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*subject:* Performance model for Semprius module RDD-MOD-296, PSEL 2941

This memorandum documents a performance model for the Semprius module determined from measurement and characterization of module performance at Sandia National Laboratory's Photovoltaic System Evaluation Laboratory (PSEL). Testing was conducted outdoors on Sandia's two-axis tracker (ATS1) in April and May, 2012. Sandia provided an initial test summary and coefficients for the standard Sandia Array Performance Model (SAPM) [1] on May 30, 2012. Based on feedback from Scott Burroughs, Etienne Menard, and Chris Cameron, Sandia re-examined the fit of the model to the measured performance and arrived at a modified version of SAPM, with accompanying coefficients, that better describes module electrical performance.

## Standard SAPM

The standard version of SAPM [1] was developed for flat-plate, crystalline silicon modules but has been found to adequately describe electrical performance of a wide variety of PV technologies ([2]). SAPM comprises the following fundamental equations to describe the electrical performance of a single module:

$$I_{SC} = I_{SC0} E_e (1 + \alpha_{Isc} (T_C - T_0)) \quad (1)$$

$$I_{MP} = I_{MP0} (C_0 E_e + C_1 E_e^2) (1 + \alpha_{Imp} (T_C - T_0)) \quad (2)$$

$$V_{OC} = V_{OC0} + N_s \delta(T_C) \ln(E_e) + \beta_{Voc} (T_C - T_0) \quad (3)$$

$$V_{MP} = V_{MP0} + C_2 N_s \delta(T_C) \ln(E_e) + C_3 N_s (\delta(T_C) \ln(E_e))^2 + \beta_{Vmp} (T_C - T_0) \quad (4)$$

$$E_e \approx f_1(AM) (E_b f_2(AOI) + f_d E_{diff}) / E_0 \quad (5)$$

$$\delta(T_C) = \frac{nk(T_C + 273.15)}{q} \quad (6)$$

The coefficients  $I_{SC0}$ ,  $I_{MP0}$ ,  $V_{OC0}$ ,  $V_{MP0}$  define short-circuit current, maximum power current, open circuit voltage, and maximum power voltage at standard test conditions (STC); herein we assume that STC is defined at  $T_0 = 25^\circ\text{C}$  and  $E_0 = 1000 \text{ W/m}^2$  for concentrating PV systems. The coefficients  $\alpha_{Isc}$  ( $1/^\circ\text{C}$ ),  $\alpha_{Imp}$  ( $1/^\circ\text{C}$ ),  $\beta_{Voc}$  ( $\text{V}/^\circ\text{C}$ ), and  $\beta_{Vmp}$  ( $\text{V}/^\circ\text{C}$ ) define how current and voltage change with cell temperature  $T_C$ ; the empirical coefficients  $C_0$ ,  $C_1$ , and  $C_2$ ,  $C_3$ , describe how maximum power current and voltage, respectively, change with effective irradiance  $E_e$ . Effective irradiance  $E_e$  (suns) is the incident solar power that is converted to electricity, and is normally estimated from incident beam irradiance  $E_b$  ( $\text{W/m}^2$ ) and diffuse irradiance  $E_{diff}$  ( $\text{W/m}^2$ ).  $E_e$  is modified by reflection losses at the module's surface, expressed by the empirical function  $f_2$  (unitless) of angle of incidence  $AOI$  (degrees), and by spectrum changes in the of solar irradiance due to atmospheric attenuation, quantified by the empirical function  $f_1$  (unitless) of absolute air mass  $AM$  (unitless). The thermal voltage  $\delta(T_C)$  (V) is expressed in terms of the diode quality factor  $n$  (unitless), Boltzmann's constant  $k = 1.38 \times 10^{-23}$  (J/K) and the elementary charge  $q = 1.6 \times 10^{-19}$  (C).

### **SAPM for the Semprius module: Piecewise model defined by air mass**

The Semprius module uses a triple-junction cell and the module's electrical performance varies with the solar spectrum more than does the performance of modules using single junction cells. We found it necessary to define coefficients for SAPM in a piecewise manner in order to replicate the measured electrical performance. Because solar spectrum is generally parameterized by air mass  $AM$  and this quantity is convenient for predictive modeling, we use ranges for  $AM$  to define coefficients.

We produced a two-part model (Table 2) and a three-part model (Table 3). The two-part model may be easier to implement in software packages such as NREL's System Advisor Model but the two-part model shows bias in  $P_{MP}$  around  $AM = 1.8$  that is somewhat reduced when the three-part model is used (Figure 1). In either model, predictions are  $\pm 2 \text{ W}$  of measurements.

For the two-part model, coefficients for the low air mass part of the model are estimated using IV curves recorded for  $AM \leq 1.6$ , and coefficients for the high air mass part of the model are estimated using IV curves recorded for  $AM \geq 2.0$ . For the three-part model, coefficients for the low and high air mass parts of the model are estimated as for the two-part model. In addition, data corresponding to  $1.6 < AM < 2.0$  are used to define a mid-air mass part of the model.

