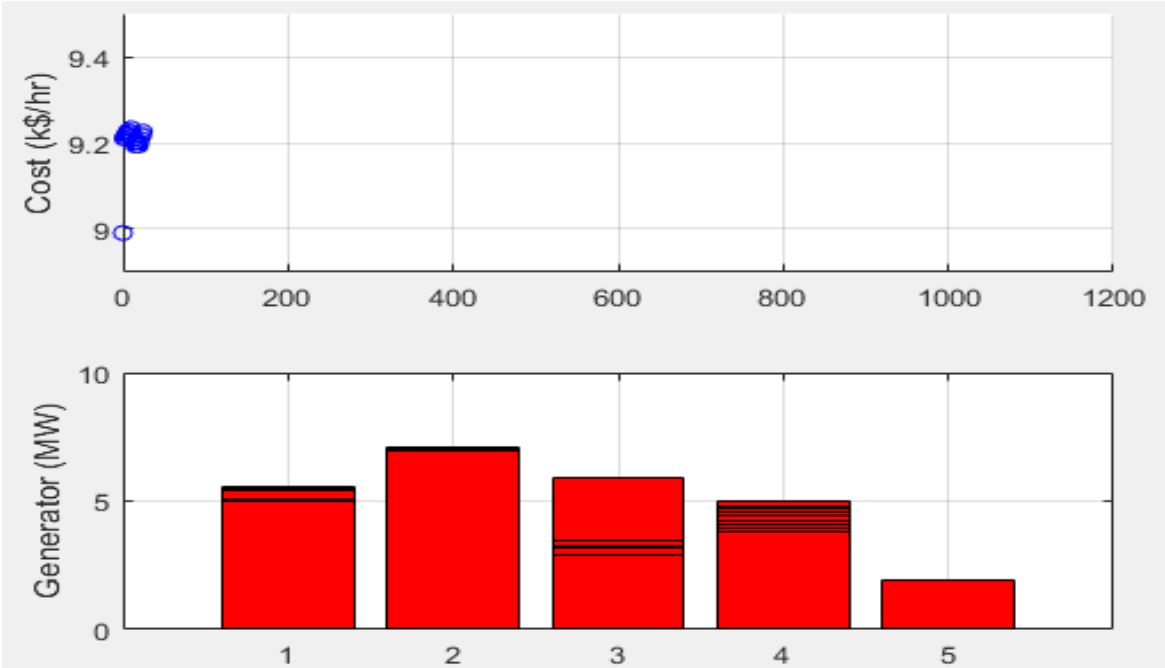
Advantage: 1) **real-time application** for system control; 2) no dependence on accurate system models.

Active power adjustments of generators
 $[\Delta P_1, \Delta P_2, \dots, \Delta P_n]$
 Voltage adjustments of all generators
 $[\Delta V_1, \Delta V_2, \dots, \Delta V_n]$



App of Grid Mind

The Learning Process of AC-OPF



Generator ID

DRL + Imitation Learning (IL): Example Guided DRL

Why: large action space and sparse reward; no simulator.

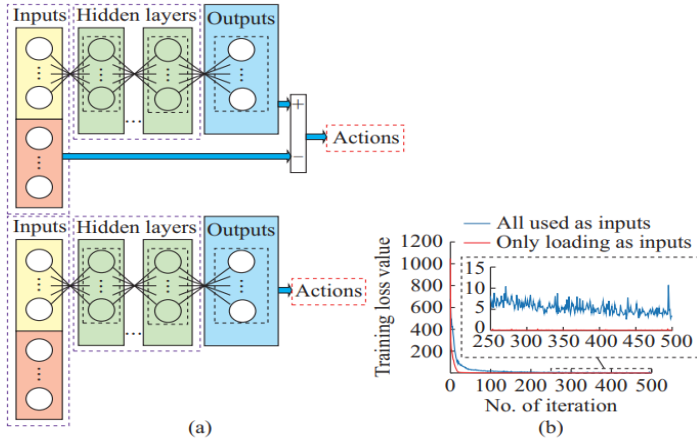
$$\min_{\theta} \sum_{(s_t, \hat{a}_t) \in D_{train}} \frac{1}{N_{IL}} \left\| \hat{a}_t - \mu_{\theta}(a_t | s_t) \right\|_2^2$$

Using IL to initialize the weights of neural networks used by DRL.



