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U.S. DEPARTMENT OF  
**ENERGY**

# Electrical Simulations of Parallel and Series Arc Faults

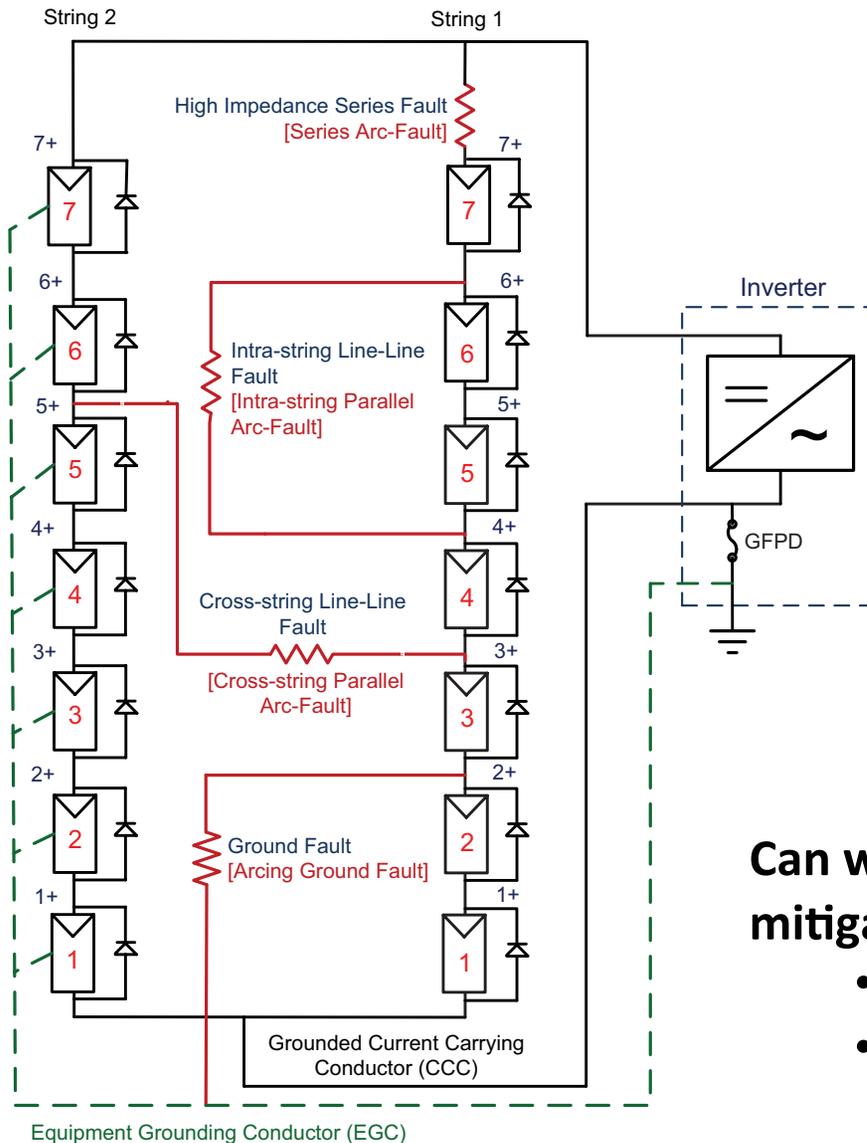
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# Outline

- Introduction to Arc Faults and SPICE Simulations
- Experimental
  - Constant Resistance Faults with Load Bank
  - Arc-Fault with Load Bank
  - Constant Resistance Faults with Inverter
  - Arc-Fault with Inverter
- Summary and Conclusions

# Arc Faults

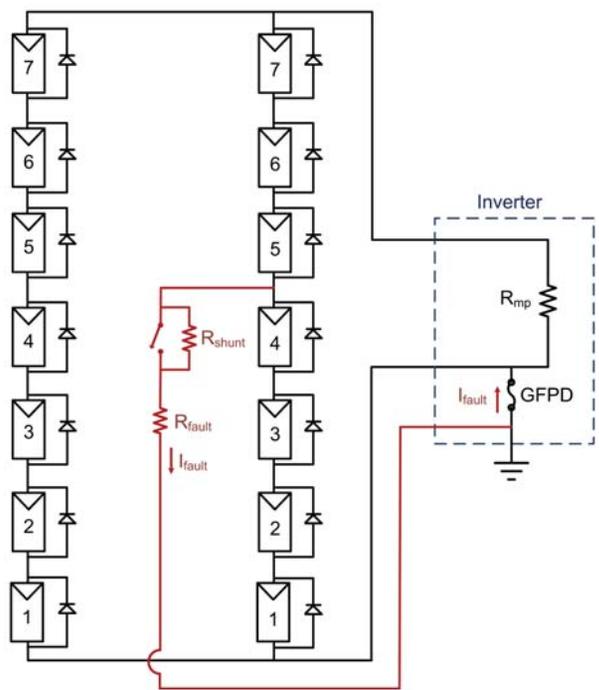
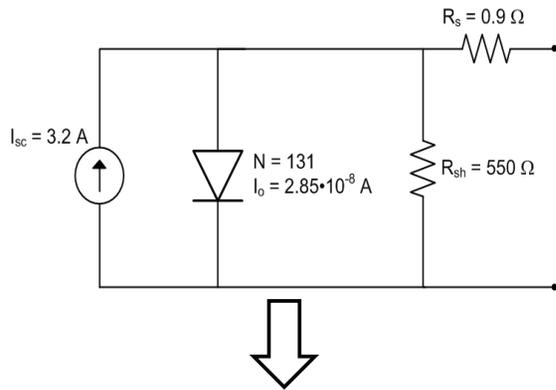