#### *Effective irradiance*

The general formulation for effective irradiance  $E_e$  (Eq. (5)) involves both the beam irradiance  $E_b$  and the diffuse irradiance  $E_{diff}$ . However, in the case of HCPV, the contribution to electrical energy from diffuse light is typically very small, and so we set the diffuse utilization factor  $f_d = 0$ .

*Air mass correction*

We use polynomials of degree up to 2 to define the empirical function  $f_1$ :

$$f_1(AM) = a_0 + a_1AM + a_2AM^2 \quad (7)$$

We did not find it necessary to use higher order polynomials to achieve a good fit of  $f_1$  to data.

For the two-part model, the empirical function  $f_1$  is modeled by two lines; their intersection at approximately  $AM = 1.8$  provides the value of air mass that divides the two model parts in implementation. For the three-part model, the empirical function  $f_1$  is modeled by two lines with a parabolic segment in between. The fit of  $f_1$  to data is illustrated by Figure 2 and Figure 3 for the two and three part models, respectively. For the two-part model the over-prediction of  $f_1$  near  $AM = 1.8$  is chiefly responsible for the bias in  $P_{MP}$  and results from approximating data that follow a curve with two line segments. Over-prediction of  $f_1$  directly biases predicted  $E_e$  (Eq. (5)) and hence  $I_{SC}$  and  $I_{MP}$ . Consequently in the three-part model the function  $f_1$  uses a quadratic to model data for  $1.6 < AM < 2.0$  and the residuals for  $f_1$  are significantly improved (Figure 3b). We note that the piecewise definition of  $f_1$  is not continuous in the three-part model.

*Angle of incidence correction*

For unity concentration flat-plate PV modules, optical transmission of the glass changes as a function of the angle of incidence (AOI). In the typical formulation of the SAPM, we use a polynomial of degree 5 to define the empirical function  $f_2$  to describe this change in transmission. Because high-concentration PV (HCPV) usually are mounted on a 2-axis solar tracker, for predictive modeling we assume that AOI is approximately 0, i.e., we assume perfect tracking. Consequently we recommend the empirical function  $f_2(AOI) = 1$ . At present, the SAPM does not include a term accounting for tracking error. The SAPM could be extended to include this term if it is anticipated that the time series of tracking error would be available as a model input.

Our outdoor testing included measuring I-V curves with the tracker pointed off-normal, to characterize the angle of acceptance for the Semprius module. Sandia obtains these data by sweeping I-V curves while mispointing the module in elevation, from about -2 degrees to +2 degrees. We recorded  $I_{MP}$  over a range of values for AOI (Figure 5); at  $AOI = 0.7^\circ$ ,  $I_{MP}$  falls to 90% of its value when  $AOI = 0^\circ$ . We fit a 6<sup>th</sup> order polynomial to the test results in case a prediction is desired of  $I_{MP}$  as a function of AOI. The polynomial does an adequate job of fitting the measured data to an angle of incidences of 1.5 degrees; at angles of incidence greater than 1.5 degrees the module can be assumed to have negligible power production.

*Electrical performance*

For the Semprius module, we add a cubic term (in red) to the equation for  $V_{MP}$  and use Eq. (8) in place of Eq. (4):

$$V_{MP} = V_{MP0} + C_2 N_s \delta(T_C) \ln(E_e) + C_3 N_s (\delta(T_C) \ln(E_e))^2 + C_8 N_s (\delta(T_C) \ln(E_e))^3 + \beta_{Vmp} (T_C - T_0) \quad (8)$$

The cubic term corrects a bias in predicted  $V_{MP}$  at high  $AM$  values (Figure 4). However, the overall effect of the bias on  $P_{MP}$  is small; consequently, coefficients for the standard SAPM equation (Eq. (4)) are also provided in Table 2 and Table 3.

### *Cell temperatures used to estimate model coefficients*

In the case of HCPV modules, where there is typically a long thermal path length from a cell to the nearest temperature measurement point (e.g. the back side or heat sink), Sandia calculates the cell temperature by a method known as the  $V_{OC}, I_{SC}$  method [3]. The method relies on cell coefficients obtained from other testing, namely:

- $I_{SC0,cell}$  the cell  $I_{SC}$  (A) at STC conditions;
- $V_{OC0,cell}$  the cell  $V_{OC}$  (V) at STC conditions;
- $\beta_{Voc,cell}$  the temperature coefficient (V/°C) for  $V_{OC}$  for the cell;
- $n_{cell}$  diode factor representative of a single cell.

The calculated cell temperatures were used in the process of estimating module parameters.

To calculate cell temperature from measured  $V_{OC}$  and  $I_{SC}$ , first effective irradiance  $E_e$  is approximated using the measured module  $I_{SC}$  (Eq. (1)):

$$E_e = I_{SC} / (N_p I_{SC0,cell}) \quad (9)$$

where  $N_p$  is the number of strings in parallel in the module and  $I_{SC0,cell}$  is the supplied STC value for  $I_{SC}$  of a single cell. This approximation of  $E_e$  is substituted in Eq. (3) where coefficients are adjusted to represent a cell rather than for the module as a whole:

$$V_{OC} = N_s V_{OC0,cell} + N_s \delta(T_C) \ln(I_{SC} / (N_p I_{SC0,cell})) + N_s \beta_{Voc,cell} (T_C - T_0) \quad (10)$$

and Eq. (10) is solved for  $T_C$  in terms of (measured)  $V_{OC}$  and  $I_{SC}$ . Calculation of the thermal voltage term  $\delta(T_C)$  requires a value for the diode factor for a cell,  $n_{cell}$ . Cell-level values were provided to Sandia by Semprius as indicated in Table 1.

