



## ADVANCED REACTOR SAFEGUARDS & SECURITY

# Use of AI/ML to Assess Cyber Vulnerabilities in Diverse Defense-In-Depth (D3) Advanced Reactor Designs and Impact on Common Cause Failures

*ARSS Spring Program Meeting April 28-30, 2026*

### PRESENTED BY

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PNNL-SA-222544

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## Research Objectives



- Use of artificial intelligence and machine learning (AI/ML) methods to develop a decision-making analysis framework to assess vulnerabilities in Diversity of Defense-In-Depth (D3) advanced reactor designs and Common Cause Failure (CCF)
  - Modern programmable digital devices contain **multiple hardware and software components** and often **complicated supply chains**.
  - Vendor diversity in components is no guarantee of diversity and may hide CCF issues.
- Use of open-source AI/ML models to automate the analysis of data sets reduces human error, improves time-efficiency and produces more complete and correct analysis results.

## Approach



- Identify Digital Devices and software used in advanced reactor designs
  - Collaborate directly with industry stakeholders (developers, utilities, NEI, etc.)
  - Identify integration of these devices into advanced reactor design and safety systems
    - Diversity of Defense-In-Depth
    - Common Cause Failure Scenarios
- Evaluate HBOM (Hardware Bill-of-Materials) and SBOM (Software Bill-of-Materials) extraction methods
- Evaluate artificial intelligence methods (Large-Language Models) and Machine Learning approaches
- Evaluate suitability of AI/ML methods of D3 Analysis and CCF
- Document results of research and feasibility of approach

# Why SBOMs and HBOMs?

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- Software Bill of Materials (SBOMs) identify various components used to build the software (open source or proprietary components).
- Hardware Bill of Materials (HBOMs) identify physical hardware parts, components and firmware in a device.
- Transparency enables organizations to identify vulnerable software and hardware components that are then identified in databases and security advisories of known vulnerabilities
- Provides insights on source of components and enables visibility to the software supply chain traceability and dependencies

## Challenges with Approach



- SBOMs and HBOMs may be viewed as proprietary or a competitive advantage by vendors and system designers, leading to repeating work to generate HBOMs and SBOMs for standard industry devices.
- Without HBOMs and SBOMs, programmable digital devices may be opaque to subject matter experts, leading to increased uncertainty on risk despite best efforts of D3 and CCF analysis.

# Cybersecurity Scenarios, Partial



Traditional **fault tolerant design** pattern based on Lamport's *Byzantine Generals* problem (1982)



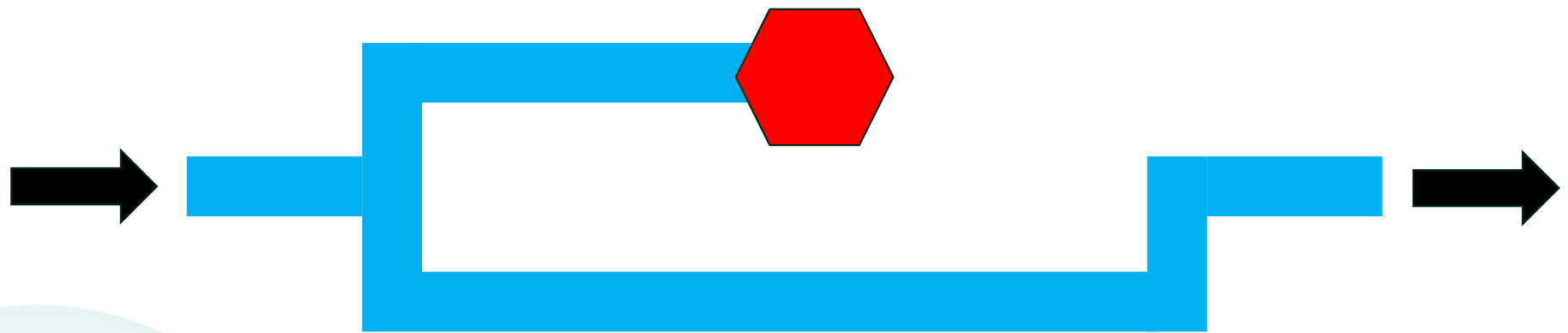
Single channel (water) is vulnerable to breaks and blockages

