Sandia Vertical-Axis Wind Turbine Project
Technical Quarterly Report
April-June 1976

Advanced Energy Projects Division

Prepared by Sandia Laboratories, Albuquerque, New Mexico 87115
and Livermore, California 94550 for the United States Energy Research
and Development Administration under Contract AT(20-1)700

Printed January 1977
Issued by Sandia Laboratories, operated for the United States Energy Research & Development Administration by Sandia Corporation.

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SP 1004-DF (775)

Printed in the United States of America

Available from
National Technical Information Service
U.S. Department of Commerce
5285 Port Royal Road
Springfield, VA 22161
Price: Printed Copy $5.00 : Microfiche $3.00
SANDIA VERTICAL-AXIS WIND TURBINE PROJECT
TECHNICAL QUARTERLY REPORT
April-June 1976

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ABSTRACT

This report summarizes activities within the Sandia Laboratories Vertical-Axis Wind Turbine Project that occurred during the fourth quarter of fiscal year 1976. Included are highlights for the quarter and status reports on activities in areas of systems studies aerodynamics, electrical systems, structures, and mechanical design. Subheadings in each section cover general development activities and activities related to the 17-meter turbine and the 5-meter turbine. Also included in this report is the program of the Sandia Vertical-Axis Wind Turbine Technology Workshop, a list of workshop attendees, and a list of project-related Sandia publications.
FOREWORD AND ACKNOWLEDGMENTS

The work covered herein was performed by Sandia Laboratories under a contract administered by the Wind Energy Conversion Branch (Division of Solar Energy) of the Energy Research and Development Administration. The time period is April 1, 1976 to June 30, 1976. Previous work has been reported in Sandia Vertical-Axis Wind Turbine Program Technical Quarterly Report, October-December 1975, SAND76-0036, printed April 1976, and Sandia Vertical-Axis Wind Turbine Program Technical Quarterly Report, January-March 1976, SAND76-0338, printed August 1976. This report represents contributions from the following Sandia staff members:

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In addition, John Nightingale, a technical summer hire, contributed work on the turbine braking system.

The following Sandia personnel contributed significantly to hardware development for the project:

K. G. Grant
C. E. Longfellow

R. S. Rusk
J. Lackey

A major international event which took place during this reporting period was the Vertical-Axis Wind Turbine Technology Workshop, held in Albuquerque, New Mexico, May 17-20, 1976. The proceedings of the workshop were published under the same title in Sandia report SAND76-5586,
SUMMARY

The basic Darrieus vertical-axis wind turbine (VAWT) consists of fixed-pitch blades attached to a central, torque-transmitting shaft. Preliminary investigations of this device have noted a number of potential advantages over conventional horizontal-axis systems. These advantages provided the motivation for establishing a project at Sandia to investigate the feasibility of this turbine, particularly when used as an augmenting device to pump energy into an existing synchronous electrical network.

Based on the strategy that feasibility can best be established through a balanced program of hardware and analytical development, a twofold interactive technical approach has been adopted. This approach involves (1) development of the necessary analyses and empirical experience to design large, megawatt-range turbine systems that are economically optimized and (2) the use of this technical background in conjunction with the experience and manufacturing facilities of private industry to design and construct a series of large VAWT power-generating systems. This series will begin with a 17-meter-diameter turbine connected to a 60-kW synchronous generator.

Highlights of program activities and progress for the quarter, April-June 1976, are as follows:

- An assessment of relative energy output for synchronous and asynchronous operation has been made. Relative energy output was quantified by studying the influence of several power coefficient parameters.

- The influence of turbine diameter-to-height ratio on aerodynamic performance was investigated. For values of this ratio between 2/3 and 1, there appear to be no aerodynamic advantages or disadvantages to guide its selection.

- Aeroelastic analyses were performed by Prof. N. D. Ham (Sandia consultant) which indicate no potential blade flutter problem exists with the 17-meter turbine when the struts are in place. Blade cross section mass balancing was found analytically to be unimportant in contributing to the presence of blade instabilities.