**Table 1. Cell-level parameters given by Semprius used in calculation of cell temperature**

Parameter	Value
$V_{OC0,cell}$	3.430 V/cell
$I_{SC0,cell}$	0.0460 A/cell
$\beta_{Voc,cell}$	-4.3 mV/°C/cell
$n_{cell}$	4.2 (unitless)

Note: Values provided at SRC, assumed to be  $DNI=1000 \text{ W/m}^2$ ,  $T_c = 25^\circ\text{C}$ , and  $AMa=1.5$ .

### *Predictive model for cell temperature*

When modeling HCPV cell temperature using weather input, SAPM uses Eq. (11) and Eq. (12) to estimate cell temperature.

$$T_m = DNI \times \exp(a + b \times WS) + T_a \quad (11)$$

$$T_c = T_m + \frac{E}{E_0} \times \Delta T \quad (12)$$

First, module temperature  $T_m$  (°C) is estimated from ambient temperature  $T_a$  (°C), wind speed  $WS$  (m/s), direct normal irradiance  $DNI$  ( $\text{W/m}^2$ ) and two empirically derived coefficients,  $a$ , and  $b$ . Then cell temperature is calculated from the module temperature, incident POA irradiance  $E$  ( $\text{W/m}^2$ ) and an empirically determined temperature difference  $\Delta T$  (°C) between the cell and module back plane.

To calculate  $a$  and  $b$ , system and weather data are filtered to include only clear-sky, high sun elevation time periods. The coefficients  $a$  and  $b$  are determined by least-squares regression between  $\ln\left(\frac{T_m - T_a}{DNI}\right)$  and  $WS$ ; results are illustrated by Figure 6.

Determination of  $\Delta T$  is based on Eq. (12)

$$\Delta T_i = \frac{E_0}{E_i} (T_{c,i} - T_{m,i}) \quad (13)$$

where  $i = 1, \dots, N$  indexes the measurements. In this estimation, cell temperature  $T_c$  is calculated from Eq. (10), module temperature  $T_m$  is the average of measurements by thermocouples attached to the back of the module, and  $E$  is plane of array (POA) irradiance. We estimate  $\Delta T$  as the average of the sample  $\{\Delta T_i\}$  as illustrated by Figure 7. We found that using POA irradiance  $E$  results in a lower standard deviation for  $\{\Delta T_i\}$  (0.46 °C) than results when DNI is used in place of  $E$  (standard deviation of 0.53 °C) but for convenience we provide values for  $\Delta T$  for both cases (e.g. if DNI is available, but global POA is not). Figure 7 also indicates a slight dependence of  $\Delta T$  on sun elevation angle (or equivalently on DNI or POA irradiance), but the range of the dependence is

relatively small and thus we did not see any significant model improvement to be gained by representing this dependence explicitly in Eq. (12).

**Table 2. Coefficients for the two-part SAPM model.**

For $AM \leq 1.8$				For $AM > 1.8$			
$\alpha_{Isc}$ (1/°C)	4.83E-4	$I_{SC0}$ (A)	<b>1.0172</b>	$\alpha_{Isc}$ (1/°C)	7.77E-4	$I_{SC0}$ (A)	1.0172
$\alpha_{Imp}$ (1/°C)	2.32E-4	$I_{MP0}$ (A)	<b>0.9947</b>	$\alpha_{Imp}$ (1/°C)	2.32E-4	$I_{MP0}$ (A)	0.9944
$\beta_{Vmp}$ (V/°C)	-0.1472	$V_{MP0}$ (V)	<b>90.916</b>	$\beta_{Vmp}$ (V/°C)	-0.1472	$V_{MP0}$ (V)	88.555 (89.273)*
$\beta_{Voc}$ (V/°C)	-0.1290	$V_{OC0}$ (V)	<b>103.00</b>	$\beta_{Voc}$ (V/°C)	-0.1290	$V_{OC0}$ (V)	103.02
$C_0$	0.9196	$a_0$	0.892	$C_0$	0.9892	$a_0$	1.14
$C_1$	0.0804	$a_1$	0.0725	$C_1$	0.0108	$a_1$	-0.067
$n$	4.383	$a_2$	0	$n$	4.299	$a_2$	0
$C_2$	1.7636			$C_2$	-3.7087 (-2.380)*		
$C_3$	-28.477			$C_3$	-33.0179 (-13.039)*		
$C_8$	0			$C_8$	-85.7881 (0)*		

Note: **Bold** indicates values at standard test conditions (25°C and 1000 W/m<sup>2</sup>).

Note: **Grey shading** indicates values derived from cell measurements rather than from module testing.

Note: \* indicates alternate value using standard SAPM equation for  $V_{MP}$ .