# Cybersecurity Scenarios, Partial



Two (or more) channels provide redundancy

# Cybersecurity Scenarios, Partial

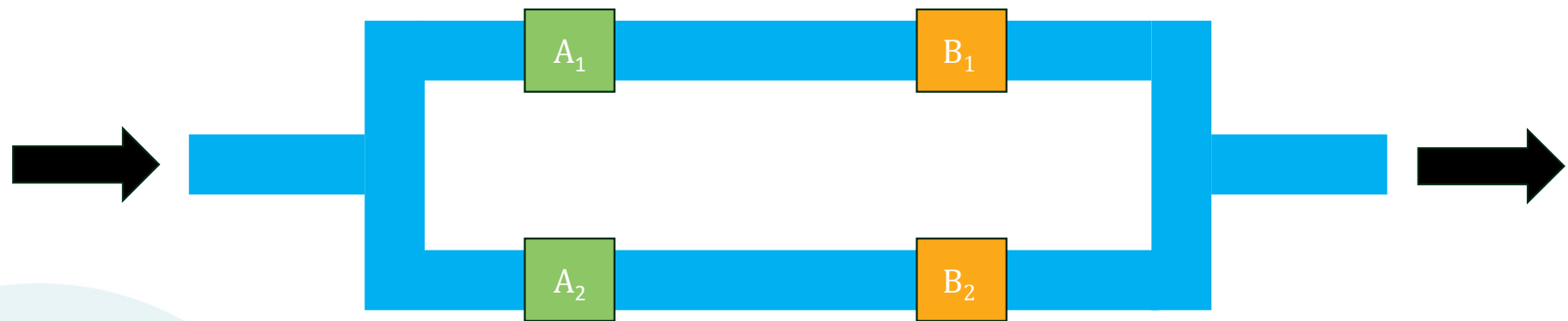


Two channels provide redundancy

# Cybersecurity Scenarios, Partial



The more useful **two-channel, four sensor** design pattern

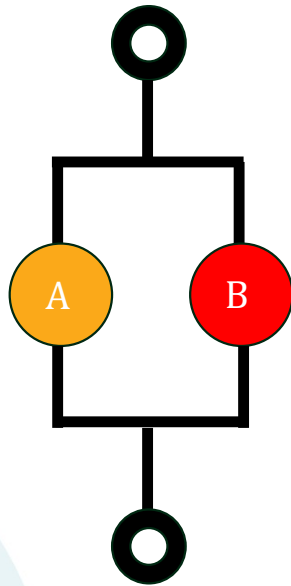


$3n+1$  sensors (4) can tolerate 1 failure (n)

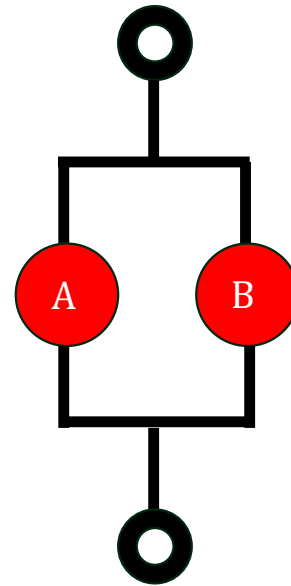
# Cybersecurity Scenarios, Partial



Two ways Diversity and Defense-in-Depth ( $D^3$ ) may introduce vulnerabilities



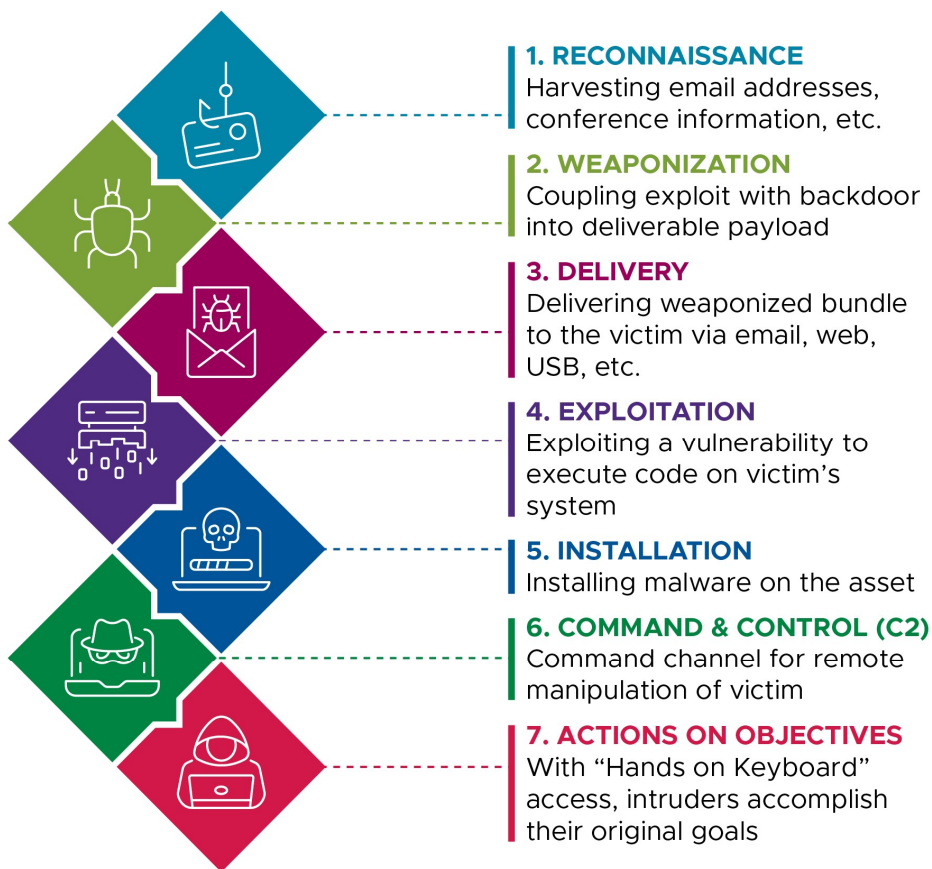
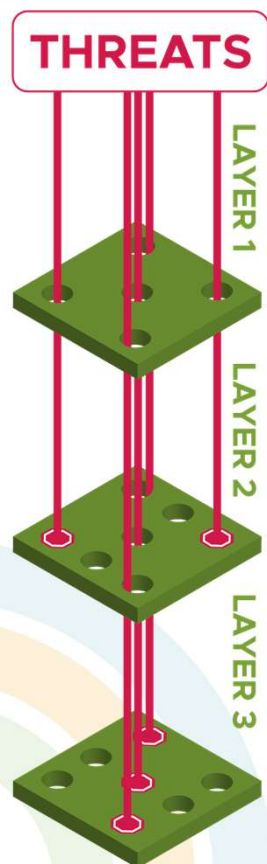
A. Objectively “better”