Source: <https://medium.com/analytics-vidhya/imitation-learning-from-why-to-how-7b713a079501>

Selection of network structures



IL comparisons based on 2 different NN structures. (a) NN structure. (b) Training loss.

$$\kappa = \frac{cost_{ips} - cost_{agent}}{cost_{ips}}$$

Cost calculated by using interior-point AC OPF solver

Average solution time: 170ms. 10x Faster

IEEE 14-bus system

Training method	No. of success data	No. of failure data	Success rate (%)	Maximum $ \kappa $ among success data (%)	Minimum $ \kappa $ among success data (%)	Average $ \kappa $ among success data (%)
IL	7138	10226	41.11	0.33	1.93×10^{-5}	1.29×10^{-2}
PPO	15944	1420	91.82	17.55	0.56	3.27
PPO with IL	17364	0	100.00	1.75	0.21	0.597

Illinois 200-bus system

Training method	No. of success data	No. of failure data	Success rate (%)	Maximum $ \kappa $ among success data (%)	Minimum $ \kappa $ among success data (%)	Average $ \kappa $ among success data (%)
IL	11298	8702	56.49	1.28	8.60×10^{-4}	0.12
PPO	16004	3996	80.02	10.52	0.83	4.92
PPO with IL	19990	10	99.95	1.65	0.11	0.61

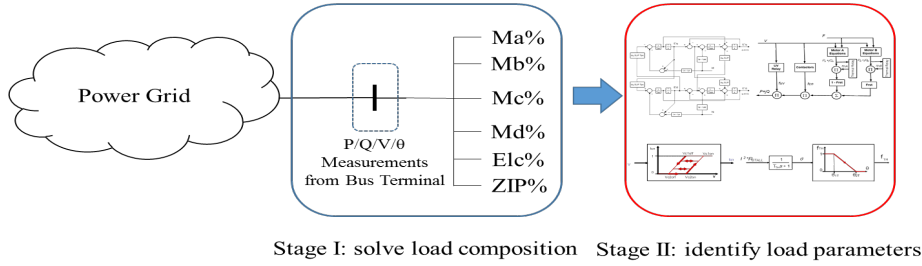
Testing systems and data sets

Test system	No. of training dataset	No. of testing dataset I	No. of testing dataset II
IEEE 14-bus system	55000	17364	2000
Illinois 200-bus system	60000	20000	5000

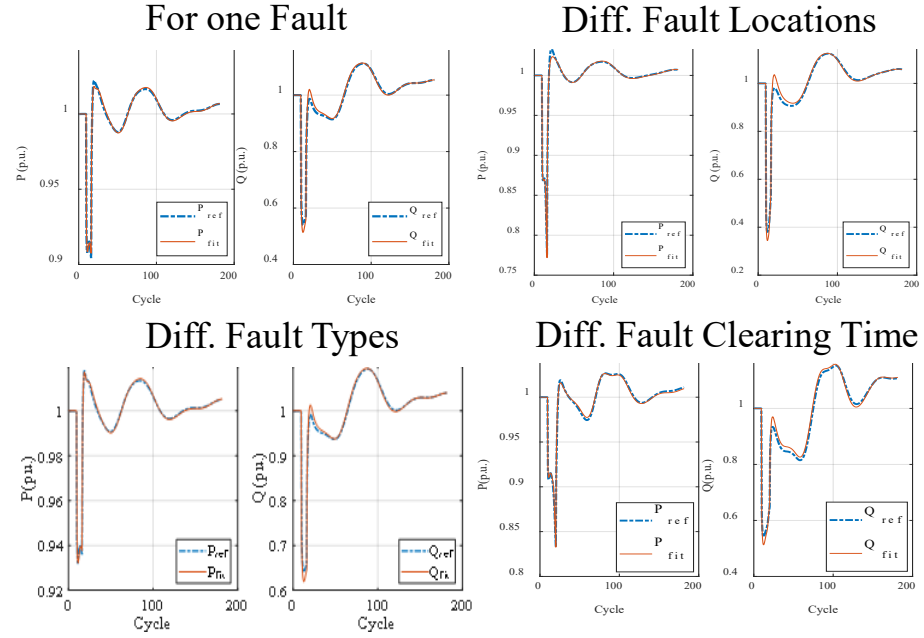
Power System Model Validation & Parameter Identification