- Types of arc-faults
  - Series Arc-Fault – Arc from discontinuity in electrical conductor
  - Parallel Arc-Fault – Electrical discharge between conductors with different potentials
- 2011 *NEC* requires **series** arc-fault protection in PV installations on or penetrating a building above 80 V
- 2014 *NEC* may require **parallel** arc-fault protection in PV installations

**Can we use array simulation techniques to aid in detection, mitigation, and differentiation of arc faults?**

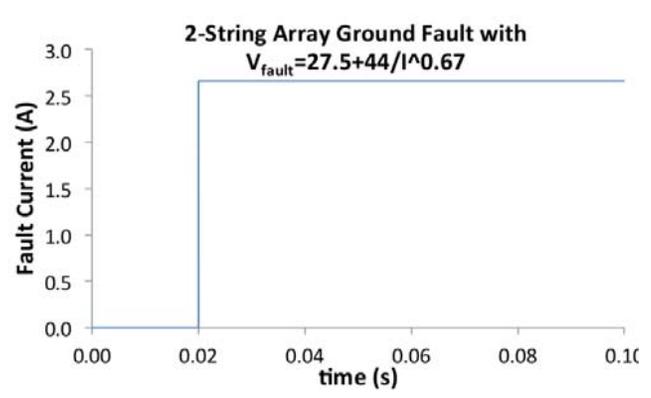
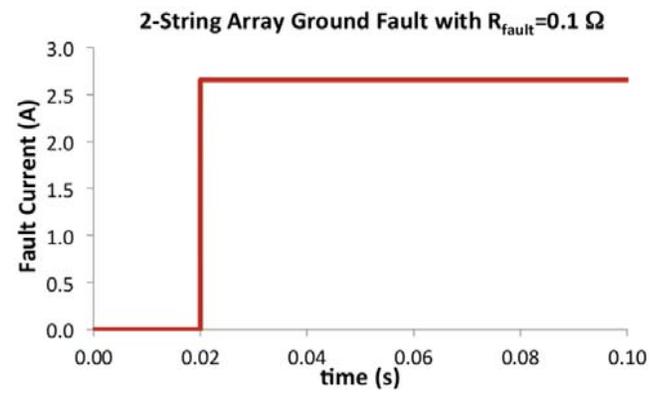
- Mitigation different for series vs. parallel faults
- Location determination critical for large installations

# SPICE Simulation



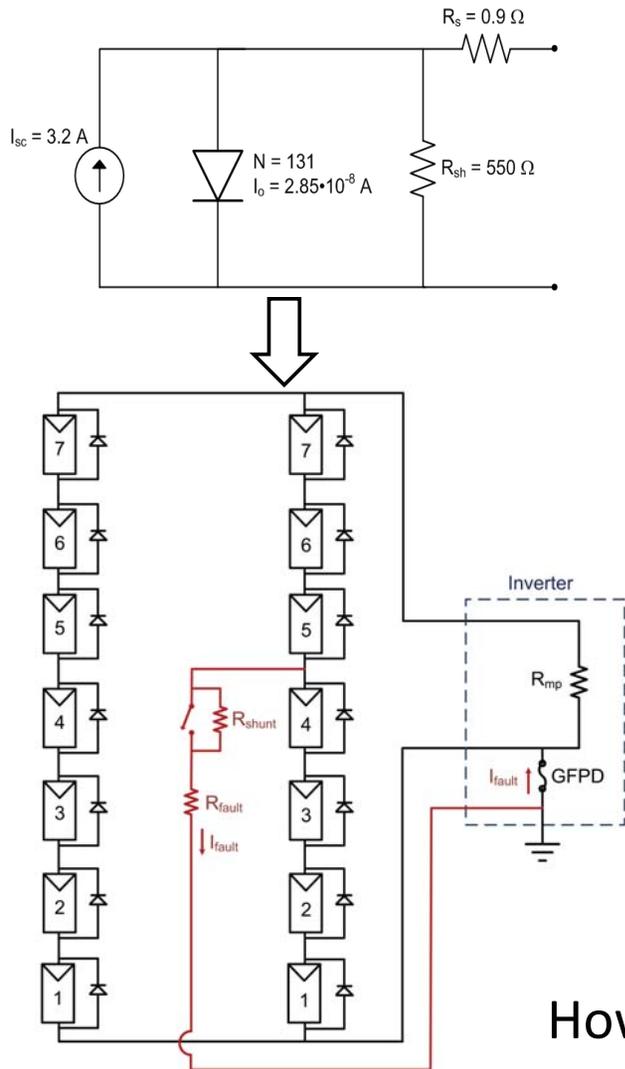
- Simulation Program with Integrated Circuit Emphasis
  - Standard simulation tool for nonlinear circuit effects
- 1 diode model of PV Module (200 W multicrystalline Si),
  - 7 modules series, 2 strings parallel
  - Can be made much larger (~500 strings)
- Inverter modeled as resistor or parallel resistor/capacitor
- Fault modeled as a ~~current varying voltage source~~ resistor

$$V = A + \frac{B}{I^n}$$



J. Flicker and J. Johnson, "Photovoltaic Ground Fault and Blind Spot Electrical Simulations " SAND Report 2013-3459, 2013.  
[http://energy.sandia.gov/?page\\_id=2886](http://energy.sandia.gov/?page_id=2886)

# SPICE Simulation



- Simulation Program with Integrated Circuit Emphasis
  - Standard simulation tool for nonlinear circuit effects
- Inverter modeled as resistor or parallel resistor/capacitor
  - Does not account for 2<sup>nd</sup> order non-idealities/transients due to switching, grid interaction
  - Does not explicitly account for MPPT, must be done manually
- Fault modeled as a current varying voltage source
  - Time dependence of fault resistance must be done manually

How accurately can SPICE predict array operation during fault?