- Several design features of the blades being evaluated and fabricated under contract by Kaman Aerospace Corporation for the 17-meter turbine were finalized. A new delivery schedule was determined which places completion of nonrecurring engineering by July 16, 1976, completion of tooling by September 7, 1976, and blade delivery by December 15, 1976.

- Comparison of blade airloads for the 17-meter turbine, as predicted by Sandia and Kaman, were made. Some differences in airload magnitudes were discovered.
• A summary of experimental data collected over the two previous quarters on the aero-
dynamic performance of the 5-meter turbine was made.

• Structural evaluation and design of numerous major components of the 17-meter turbine
have been completed. These components include the support base, blade support tower,
turbine tie-down elements and the braking system.

The main body of this report contains expanded discussions of progress made on program
activities during the April-June 1976 quarter. Major headings of Systems Studies, Aerodynamics,
Electrical Systems, Structures and Mechanical Design may each contain subsections on general
development, 17-meter turbine activities and 5-meter turbine activities.

A major activity which took place during this quarter was the Sandia sponsorship of partici-
pation in the Vertical-Axis Wind Turbine Workshop held May 17-20, 1976, in Albuquerque,
NM. Sections VI and VII of this report contain the workshop program and attendance list, respec-
tively.

Section VIII contains an updated list of Sandia publications and presentations, except those re-
lated to the workshop, which are contained in Section VI. The final section of the report, Section
IX, contains a list of references.
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Relative Energy Output From Synchronous and Asynchronous Operation

The major thrust of Sandia's wind energy project has been directed toward development of large vertical-axis Darrieus wind turbines for utility grid applications.

It is planned to operate these turbines at constant rotational speed by connecting to an existing utility grid through a synchronous generator. The performance characteristics of current turbine designs had an influence on the decision to pursue this type of system. Specifically, the ability of the Darrieus turbine to self-regulate in the synchronous mode, thereby eliminating costly aerodynamic controls, is viewed as a definite advantage over more conventional turbines [1]. On the other hand, asynchronous operation, where the rotational speed is varied in proportion to the wind speed to maintain maximum efficiency, has the potential for greater energy output. It is important to determine the amount of improved energy production to judge the worth of systems of increased complexity.

This section summarizes an assessment of relative energy output for synchronous and asynchronous operation. A power coefficient with variable parameters is used to indicate the influence of the parameters on relative energy output.

For well-designed turbines the results indicate a difference in energy output of about 5 percent while for off-optimum designs the difference can be 30 percent. The benefit of using two fixed rotational speeds in synchronous operation is also examined. In this case, the difference in energy output can be reduced by 2 percent to 20 percent depending on the turbine design. Finally, since data indicate a strong dependence of power coefficient on Reynolds number, comparison of the two modes of operation is made with performance characteristics of current turbine designs. The difference is found to be about 10 percent to 20 percent; furthermore, the use of two fixed speeds only reduces this difference by about 1 percent.

Influence of Power Coefficient

In comparing the energy output from synchronous and asynchronous operation, the influence
of features of the power coefficient should be understood in order to identify improved turbine designs. Annual energy output has been calculated from a system model which uses a power coefficient with variable parameters.

The particular functional form for the power coefficient is shown in Figure 1. Features of interest are the maximum efficiency $C_{p_{\text{max}}}$, the tip speed ratio $\lambda_m$ corresponding to maximum efficiency, the tip speed ratio $\lambda_r$ at zero efficiency, the tip speed ratio $\lambda_k$ at which power reaches a maximum with respect to wind speed, and an exponent $n$, which defines the turbine output power for wind speeds exceeding the rated wind speed. In addition, the system model accounts for the wind speed frequency distribution at a site as well as component efficiencies as a function of load,

$$C_p = \frac{4C_{p_{\text{max}}}(\lambda_r-\lambda_m)(\lambda_r-\lambda)^2}{\lambda_m^2 + \lambda(2\lambda_r-3\lambda_m)^2}$$