**Table 3. Coefficients for the three-part SAPM model.**

For $AM \leq 1.6$		For $1.6 < AM < 2.0$		For $AM \geq 2.0$	
$\alpha_{Isc}$ (1/°C)	4.83E-4	$\alpha_{Isc}$ (1/°C)	6.30E-4 #	$\alpha_{Isc}$ (1/°C)	4.83E-4
$\alpha_{Imp}$ (1/°C)	2.32E-4	$\alpha_{Imp}$ (1/°C)	2.32E-4	$\alpha_{Imp}$ (1/°C)	2.32E-4
$\beta_{Vmp}$ (V/°C)	-0.1472	$\beta_{Vmp}$ (V/°C)	-0.1472	$\beta_{Vmp}$ (V/°C)	-0.1472
$\beta_{Voc}$ (V/°C)	-0.1290	$\beta_{Voc}$ (V/°C)	-0.1290	$\beta_{Voc}$ (V/°C)	-0.1290
$I_{SC0}$ (A)	<b>1.0172</b>	$I_{SC0}$ (A)	1.0172	$I_{SC0}$ (A)	1.0172
$I_{MP0}$ (A)	<b>0.9947</b>	$I_{MP0}$ (A)	0.9820	$I_{MP0}$ (A)	0.9944
$V_{MP0}$ (V)	<b>90.916</b>	$V_{MP0}$ (V)	91.473	$V_{MP0}$ (V)	88.555 (89.273)*
$V_{OC0}$ (V)	<b>103.00</b>	$V_{OC0}$ (V)	102.97	$V_{OC0}$ (V)	103.022
$C_0$	0.9196	$C_0$	1.0277	$C_0$	0.9892
$C_1$	0.0804	$C_1$	-0.0277	$C_1$	0.0108
$n$	4.383	$n$	3.929	$n$	4.2987
$C_2$	1.7636	$C_2$	6.5068	$C_2$	-3.7087 (-2.380)*
$C_3$	-28.477	$C_3$	226.27	$C_3$	-33.0179 (-13.039)*
$C_8$	0	$C_8$	0	$C_8$	-85.7881 (0)*
$a_0$	0.892	$a_0$	0.4974	$a_0$	1.1400
$a_1$	0.0725	$a_1$	0.5738	$a_1$	-0.0670
$a_2$	0	$a_2$	-0.1601	$a_2$	0

Note: **Bold** indicates values at standard test conditions (25°C and 1000 W/m<sup>2</sup>).

Note: **Grey shading** indicates values derived from cell measurements rather than from module testing.

Note: \* indicates alternate value using standard SAPM equation for  $V_{MP}$ .

Note: # calculated as the average of  $\alpha_{Isc}$  for low and high  $AM$  ranges.

**Table 4. Coefficients common to both the two-part and three-part SAPM models.**

$b_0$	1	$a$	-3.6347
$b_1$ through $b_5$	0	$b$	-0.0830
$f_d$	0	$\Delta T$	19.1 (for $E = POA$ ) 20.95 (for $E = DNI$ )