A two-stage approach is proposed for ZIP+IM, CLOD, and WECC CLM with as many as 130+ parameters.

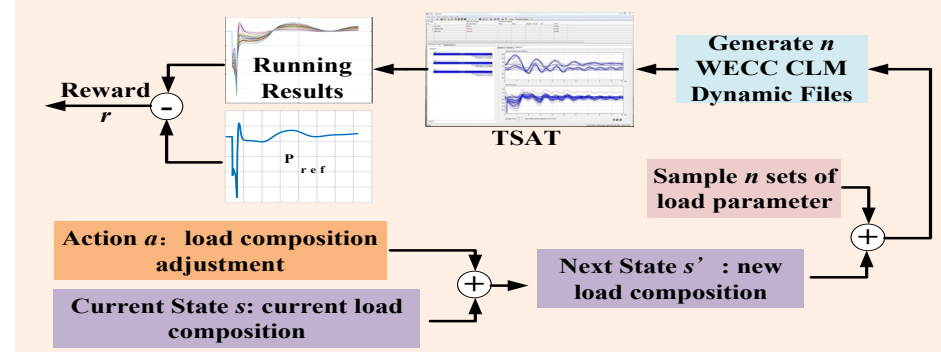
The approach is robust for faults at different locations, different fault types, different clearing times.



In the 1st stage, DRL is utilized to identify the percentage of each component; in the 2nd stage, parameters of each component can be identified.