J. Flicker and J. Johnson, "Photovoltaic Ground Fault and Blind Spot Electrical Simulations " SAND Report 2013-3459, 2013.

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# Experimental

## Fault Type

1. Series fault
2. Intra-string parallel
3. Cross-string parallel
4. Ground fault

## Array Load Type

1. Load bank at  $R_{mp}$  ( $\sim 55.6 \Omega$ )
2. 5.0 kW inverter without arc-fault protection

## Fault Resistance

1. Constant resistance fault  
(3.2, 5.2, 10.4, 22.4  $\Omega$ )

2. UL1699B-style Arc Fault Generator



6/25/13



Jack Flicker

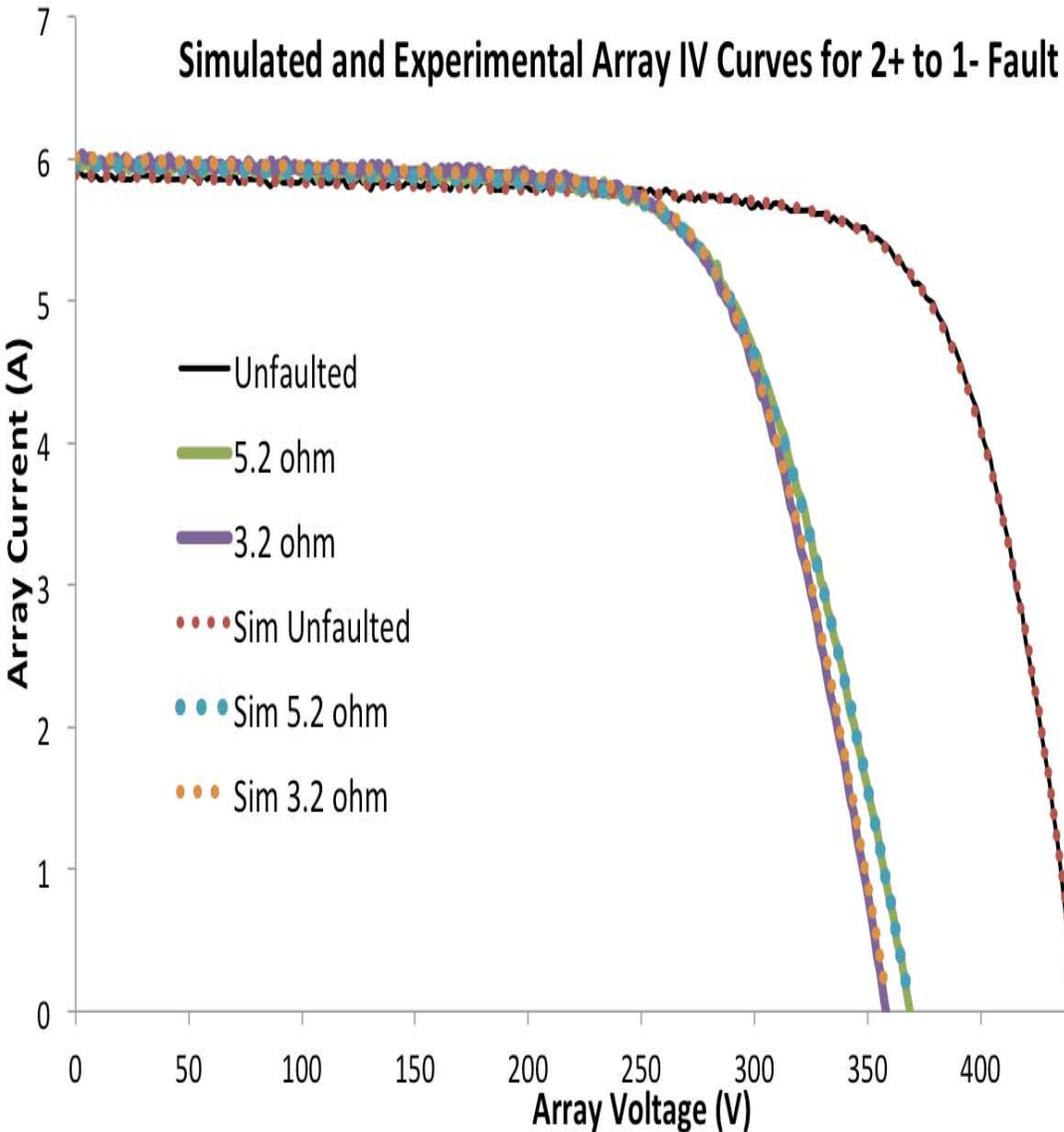


# Experimental

1. Constant resistance faults with the load bank
  - Find SPICE parameters for the PV modules
  - provide a baseline for the other tests
2. Arc-faults with the load bank
  - Ensure SPICE arc-fault model (resistor) accurately simulates dynamic electrical behavior of arc plasmas
3. Constant resistance faults with inverter
  - Ensure SPICE can accurately describe array/inverter system behavior during fault
4. Arc-faults with inverter
  - Determine any difference in inverter operation between arc-faults and constant resistance faults

# Experimental

## Constant Resistance Fault with Load Bank



- One-diode module parameters matched to array at Sandia Distributed Energy Technology Laboratory (DETL) array