$$\lambda = \frac{R\omega}{V}$$

Figure 1. Power Coefficient with Variable Parameters

For synchronous operation, annual energy $E_{\text{sync}}$ is proportional to $C_{p_{\text{max}}}$ and is a function of $R\omega/V$, $\lambda_k/\lambda_m$, $\lambda_r/\lambda_m$, and $n$. These parameters also define the equipment ratings required to achieve self-regulation. The fixed rotational speed is chosen by selecting a value for $R\omega/\lambda_m$. If this value is low, the rated power is low and relatively little energy is produced. Alternatively, if this value is high, the rated power is high but corresponding wind speeds occur seldom and again relatively little energy is produced. There appears to be a value of $R\omega/\lambda_m$ which maximizes $E_{\text{sync}}$. In the results to follow the rotational speed has been chosen to maximize annual energy.

For asynchronous operation below rated power, the tip speed is made to vary in proportion to the wind speed such that $R\omega/V$ is equal to $\lambda_m$, that is, the turbine achieves maximum efficiency $C_{p_{\text{max}}}$. Annual energy $E_{\text{async}}$ is proportional to $C_{p_{\text{max}}}$ and is a function of $P_{\text{rated}}/C_{p_{\text{max}}}$ where $P_{\text{rated}}$ is the rated power. There appears to be a unique value of $P_{\text{rated}}/C_{p_{\text{max}}}$ which maximizes $E_{\text{async}}$. In the results to follow, the rated power has been chosen to maximize annual energy.
It should be noted that this energy output represents an upper bound. For practical systems the tip speed will not track the wind speed precisely and therefore the turbine efficiency will not always be $C_{p_{\text{max}}}$.

Figure 2 shows the percentage difference between $E_{\text{async}}$ and $E_{\text{sync}}$ as a function of $\lambda_k/\lambda_m$ and $\lambda_r/\lambda_m$. This difference is independent of $C_{p_{\text{max}}}$ and $\lambda_m$. It is, however, desirable to achieve high values for these parameters. The value of $C_{p_{\text{max}}}$ should be made high in order to increase the energy output using either operational mode. The value of $\lambda_m$ should be made high in order to increase rotational speeds and thereby reduce the cost of the transmission and generating equipment.

![Figure 2. Relative Difference in Energy Output for Single Speed Synchronous Operation](image)

For typical values of $\lambda_k/\lambda_m$ and $\lambda_r/\lambda_m$, the energy outputs differ by 5 percent to 30 percent. The difference can be made small by making $\lambda_r/\lambda_m$ large; that is the power coefficient curve is broadened. In this case, even though the rotational speed is fixed in synchronous operation, the turbine efficiency remains relatively high over a wide range of tip speed ratios and hence wind speeds. Figure 2 also indicates that the difference in energy output is minimized with respect to $\lambda_k/\lambda_m$ at a value of about 0.7. A qualitative explanation for the occurrence of this minimum follows: If the value of $\lambda_k/\lambda_m$ is low, the required rated power for synchronous operation is high and the decreased component efficiencies at reduced loads tend to give low energy output. If the value of $\lambda_k/\lambda_m$ is high, the peak effective turbine efficiency is reduced and energy output is low. Thus, energy output is maximized for an intermediate value of $\lambda_k/\lambda_m$.

A potential improvement to energy output for synchronous operation can be made through the use of a multiple fixed speed transmission. Depending on the current wind speed, a
rotational speed which maximizes power would be selected from the available set. It should be noted, however, that for a practical system this procedure can only be approximated since speed changes could not be accomplished instantaneously. Figure 3 shows the difference in energy output as a function of \( \lambda_r/\lambda_m \) and \( \lambda_r/\lambda_m \) for two-speed synchronous operation. The availability of a second fixed rotational speed can reduce the difference by 2 percent to 20 percent.