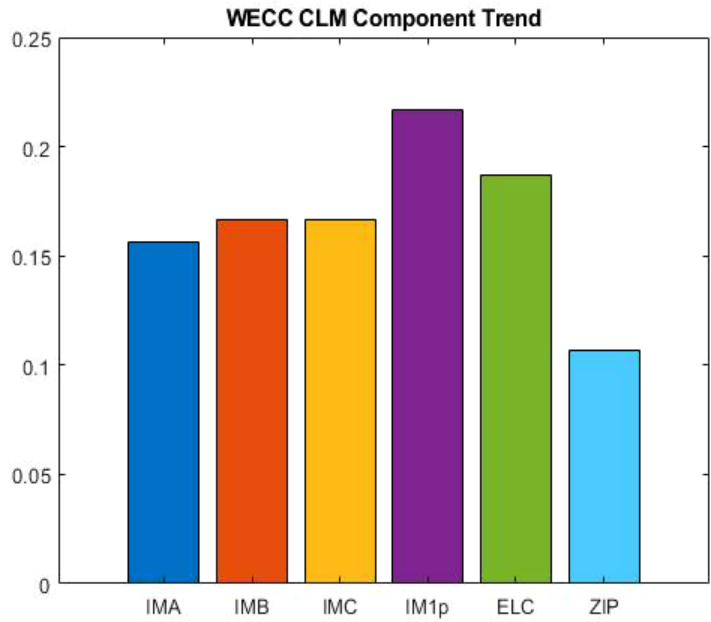


Accuracy for P , RMSE 0.12%
Accuracy for Q , RMSE 0.64%

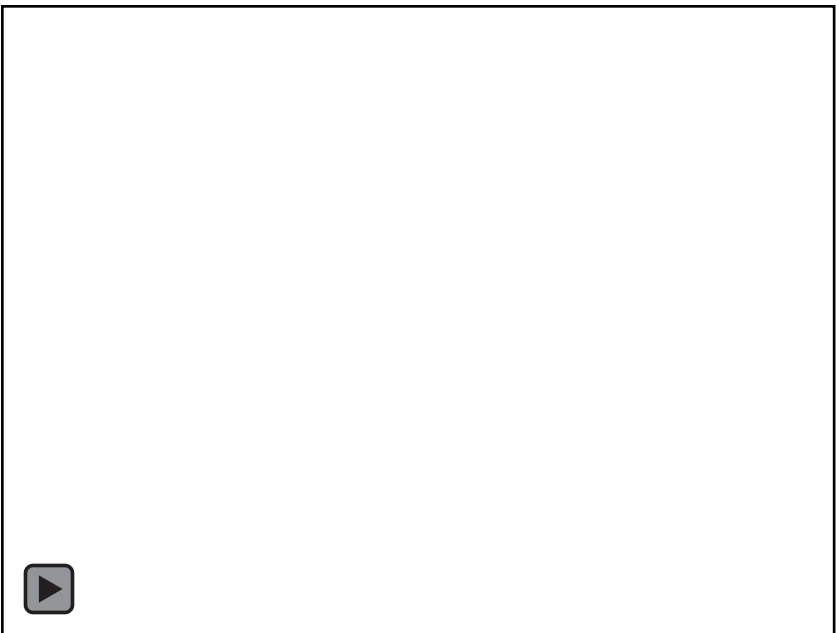


Results - Model and Parameter Identification

DRL-based WECC Model Identification & Validation



DRL-based Generator Model Identification & Validation



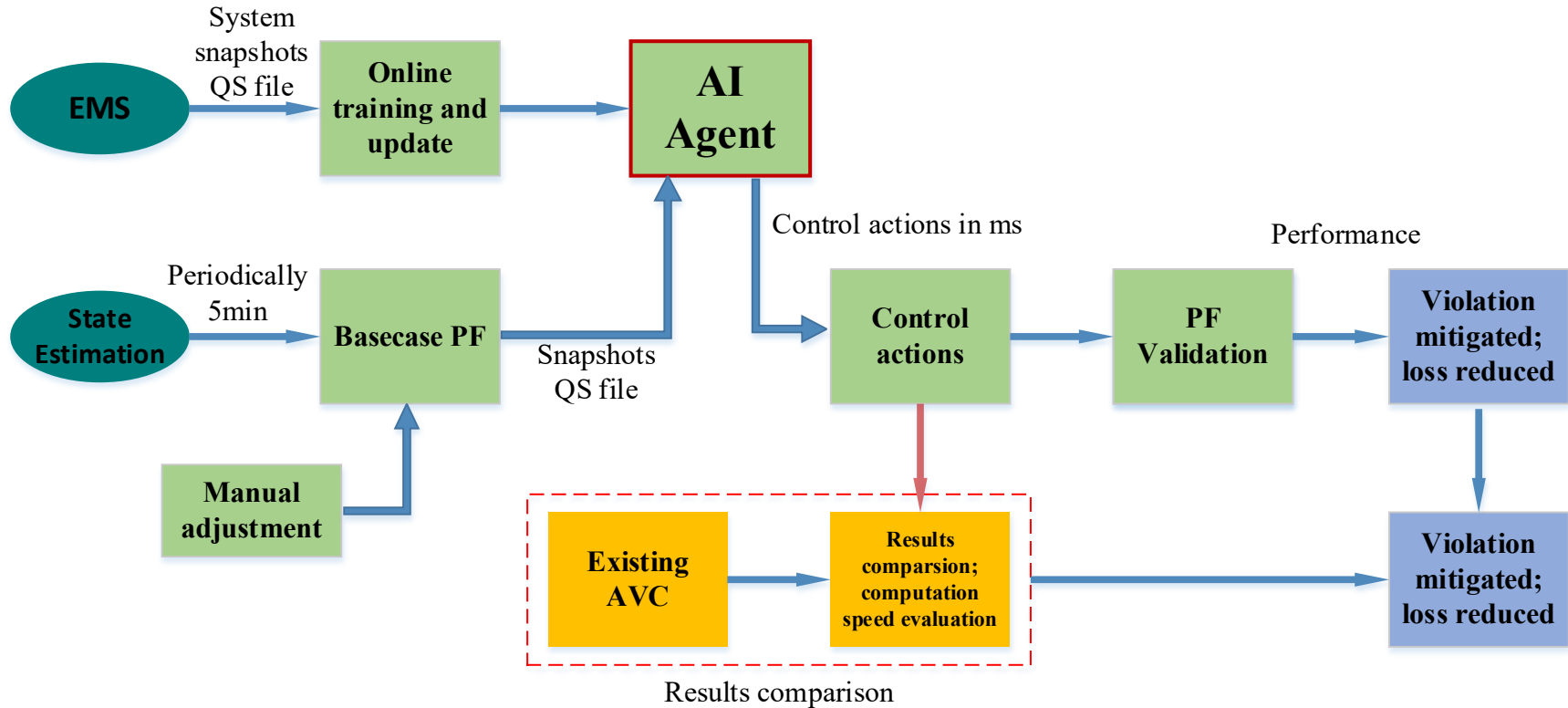
Pilot Projects at Real-world Power Systems