2 string, 7 module, 200 W multicrystalline Si

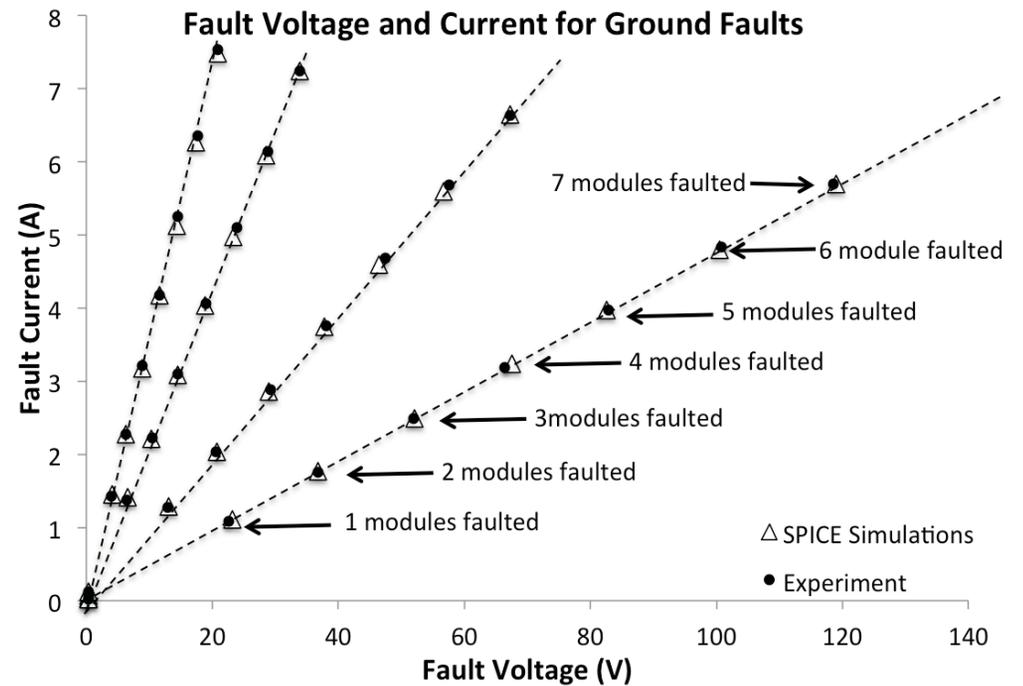
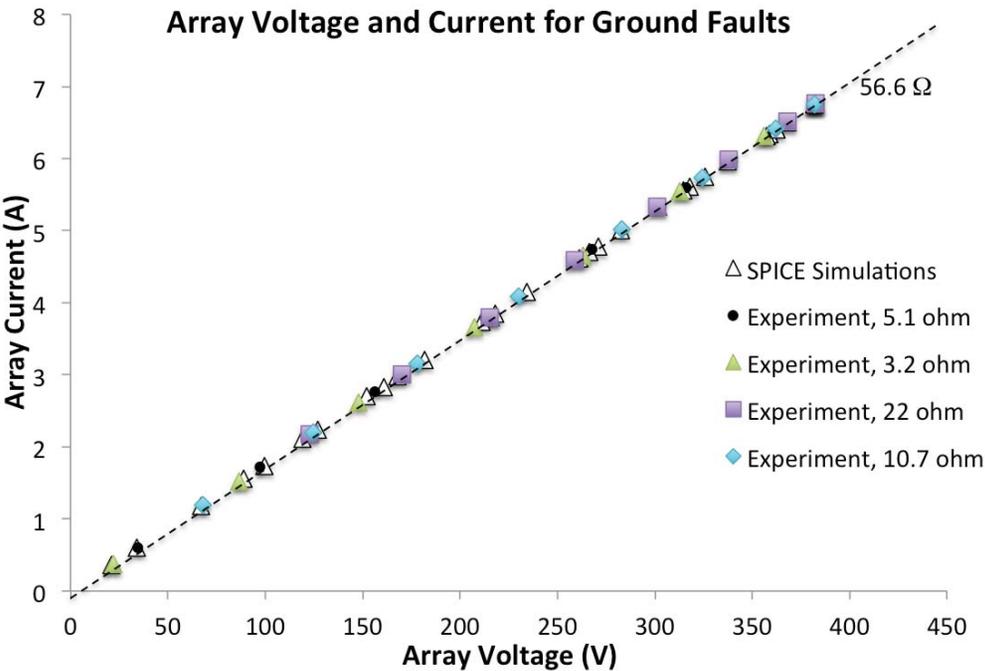
- IV curves with Daystar, Inc DS-100C tracer

- 900 W/m<sup>2</sup>:  
 $N = 131$   
 $I_{sc} = 3.2 \text{ A}$   
 $R_s = 900 \text{ m}\Omega$   
 $R_{sh} = 550 \Omega$

$I_{sc}$ ,  $N$  changed slightly to match MPP and account for irradiance/temperature changes during operation