![Graph showing energy output difference](image)

**Figure 3. Relative Difference in Energy Output for Two-Speed Synchronous Operation**

Wind tunnel test data and aerodynamic model predictions [2] indicate that the features of the power coefficient are substantially dependent on Reynolds number. For example, trends with increasing Reynolds number appear to be that \( C_{p_{\text{max}}}^{\text{sync}} \) and \( \lambda_r/\lambda_m \) are increasing while \( \lambda_r/\lambda_m \) is decreasing. Because of these opposing tradeoffs in turbine performance characteristics, the effect of Reynolds number on relative energy output from synchronous and asynchronous operation is not immediately obvious using the previous results. Figure 4 shows this difference as a function of turbine radius when the effects of Reynolds number are included. For large turbines the difference is about 10 percent to 20 percent. It appears that the decrease in \( \lambda_r/\lambda_m \) with increasing Reynolds number (i.e., increasing turbine size) is the dominant factor in reducing energy output for synchronous operation. Figure 4 also indicates that for turbines of current design, the use of a second fixed rotational speed increases energy output by less than 1 percent.
Influence of Diameter-to-Height Ratio on Turbine Design

The previous works of Blackwell and Reis [8,4] have shown that the geometric characteristics of the troposkien can be expressed as a function of the diameter/height ratio ($\beta$). Results from these studies indicate that $\beta$ can be treated as a design parameter with a value chosen such that system energy costs are minimized. A parametric study was undertaken to investigate some of the aerodynamic, system, and structural implications of various turbine diameter/height ratios.

The baseline design chosen was a 3-bladed turbine with a diameter and height of 17 m (55.8 ft) NACA 0012 airfoil section, 0.5 m (19.7 in) chord, and 4.6 m (15 ft) ground clearance. For this configuration, the blade length was 25.1 m (82.5 ft). If the blade cross section remains fixed, then blade cost should be directly proportional to blade length. In order to facilitate comparison between the various diameter/height ratios, the blade length (and hopefully blade cost) was held constant. Table I presents the turbine geometrical characteristics that are dependent only on the parameter $\beta$ (for a fixed blade length).

Since the ground clearance was assumed fixed at 4.6 m (15 ft), the velocity at the turbine centerline increases with decreasing $\beta$. Therefore, wind shear must be accounted for in the aerodynamic calculations. For the purpose of this analysis, the 1/7th power law was assumed valid. The aerodynamic performance for the five configurations in Table II was computed with a variable Reynolds number version of the Strickland [5] model, and the results are presented in Figure 5. Both the power production and tip speed have been normalized by a reference velocity ($V_{ref}$) at 3.66 m/s (12 ft/s). The large values of the reference
power coefficient are due to the fact that the turbine sees an effective velocity much larger than the reference value of 3.66 m/s. The maximum value of the reference power coefficient and the speed ratio at which it occurs are a function of $\beta$. If maximum power production could be equated to minimum energy cost, one would choose $\beta = 2/3$. However, system and structural implications must also be considered.

**TABLE I**

Blade Properties as Determined from Geometry Alone $S = 25.14$ m

<table>
<thead>
<tr>
<th>$\beta = \frac{R_{\text{max}}}{Z_{\text{max}}}$</th>
<th>$Z_{\text{max}}$ (m)</th>
<th>$R_{\text{max}}$ (m)</th>
<th>$A_s$ (m$^2$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.5</td>
<td>10.85</td>
<td>5.48</td>
<td>159.94</td>
</tr>
<tr>
<td>2/3</td>
<td>10.09</td>
<td>6.73</td>
<td>181.16</td>
</tr>
<tr>
<td>0.8</td>
<td>9.43</td>
<td>7.54</td>
<td>189.53</td>
</tr>
<tr>
<td>1.0</td>
<td>8.50</td>
<td>9.50</td>
<td>192.67</td>
</tr>
<tr>
<td>1.2</td>
<td>7.60</td>
<td>9.22</td>
<td>187.58</td>
</tr>
</tbody>
</table>

**TABLE II**

Optimized Systems for Maximum Energy in 12 MPH Avg Wind Site. Fixed Blade Length, 25.14 m. Wind Shear Included