- ~50 substations/plants
- 12 generators
- 3 500kV substations
- 37 220kV substations
- 96 transmission lines
- Max load 3500MW
- Max gen. 5800MVA

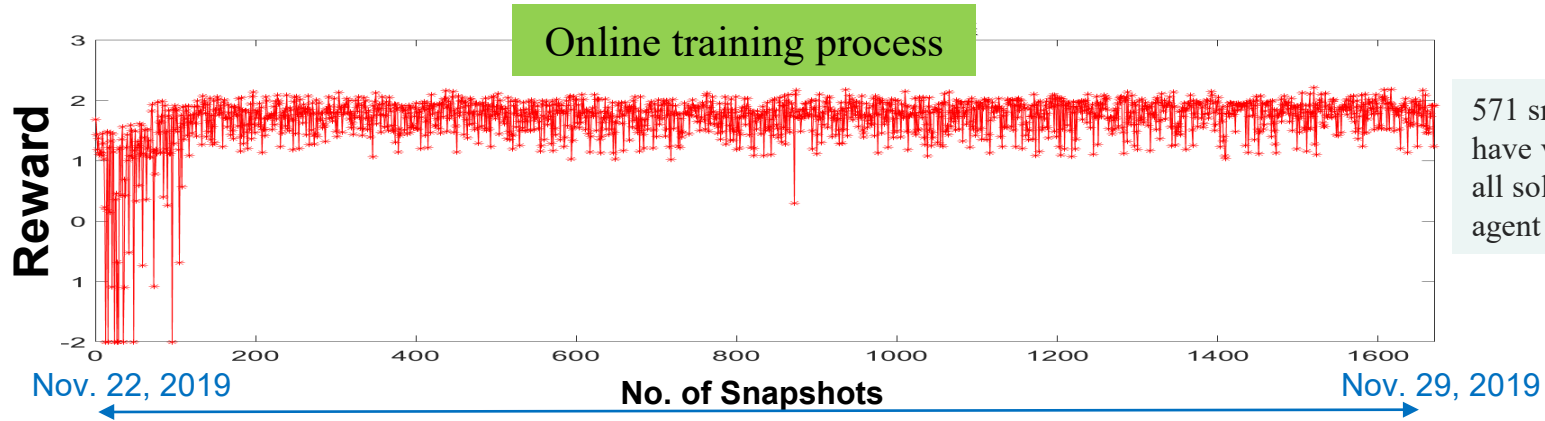
Multiple Control Objectives: voltage + line flow + system loss

Interface with Existing Energy Management System (EMS)