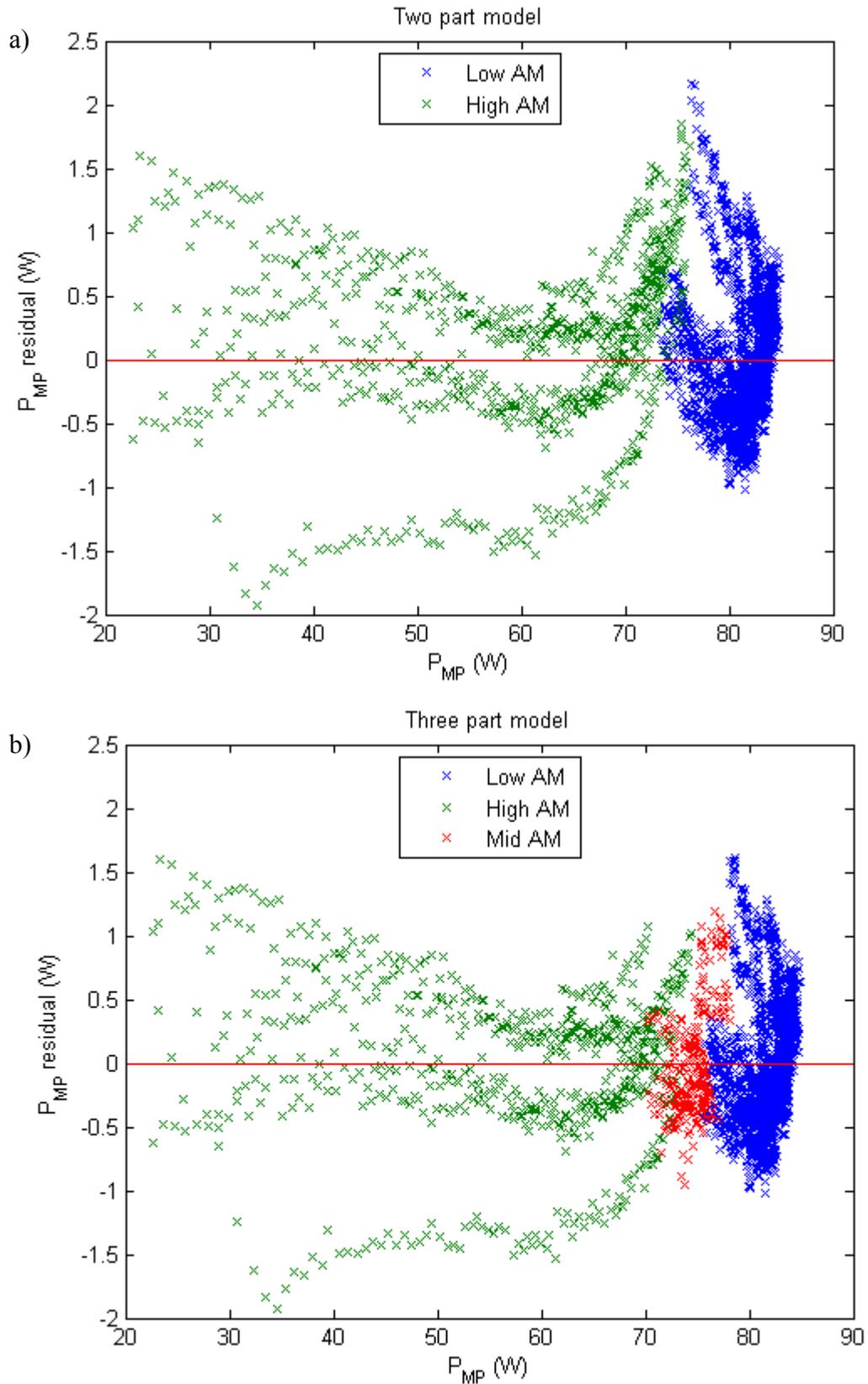
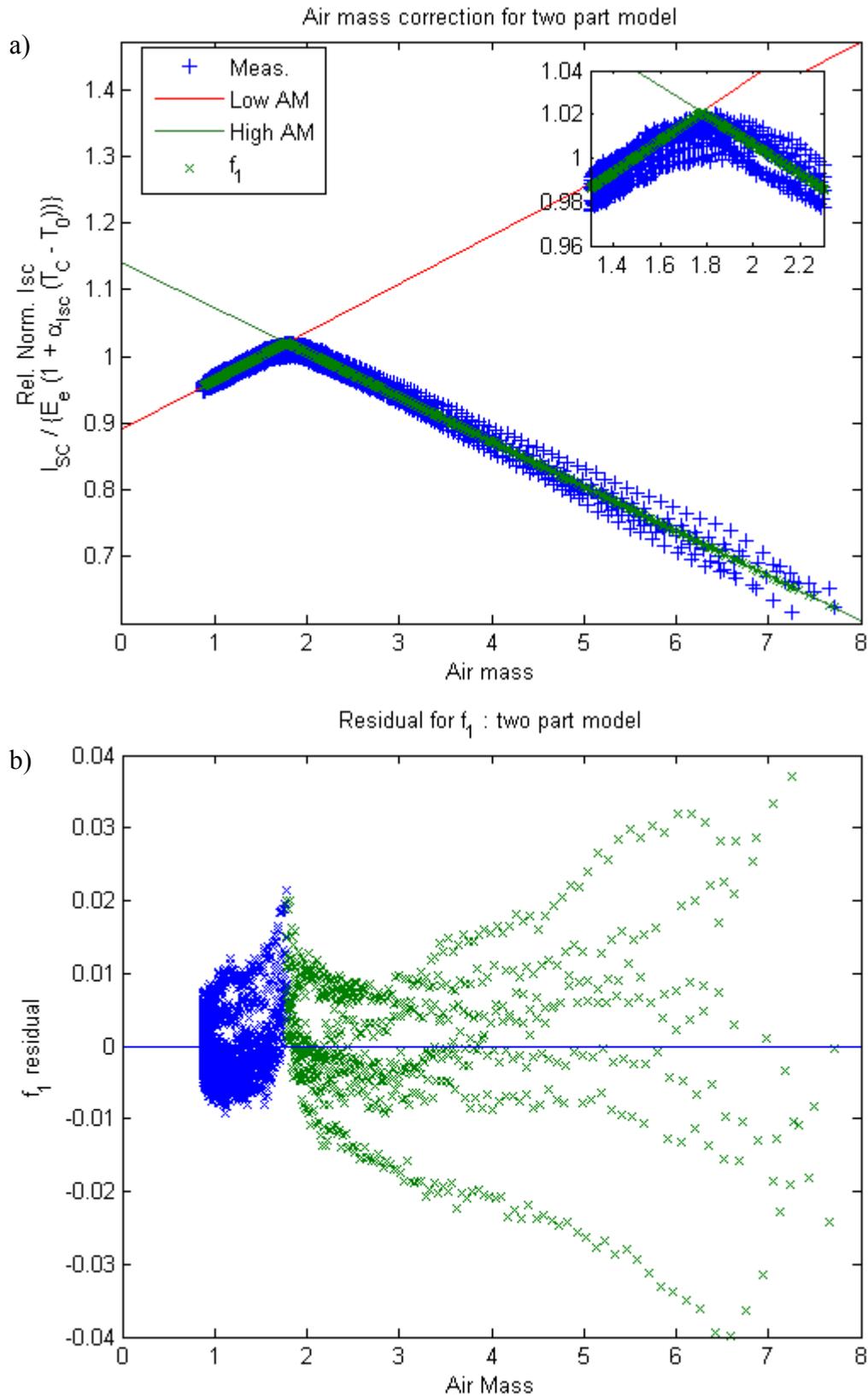