# Experimental

## Constant Resistance Fault with Load Bank

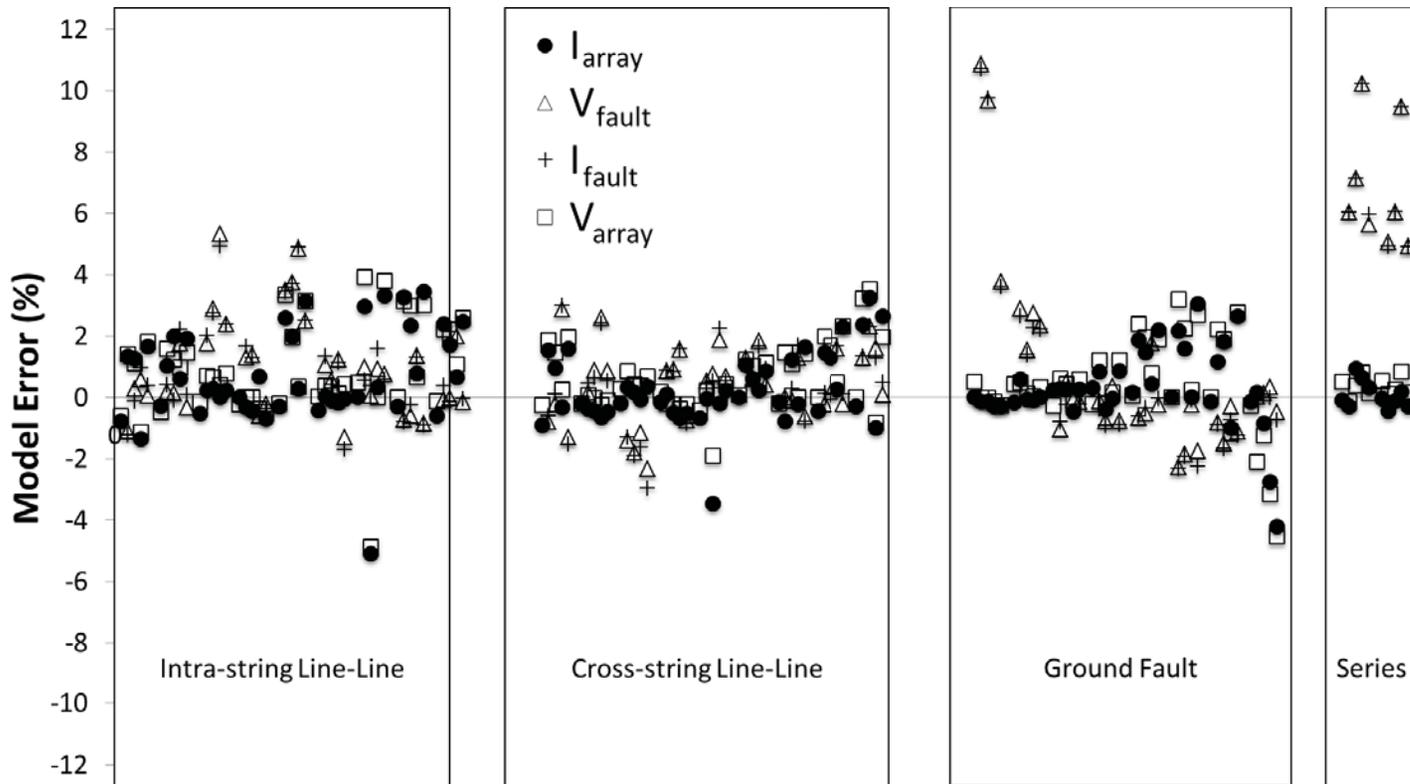


- Good correlation between SPICE simulations and experimental data



# Experimental

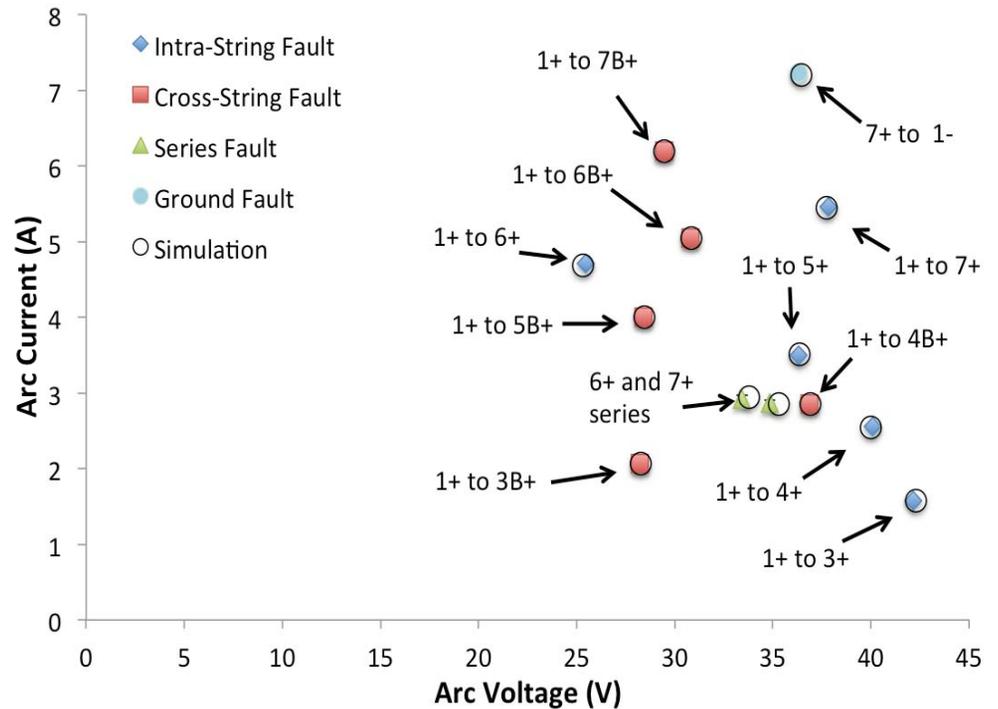
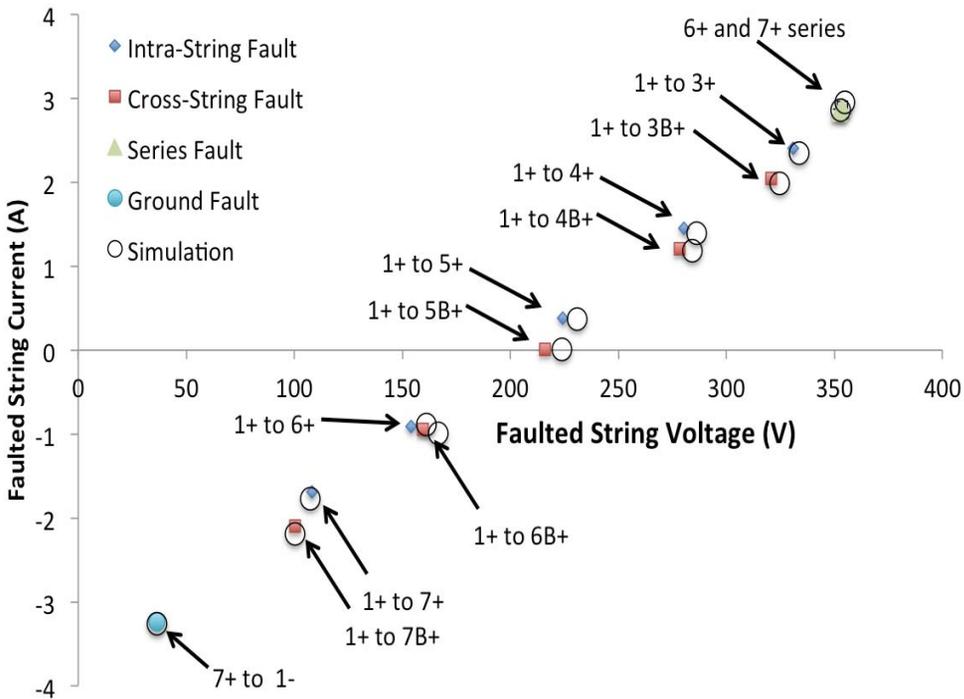
## Constant Resistance Fault with Load Bank