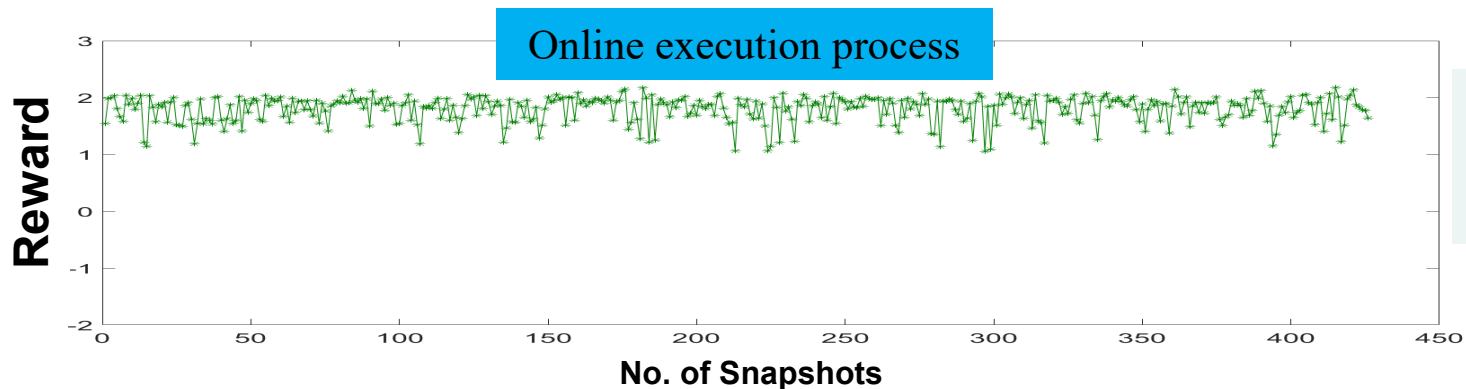


Online Deployment with REAL Data

Reward: positive if violations in Vs and Flows are solved; negative otherwise; the more loss it reduces, the higher the reward