<table>
<thead>
<tr>
<th>$\beta = \frac{R_{\text{max}}}{Z_{\text{max}}}$</th>
<th>$P_{\text{rated}}$ (kW)</th>
<th>Max Torque (ft-lbs)</th>
<th>$F_{\text{tot}}$ (kW-hr)/year</th>
<th>RPM</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.5</td>
<td>100</td>
<td>9,531</td>
<td>126,900</td>
<td>73.9</td>
</tr>
<tr>
<td>2/3</td>
<td>110</td>
<td>12,516</td>
<td>147,300</td>
<td>61.9</td>
</tr>
<tr>
<td>0.8</td>
<td>120</td>
<td>14,802</td>
<td>150,900</td>
<td>57.1</td>
</tr>
<tr>
<td>1.0</td>
<td>120</td>
<td>16,572</td>
<td>148,800</td>
<td>51.0</td>
</tr>
<tr>
<td>1.2</td>
<td>110</td>
<td>12,700</td>
<td>126,400</td>
<td>61.0</td>
</tr>
</tbody>
</table>

The structural implications are the most difficult to examine because resonant frequency and stress calculations generally require detailed modeling of the geometry. It is intuitively clear, however, that the low $\beta$ designs would respond better to gravitational loadings, but buckling of the rotating shaft would be more severe because of increased length. Tie-down loads will be greater for the low $\beta$ designs because the center of the turbine swept area is

*The current Sandia 17-m design with $\beta = 1$ is not dominated by gravitational loads, and their reduction would not be particularly beneficial for this turbine.
at a greater height and hence higher wind speed. The effect of $\beta$ on other major stresses (due to centrifugal and aerodynamic loads) and the resonant frequencies remain to be investigated. It is doubtful that there will be a massive effect, structurally, on these performance measures, at least for $\beta$ in the range of 0.66 to 1.2.

![Graph](image)

Figure 5. $C_p$ Calculation with Wind Shear (Reference Velocity at 12 Feet Utilized)

A very practical reason for favoring a low $\beta$ design is the ease of handling and shipping. Approximate size limitations on rail shipments in the United States are 3 m (10 ft) wide by 4.3 m (14 ft) high. These size constraints will limit the maximum size of a single blade segment.

In summary, it appears that there are no compelling aerodynamic performance advantages or disadvantages associated with any choice of $\beta$ between 2/3 and 1.0. The low $\beta$ turbine does have a higher rotational speed, however, which could lead to lower transmission costs. The structural tradeoffs associated with $\beta$ are not clear, and more study is recommended in this area.
II. AERODYNAMICS

Aeroelastic Analysis

Dr. Norman D. Ham, Professor of Aeronautical Engineering, Massachusetts Institute of Technology, has been serving as a consultant in the area of aeroelasticity since December, 1975. The primary objective was to have Professor Ham analyze and evaluate the problem of aerodynamic flutter in the blades of the vertical axis wind turbine. An aeroelastic analysis of the stability of the coupled bending and torsional motion of the blades was performed, and numerical evaluations were made of estimated flutter speeds for the 2 meter wind tunnel model and the forthcoming 17 meter turbine. Estimates of the flutter speed for the 2 meter model agreed fairly well with those observed experimentally. Details of the analysis and numerical evaluations are contained in Reference [7]. The effect of intermediate support struts was included for evaluation of the 17 meter turbine, and it was shown that flutter speeds are expected to be approximately twice the maximum turbine operating speed.

Possibly one of the most valuable contributions of this work is the conclusion that blade cross-section mass balancing is relatively unimportant in contributing to the presence of flutter, compared to so called "Coriolis effects". Disregarding the necessity to mass balance blade cross-sections suggests cost savings in blade manufacturing. It is generally quite costly to insure that the blade cross-section's center of gravity, center of aerodynamic pressure, and elastic axis are coincident.

Additional work on numerical evaluation of flutter speeds and experimental verification of flutter speeds, parameter sensitivities and mass balancing is being planned.