- Good correlation between SPICE simulations and experimental data
- Most simulation within 5% of experiment
- Can the model describe arc-faults as well as constant resistance faults?

# Experimental

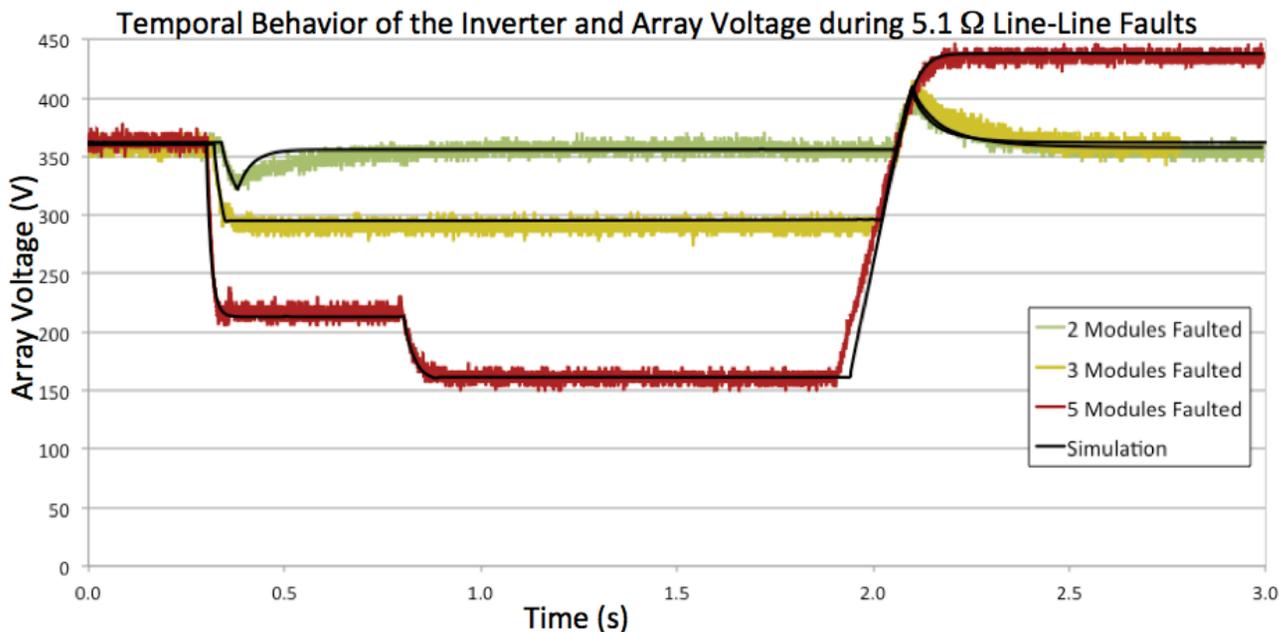
## Arc Fault Generator with Load Bank



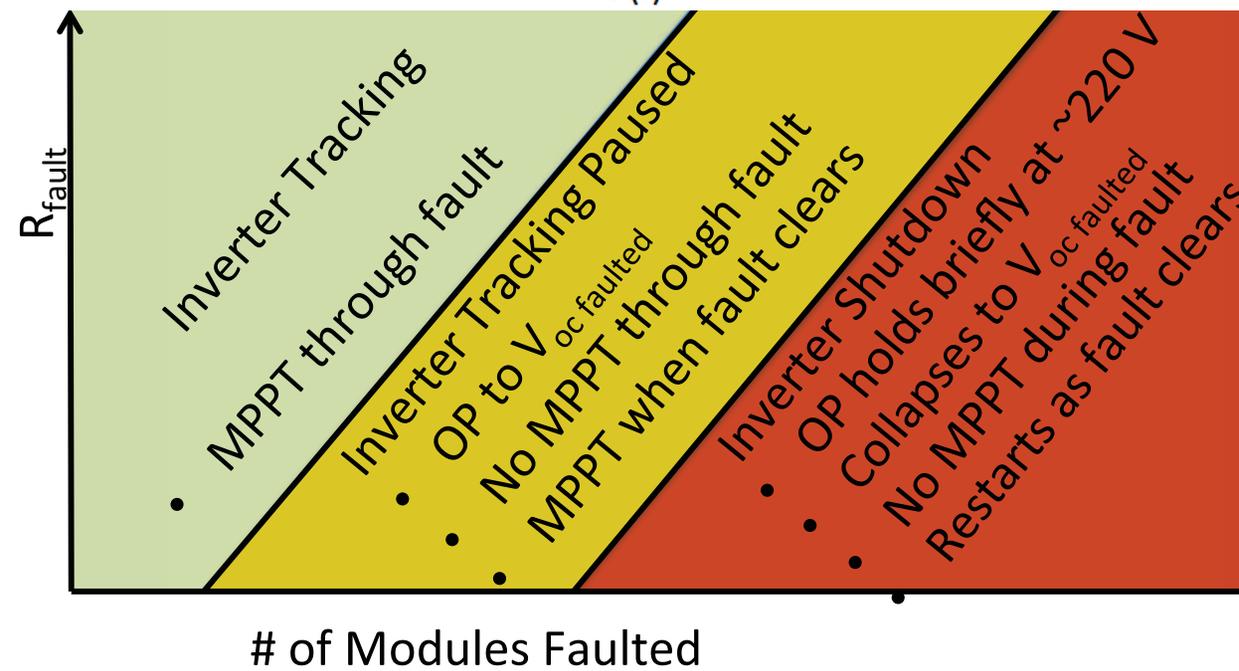
- Good correlation between SPICE simulations and experimental data
- Can the model describe arc-faults as well as constant resistance faults? **Yes**
- But can it describe inverter behavior?