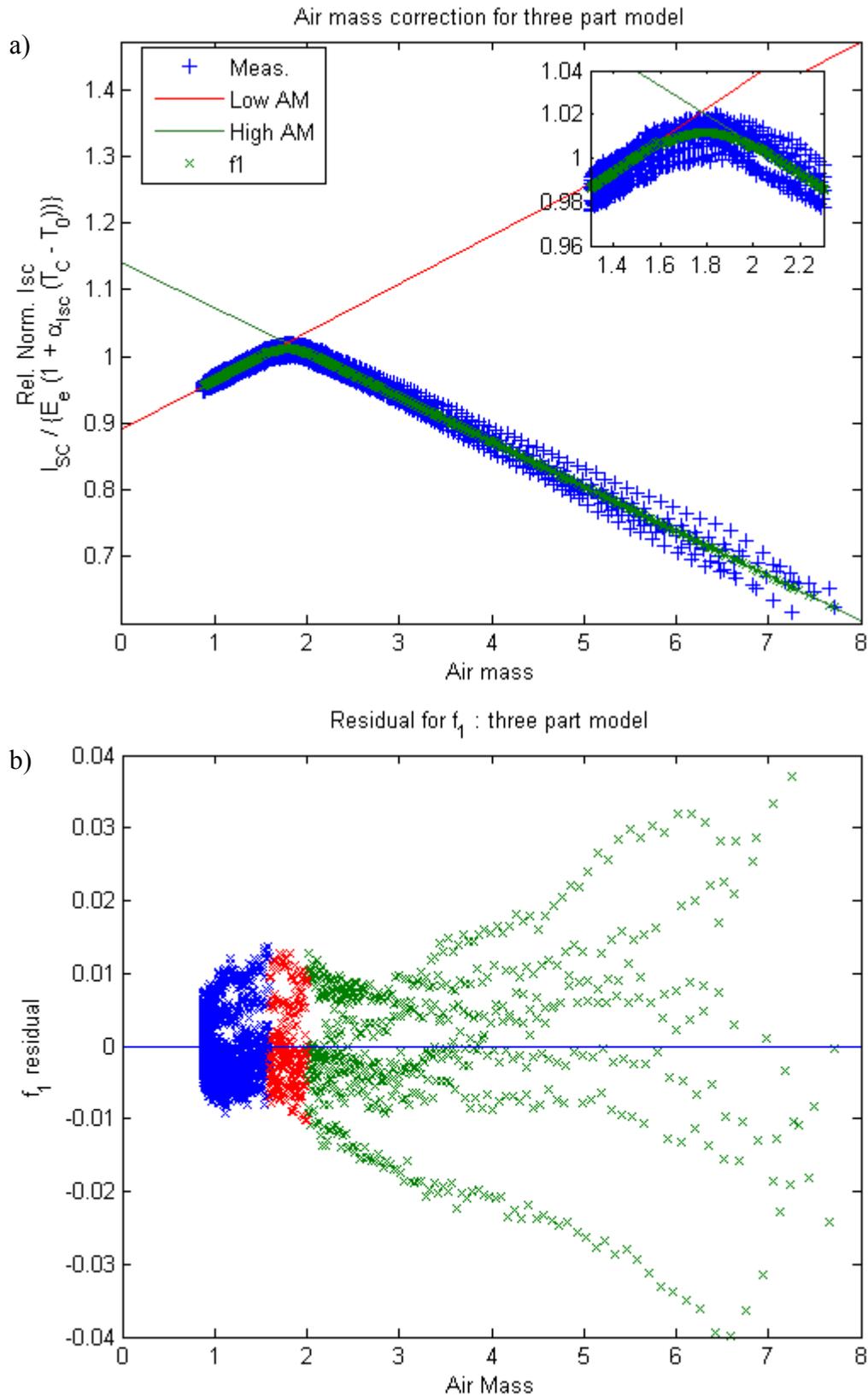