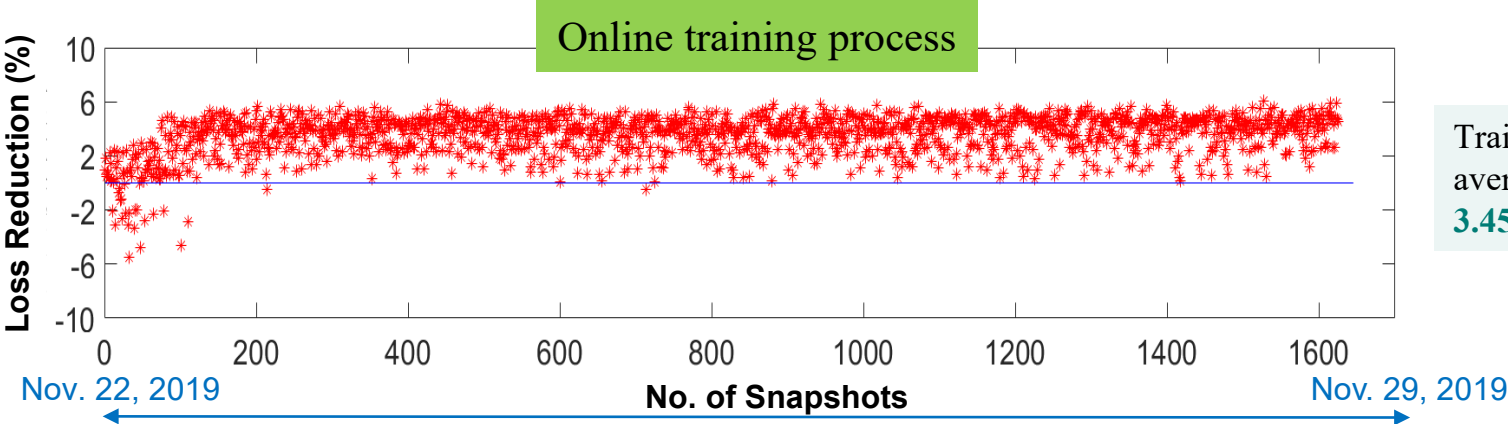


571 snapshots have violations, all solved by AI agent

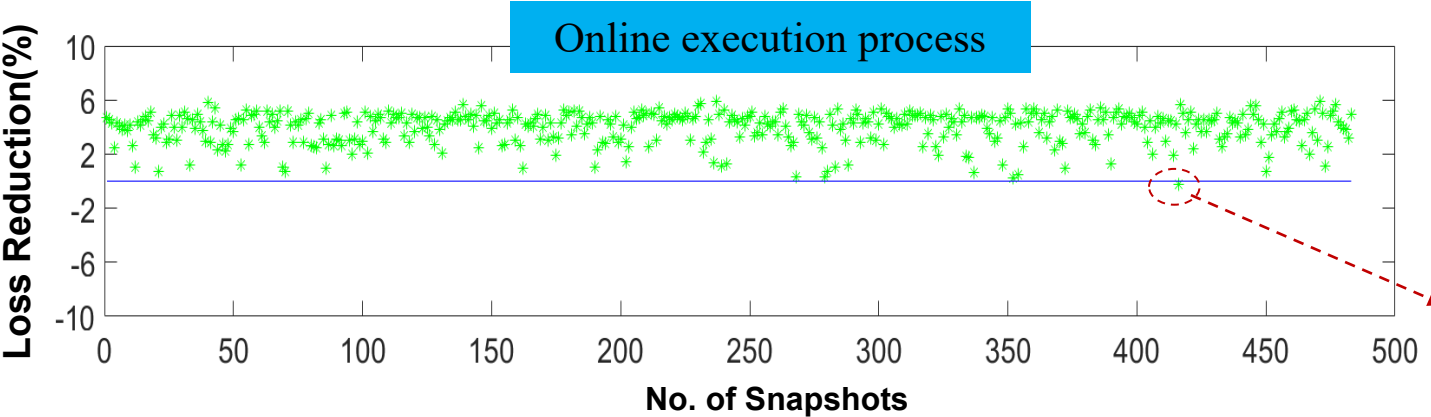


239 snapshots have violations, all solved by AI agent

Results-cont.



Training period:
average loss reduction:
3.4525%



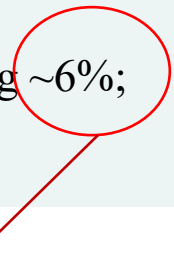
Execution period: average
loss reduction: **3.8747%**

Voltage violations
solved, loss increases
slightly

Observations

Validated by EMS,

- 1) following the decisions of the AI agent, all voltage violations are solved;
- 2) for only one snapshot, voltage violations are solved, loss slightly increases;
- 3) other than the one case, loss reductions are observed, with highest number reaching ~6%;
- 4) for all snapshots, before and after control, no violation in line flow is observed.



several million
dollars' saving
per year.

The Research Needs

- Highly sample efficient algorithms.

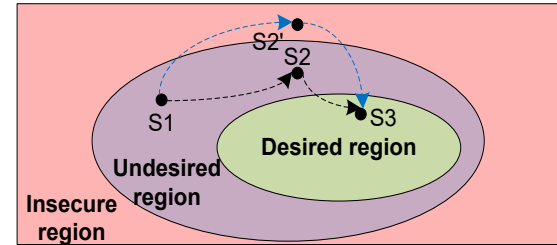
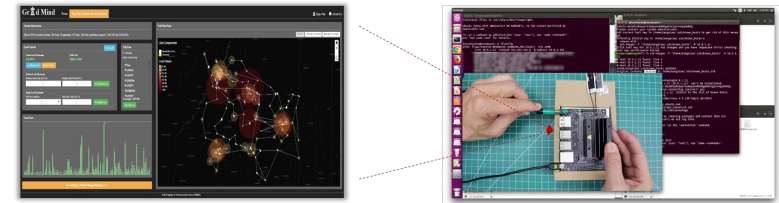


Fig. Illustration of the safety issue of machine learning algorithms

- Safety guaranteed inference.



- Edge AI for distribution grids.