Wind Tunnel Tests

The data presented at the Vertical-Axis Wind Turbine Technology Workshop under the title "Aerodynamic Characteristics of Four Symmetrical Airfoil Sections Through 180 Degrees Angle of Attack at Low Reynolds Number (Preliminary Data Report)" was as the title indicates, preliminary. One aspect of the preliminary data about which the authors expressed concern was the drag data. The data presented had unusually low values of drag for the airfoil sections at low angles of attack. These data were reexamined, found to be in error, and were corrected. The corrected drag data are presented in Figure 6 which shows the minimum drag coefficient as a function of Reynolds number. The data for the NACA 0012 airfoil correspond very closely to published data in the Reynolds number range of $0.7 \times 10^6$ to $2.0 \times 10^6$. 
Aerodynamic Loads, 17 Meter

During this quarter, efforts have been concentrated on comparing various aerodynamic load models which have been formulated for the Darrieus turbine. Of particular interest is a model developed by Kaman for their own analysis of the 17 m turbine. The other models used in the comparison are the single and multiple streamtube models which have been discussed in the first Technical Quarterly Report [2].

In summary, the major differences in the models concern the nature of the effective wind velocity which exists within the turbine. In the single streamtube model, a uniform velocity is assumed for the turbine interior with a magnitude calculated by balancing momentum with the net drag on the turbine. The Kaman model is similar to this except that a "bullet-shaped" interior velocity profile is assumed. This profile yields a minimum velocity at the center of the turbine projected area which increases as the edge of the projected area is approached. The parameters needed to quantify the profile are determined by effecting an overall momentum balance on the turbine. The multiple streamtube model considers the turbine interior to be made up of many individual streamtubes flowing parallel to the ambient wind vector. The interior velocity is then calculated by balancing momentum on each individual streamtube.
The following results show quite clearly that the different model assumptions can lead to substantial quantitative differences in the calculated blade loads. As none of the models are "exact" representations of the actual aerodynamics which occur, there is no apparent means to resolve the conflict in the short-term. While efforts will continue to determine the source of this conflict, our current approach is to consider the suitability of the 17 m design (particularly with regard to fatigue life) based on the upper and lower limits of predicted loads. The 17 m test program will be designed to establish experimentally which model or models are the most representative, so that future designs may be carried out using realistic load models.

Load Amplitude

To compare load amplitude, data were supplied by Kaman on distributed loads along the blade for 50 rpm, 30 mph, and 75 rpm, 80 mph conditions. These loads are summarized in Figures 7 through 10 where the load distributions correspond to that particular turbine rotational angle which yields the largest force at the turbine centerline.

In Figure 7, the flap loading (normal to the flatwise plane of the blade) is given for four different analyses. Both the single and multiple streamtube models predict similar loads with the Kaman prediction being about 50% lower along the curved blade section. The single and multiple streamtube models used airfoil lift and drag data from Strickland's report [5] and these data differ somewhat from Kaman's. However, using Kaman's airfoil data in the single streamtube model does not change the results significantly, as shown in Figure 7.

In Figure 8, the differences in model predictions of lead/lag loads are more pronounced, particularly along the curved blade section. The Kaman loads in this region are less by about a factor of three from the single and multiple streamtube model predictions.

Figures 9 and 10 show an analogous comparison at 75 rpm, 80 mph. The agreement between models is reasonable for flap loads, with substantial disagreement shown for the lead/lag predictions. At this higher loading condition, the use of Kaman's airfoil data in the single streamtube model has more effect than in the 50 rpm, 30 mph case. This is due to the higher blade angles of attack (low tip speed ratio) associated with the 75 rpm, 80 mph analyses, as the Kaman and Strickland airfoil data differ most at high angles of attack.
Figure 7. Aerodynamic Flap Load Comparison 30 MPH, 50 RPM

Figure 8. Aerodynamic Lead/Lag Load Comparison - 30 MPH, 50 RPM
Figure 9. Flap Force Comparison, 75 RPM, 80 MPH

Figure 10. Lead/Lag Load Comparison, 75 RPM, 80 MPH
Rotational Angle Load Variations