Figure 1. Residuals for  $P_{MP}$ : (a) two part model; (b) three part model.



**Figure 2. Air mass correction (a) and its residual (b): two part model.**



**Figure 3. Air mass correction (a) and its residual (b): three part model.**

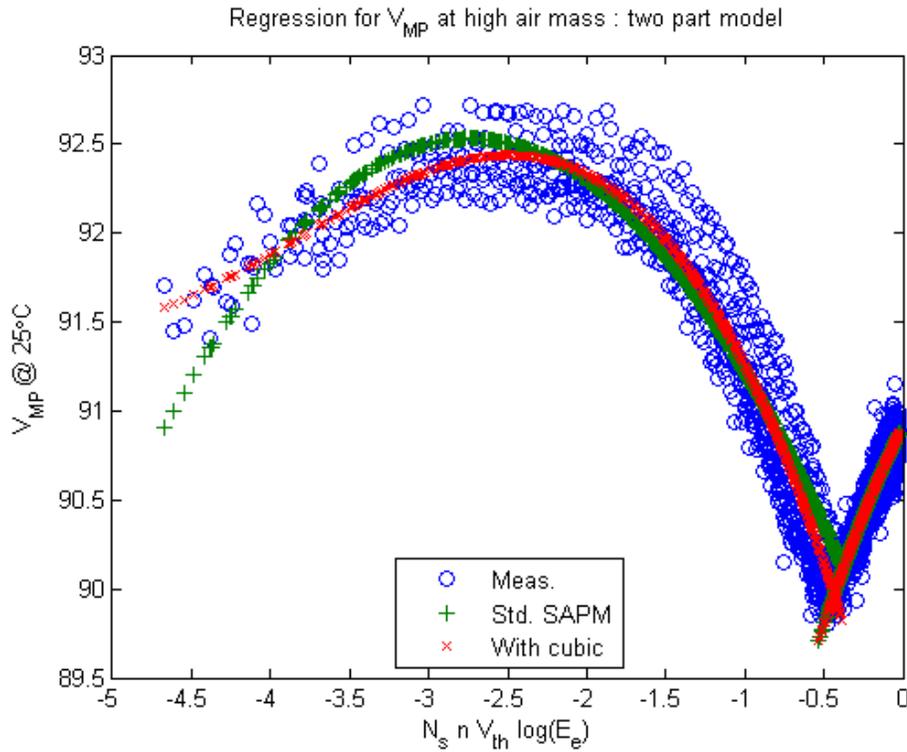


Figure 4. Regression for  $V_{MP}$  : two part model (three part model similar).

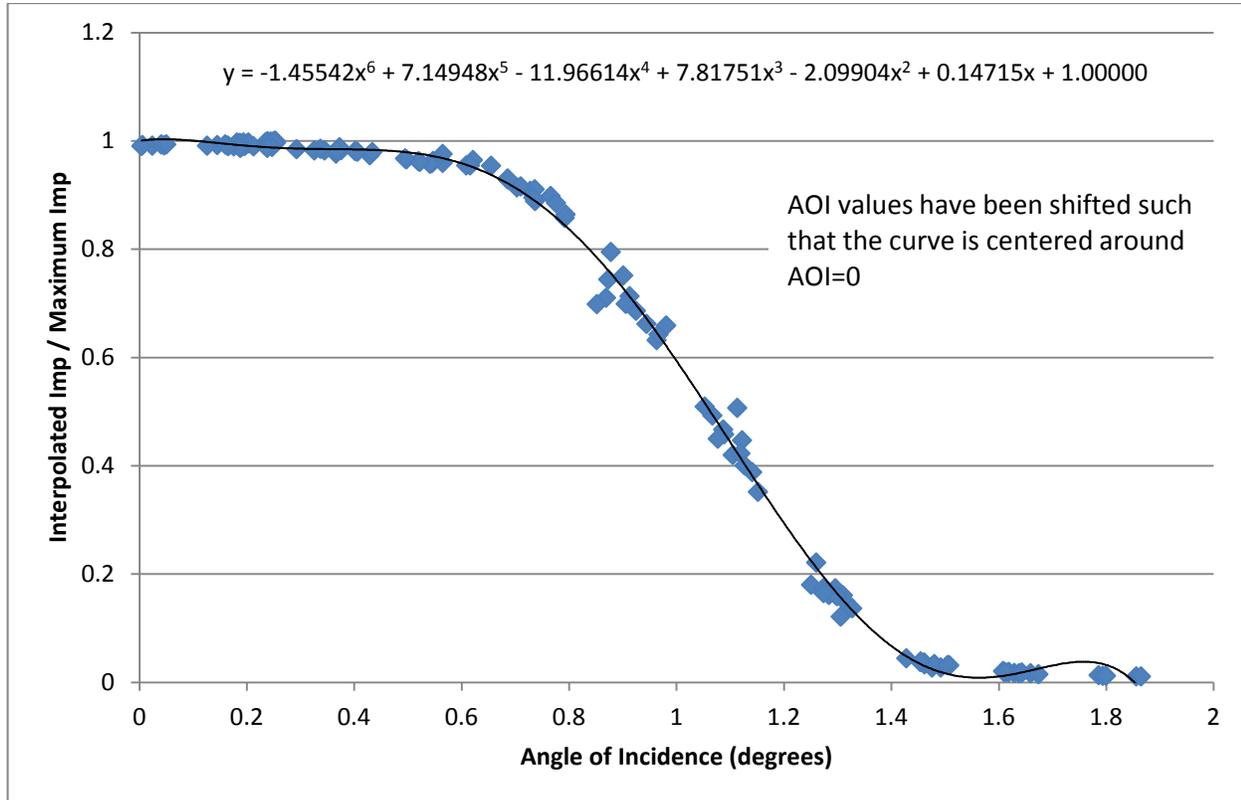


Figure 5. Acceptance angle test results (regression fit for convenience).