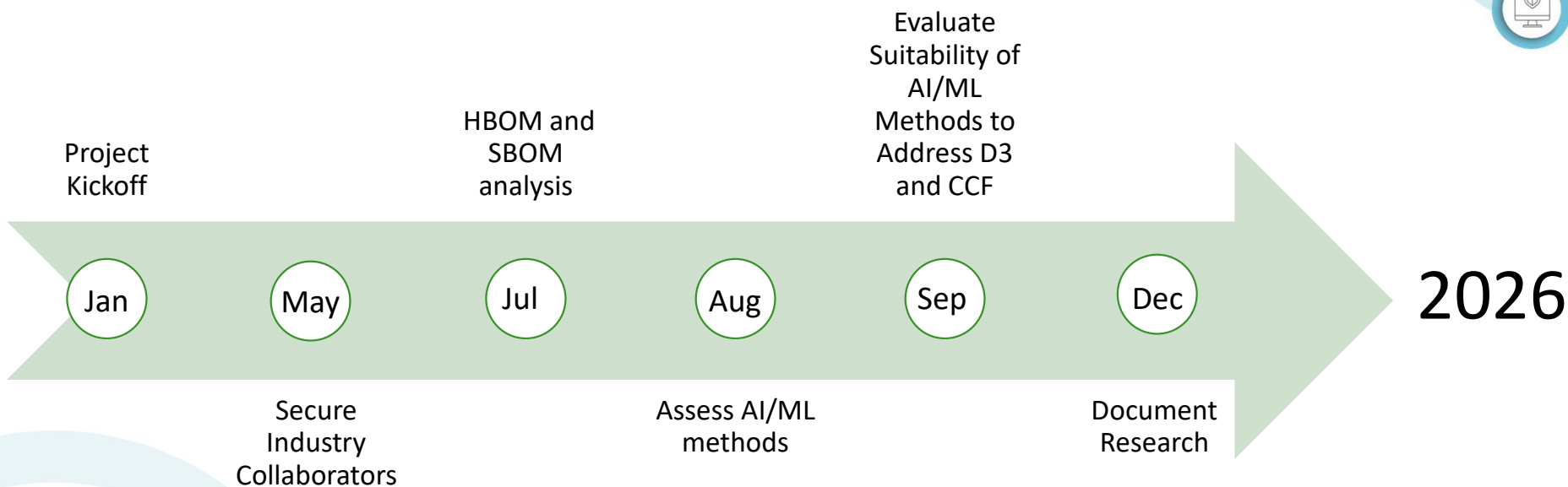
B. Hidden homogeneity (CCF)



# Diversity and Defense-in-Depth



# Research Timeline



# Progress to Date

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## ➤ Met with industry partners

- Advanced reactor developers (Kairos)
- Utilities deploying advanced reactors (Columbia Generating Station and X-Energy)
  - HBOM / SBOM extraction methods
  - Artificial intelligence models (LLMs)
  - Machine learning algorithms
- Subject matter experts (NEI)
  - Nuclear safety systems
  - Computer science

## ➤ Drafted NDAs

# PNNL Team

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