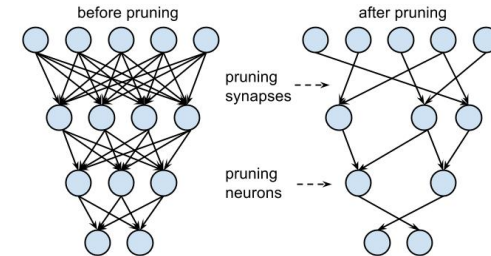


Fig. Edge AI - distributed & light-weight

Acknowledgement

This work is supported in part by the National Science Foundation under Award no. 2301938, NSF EPSCoR Cooperative Agreement OIA-1757207, and Los Alamos National Laboratory through New Mexico Consortium under contract no. 037211.

Thank you!

- [1] M. Liao, D. Shi, Z. Yu, et al., “An alternating direction method of multiplier based approach for PMU data recovery,” IEEE Trans. Smart Grid, 2018.
- [2] X. Wang, D. Shi, Z. Wang, et al., “Online calibration of phasor measurement unit using density-based spatial clustering,” IEEE Trans. Power Delivery, 2018.
- [3] X. Lu, D. Shi, B. Zhu, et al., “PMU assisted power system parameter calibration at Jiangsu Electric Power Company,” IEEE PES General Meeting, 2017.
- [4] J. Duan, D. Shi, R. Diao, et al., “Deep-reinforcement-learning-based autonomous voltage control for power grid operations,” IEEE Trans. Power Systems, 2019.
- [5] R. Diao, Z. Wang, D. Shi, et al., “Autonomous voltage control for grid operation using deep reinforcement learning,” IEEE PES General Meeting, 2019.
- [6] J. Duan, H. Li, X. Zhang, R. Diao, B. Zhang, D. Shi, X. Lu, Z. Wang, S. Wang, “A deep reinforcement learning based approach for optimal active power dispatch,” IEEE iSPEC, 2019.
- [7] B. Zhang, X. Lu, R. Diao, H. Li, T. Lan, D. Shi, Z. Wang, “Real-time autonomous line flow control using proximal policy optimization,” IEEE PES General Meeting, 2020.
- [8] Y. Zhou, W. Lee, R. Diao, D. Shi, “Deep reinforcement learning based real-time AC optimal power flow considering uncertainties,” J. Modern Power Systems and Clean Energy, 2021.
- [9] Y. Zhou, B. Zhang, C. Xu, T. Lan, R. Diao, D. Shi, Z. Wang, “A data-driven method for fast AC optimal power flow solutions via deep reinforcement learning,” J. Modern Power Systems and Clean Energy, 2020.
- [10] T. Lan, J. Duan, B. Zhang, D. Shi, Z. Wang, R. Diao, X. Zhang, “AI-based autonomous line flow control via topology adjustment for maximizing time-series ATCs,” IEEE PES General Meeting, 2020.
- [11] X. Wang, Y. Wang, D. Shi, J. Wang, Z. Wang, “Two-stage WECC composite load modeling: a double deep Q-learning networks approach,” IEEE Transactions on Smart Grid, 2020.
- [12] S. Wang, R. Diao, C. Xu, D. Shi, Z. Wang, “On multi-event co-calibration of dynamic model parameters using Soft-actor-critic,” IEEE Transactions on Power Systems, 2021.
- [13] Y. Hashmy, Z. Yu, D. Shi, Y. Weng, “Wide-area measurement system-based low frequency oscillation damping control through reinforcement learning,” IEEE Trans. Smart Grid, 2020.
- [14] Kamruzzaman, J. Duan, D. Shi, M. Benidris, “A deep reinforcement learning-based multi-agent framework to enhance power system resilience using shunt resources,” IEEE Trans. Power Systems, 2021.
- [15] S. Wang, J. Duan, D. Shi, C. Xu, H. Li, R. Diao, “A data-driven multi-agent autonomous voltage control framework using deep reinforcement learning,” IEEE Trans. Power Systems, 2021.
- [16] R. Diao, D. Shi, B. Zhang, et al., “On training effective reinforcement learning agents for real-time power grid operation and control,” NeurIPS, 2020.
- [17] X. Zhou, S. Wang, R. Diao, D. Bian, J. Duan, D. Shi, “Rethink AI-based power grid control: diving into algorithm design,” NeurIPS, 2020.