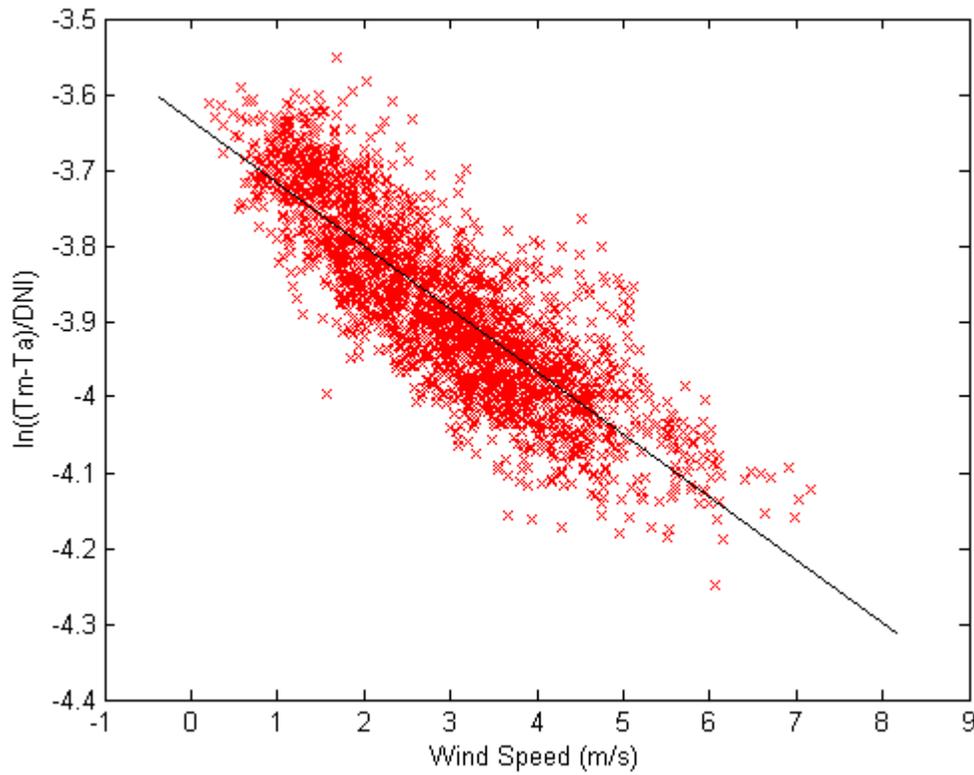


Figure 6. Determination of a and b coefficients for predictive model for cell temperature.

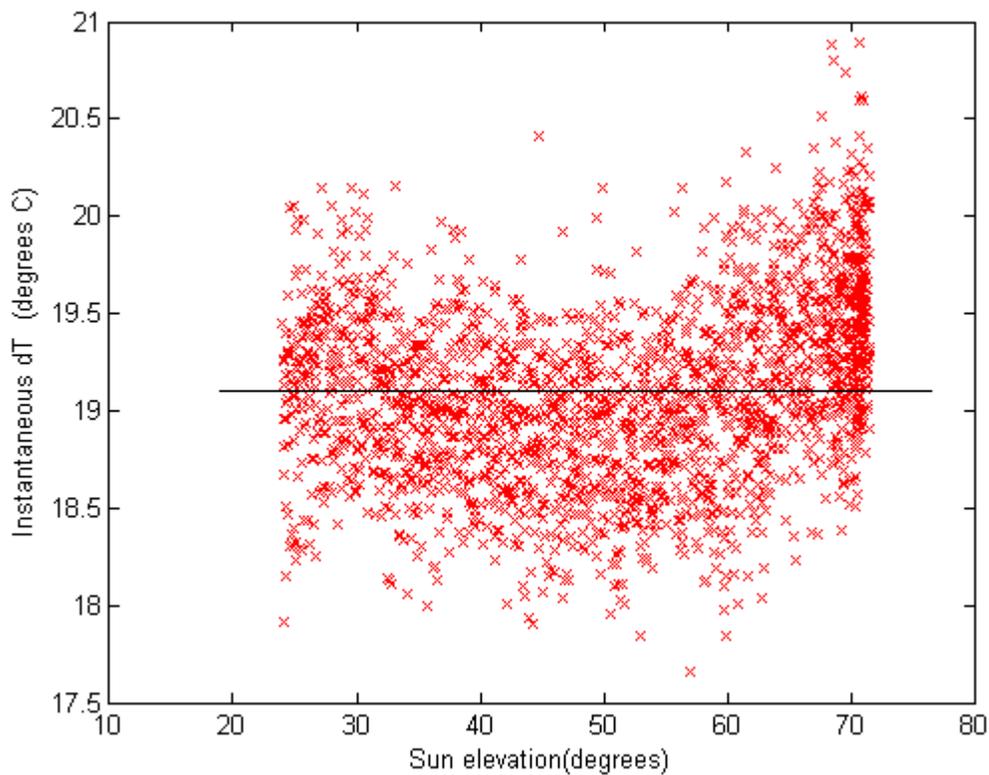


Figure 7. Estimation of  $\Delta T$  value using global POA irradiance.

References

**References**

- [1] D.L. King, E.E. Boyson, J.A. Kratochvil, Photovoltaic Array Performance Model, in, Sandia National Laboratories, Albuquerque, NM, 2004.
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