# Experimental

## Constant Resistance Fault with Inverter



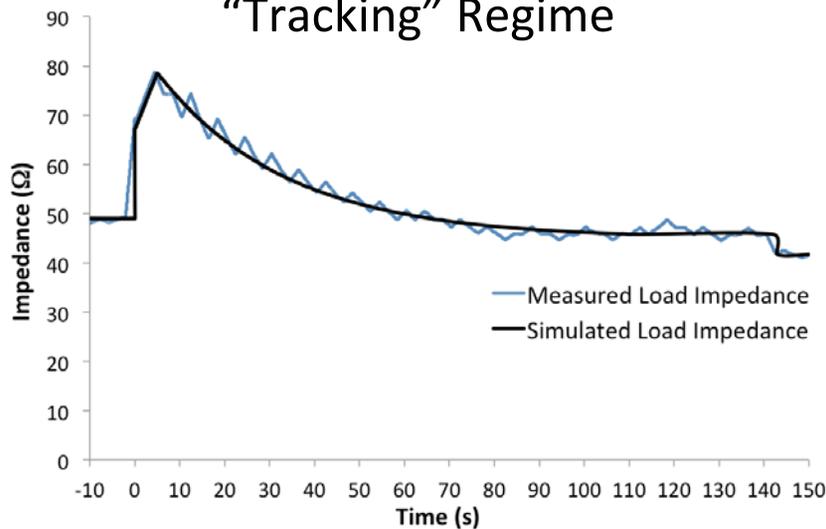
- Three operational modes of inverter depending on “severity” of fault
- Least serious faults, inverter will MPPT before, during, and after fault
- Moderate faults, inverter will go to  $V_{oc\ faulted}$  during fault, but will MPPT after fault
- Most serious faults, inverter will briefly hold voltage ( $\sim 220V$ ) before collapsing to  $V_{oc\ faulted}$ , restart after fault