The variation of blade loads during turbine rotation is important in assessing the time-varying nature of aerodynamic loads. Kaman has supplied output from their model showing the rotational variation of lead/lag loads for the 50 rpm, 30 mph operating condition. Figure 11 shows their results along with a single streamtube load prediction for loads at the turbine equator. The most obvious difference is in the vicinity of the 90° rotational angle, where Kaman's loads are nearly zero while the single streamtube loads are a maximum. This is apparently due to the "bullet-shaped" interior velocity profile used by Kaman, which leads to a minimum interior velocity along the turbine equator at $\theta = 90^\circ$. This is supported by Figure 12, where loads on a portion of the curved blade section above the equator (at $R/R_{\text{MAX}} = 0.82$) are shown. The difference in loads at $\theta = 90^\circ$ is apparently much less in Figure 12 as the depression in interior velocity in Kaman's model is not as severe for blade positions off the equator.

Aside from the obvious difference in load magnitude exhibited by these two models, the frequency content of the time-varying loads is fundamentally different. The single streamtube model predicts basically a two-per-revolution excitation for this operating condition, while the Kaman loads are dominated by four-per-revolution components. This difference is important in determining which vibrational modes are likely to be excited by the aerodynamic loads.

Summary and Concluding Remarks

Three models designed to predict aerodynamic blade loads for Darrieus Turbines have been compared at two different operating conditions. With regard to flap loading, all three models are in fair agreement with each other at both operating conditions. For lead/lag loading, the single and multiple streamtube models predict similar results. The Kaman model, however, yields substantially lower magnitude with higher frequency content for the lead/lag loads, particularly along the curved blade section.

As none of these models are exact representations of the actual turbine aerodynamics, it is not possible, without some experimental data, to select the most appropriate model. Thus, the 17 m turbine should be designed with appropriate safety factors so the upper and lower bounds of predicted loads may be accommodated. The eventual collection of experimental data on the 17 m turbine should give the best guidance for selecting the most accurate model, so future designs may benefit from more certain load predictions.
Figure 11. Lead/Lag Load Variations at $R/R_{\text{MAX}} = 0.82$, 50 RPM, 30 MPH

Figure 12. Lead/Lag Load Variations at Turbine - Beltline, 50 RPM, 30 MPH

5 Meter Turbine Performance

During the previous two quarters, experimental data on the aerodynamic performance of the 5 m turbine have been collected. This section summarizes the current results of the test program. Utilizing the "method of bins," [2, 8] torque-wind speed characteristics were obtained for the 5 m turbine operating in a two-bladed configuration at rotational speeds of 87.5 rpm, 137.5 rpm, 150 rpm, and 175 rpm. This information was used to deduce the power coefficients
\[ C_p = \frac{P}{1/2 \rho A V^3} \] as a function of the tip speed ratio \( R \omega / V \) and \[ K_p = \frac{P}{1/2 \rho A (R \omega)^3} \] as a function of the advance ratio \( V / R \omega \). The results indicate a somewhat poorer performance than expected from comparison with wind tunnel test data for the 2 m turbine. It appears from aerodynamic model calculations that the discrepancy can be explained qualitatively by the poor aerodynamic properties of the straight blade sections on the 5 m turbine.

**Test Setup**

Figure 13 shows a component diagram of the 5 m turbine system. The turbine is connected to an induction machine through fixed ratio gears. Nominal rotational speed of the turbine is determined by the synchronous speed of the induction machine and the gear ratio employed. The induction machine can act as either a motor, delivering power to the turbine from the utility line, or a generator, delivering power to the utility line from the turbine.

![Diagram of the 5-m Turbine System](image)

Figure 13. The 5-m Turbine System

Use of an induction machine poses two potential problems: (1) rotational speed is not constant due to the torque-slip characteristic of the machine and (2) torque measurements include the inertial effects of the varying rotational speed. The first problem is not of practical consequence; it has been found that turbine rotational speed varies within about 2 rpm of nominal speed. The second problem is more serious since inertial torques can be substantial in a rapidly changing wind environment. It has been found, however, from model system computations that this effect tends to average out if a sufficiently large number of samples are taken.