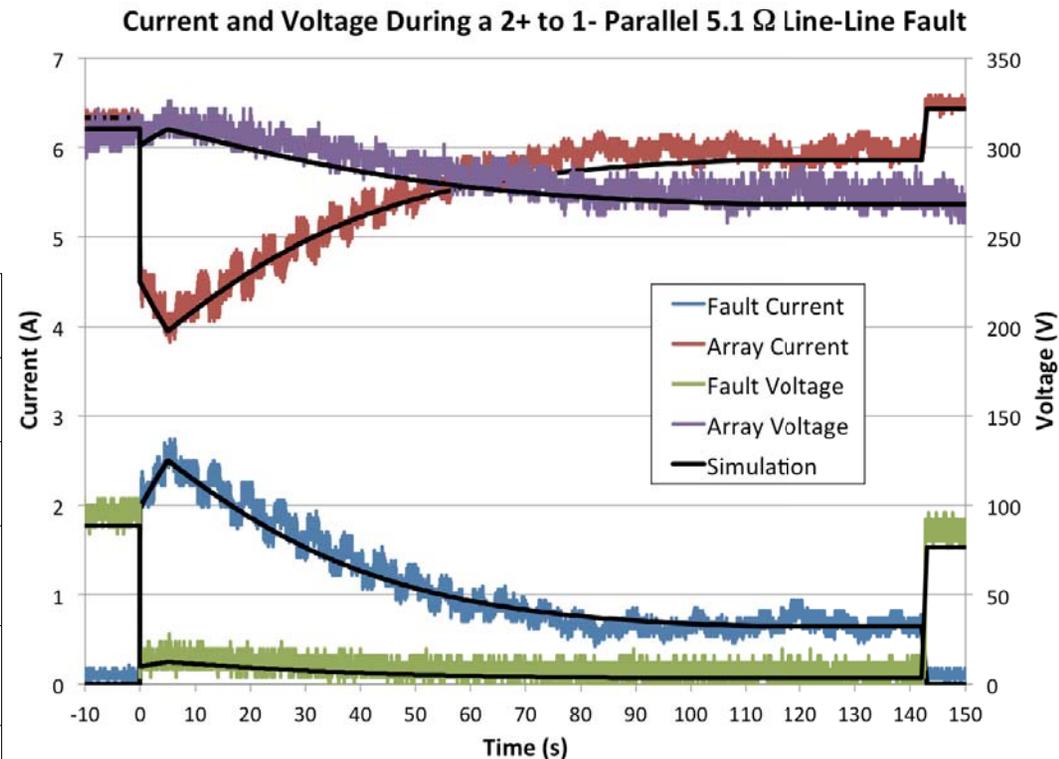
# Experimental

## Constant Resistance Fault with Inverter

2+ to 1- Parallel 5.1 Ω fault  
"Tracking" Regime



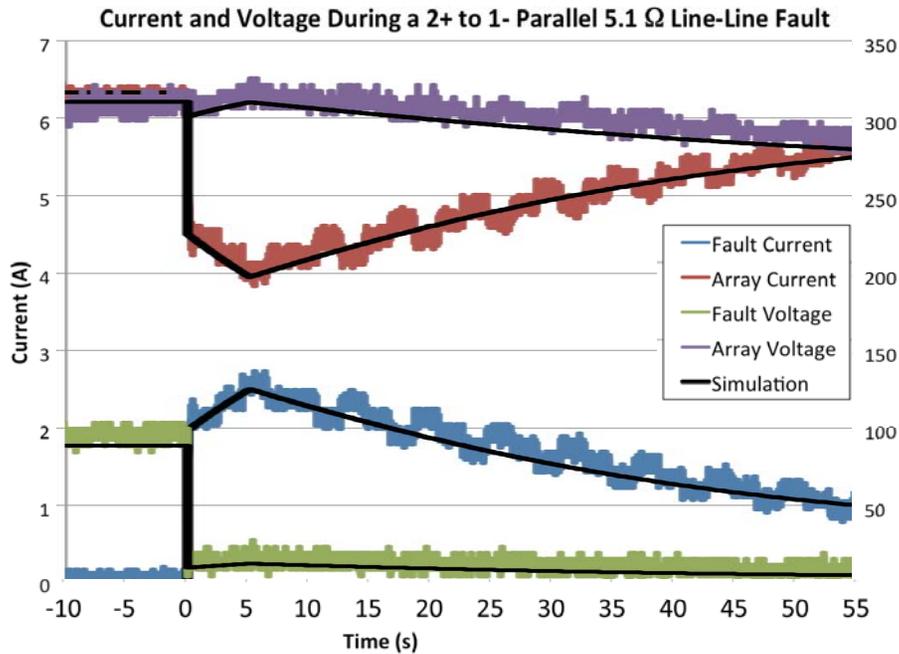
Time (s)	$R_{load}$ (Ω)	Action
-10 to 0	49	MPPT
0	67	OP to $V_{MPP}$
0 to 4.4	$R = 2.64 \cdot t + 67$	
4.4 to 143	$R = 33.6 \cdot e^{-0.035t} + 45$	MPPT
143 to 150	41.7	OP to $V_{MPP}$



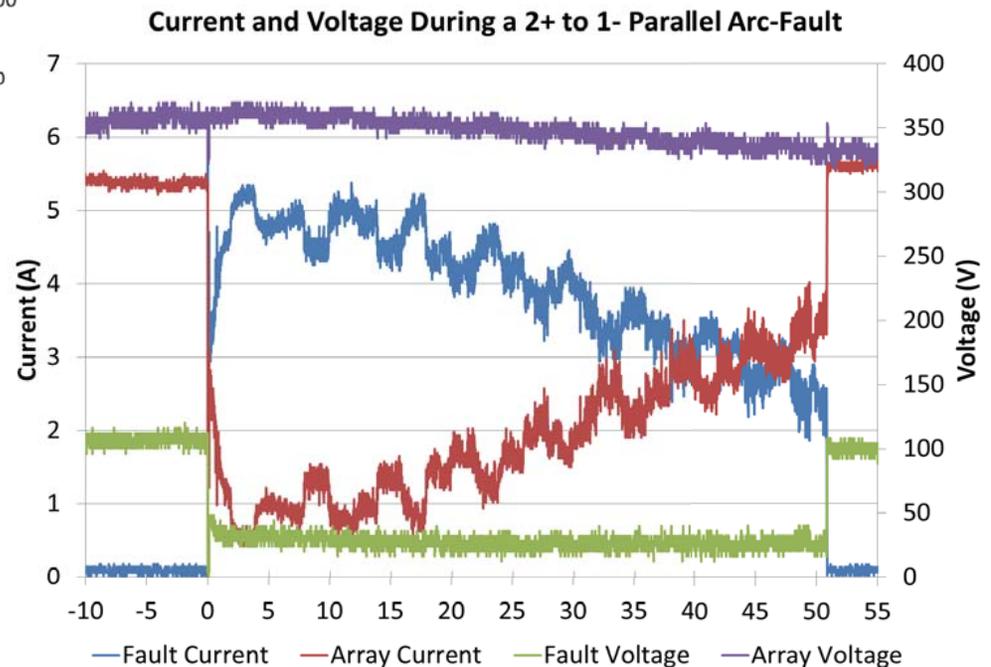


# Experimental

## Constant Resistance Fault with Inverter



- Inverter treats both constant resistance and arc-faults the same
  - More noise during arc-fault
  - Will not complete tracking
    - Decreased voltage during tracking leads arc to self-extinguish





# Summary and Conclusions

- Introduced SPICE simulations of PV arrays to model series and parallel arc-faults
- Experiments conducted analyzing array and fault voltage/current in real faulted arrays
  - Constant resistance faults with load bank
  - Arc-fault with load bank
  - Constant resistance faults with inverter
  - Arc-fault with inverter
- Three fault-dependent inverter modes depending on “severity” of fault
  - “tracking”
  - “tracking paused”
  - “inverter shutdown”
- SPICE simulations completely describe both static conditions with the array connected to the load bank and dynamic conditions with inverter MPPT
- Inverter treated constant resistance faults and arc-faults similarly when MPPT

# Acknowledgements

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