Figure 13 implies that the torque meter measures not only the torque due to aerodynamic forces but also friction, in the turbine shaft bearings. Friction torque can represent in some cases a significant fraction of the torque meter reading and must be taken into account to obtain an accurate estimate of turbine performance. A series of tests were performed in which the shaft without the blades was rotated. Weights were attached equal to the weight of the blades. It was found that friction torque decreased slightly with time, presumably due to warmup of the bearing grease.

Wind speed measurements were taken at two locations using cup-type anemometers. The first location was about two turbine diameters in the general up-wind direction from the turbine shaft at a height corresponding to the midpoint of the turbine. The second location was atop the turbine outrigger which necessitated a wind shear correction factor of 0.92 based on referring the measurements to the turbine midpoint by the usual 1/7 power law.
Data Reduction

A sample strip chart record of torque and wind speed as functions of time is shown in Figure 14. Such records have been hand digitized for input to the data reduction code BINS. This code samples torque and wind speed at a specified constant increment of time using straight-line interpolation between digitized points. All torque samples corresponding to wind speed samples which fall in a given wind speed range (i.e., bin) are averaged. The torque samples are corrected by adding a value of friction torque to obtain the turbine shaft torque due to aerodynamic forces. A wind speed distribution is derived by dividing the number of samples in each bin by the total number of samples. Figures 15 through 22 show the wind speed frequency distribution and torque as functions of wind speed. Note that each of those figures is derived from data collected on several days which accounts for the multimodal shapes of the wind speed frequency distributions. Torques corresponding to low values of wind speed frequency should be considered suspect since the torque average is based on relatively few samples.

![Graph showing torque and wind speed](image)

Figure 14. Sample Record of Torque and Wind Speed
Figure 15. Wind Speed Frequency Distribution, 87.5 RPM

Figure 16. Average Torque, 87.5 RPM

Figure 17. Wind Speed Frequency Distribution, 137.5 RPM

Figure 18. Average Torque, 137.5 RPM
Figure 19. Wind Speed Frequency Distribution, 150 RPM

Figure 20. Average Torque, 150 RPM

Figure 21. Wind Speed Frequency Distribution, 175 RPM

Figure 22. Average Torque, 175 RPM
Power Coefficients

The information in Figures 15 through 22 can be used to deduce the power coefficients $C_p = P/1/2\rho A(R\omega)^2$ as a function of the tip speed ratio $R\omega/V$ and $K = P/1/2\rho A(R\omega)^3$ as a function of the advance ratio $V/R\omega$. Figures 23 through 30 show the power coefficient characteristics as functions of the Reynolds number, $Re$, which is based on the turbine tip speed $R\omega$ and the blade chord $c$. The power coefficient $K_p$ is presented for three reasons: (1) $K_p$ shows that power reaches a maximum with respect to wind speed when the turbine rotational speed is constant, (2) $K_p$ is a more useful characteristic of turbine performance for turbines operating in the synchronous mode and (3) large errors in tip speed ratio can occur due to errors in measuring the wind speed.

The 5 m turbine appears to have poorer performance than expected. This is based on extrapolation of wind tunnel test data for the 2 m turbine [2,9]. For example, maximum power coefficient $C_{p_{max}}$ for the 5 m turbine operating 175 rpm was found to be about 0.2, while wind tunnel test results indicate that $C_{p_{max}}$ should exceed 0.3. Calculations have been performed with the multiple-streamtube aerodynamic model in an attempt to understand the decrease performance. One difference between the two turbines is that the straight blades on the 5 m turbine consisting of roll-formed sections are of poor and unknown aerodynamic quality while the 2 m turbine consists entirely of blades of NACA 0012 crosssection.

Aerodynamic characteristics of the straight blade were assumed to be those of a flat plate. The specific geometry consisted of a thickness that was nine percent of the chord, cylindrical leading edge, and a sharp trailing edge like a wedge with an included angle of $6^\circ$. The minimum drag coefficient for this section is 0.04, which is about five times that of the NACA 0012, and has an axial force coefficient which is negative for angles of attack less than $90^\circ$. Figure 31 presents the calculations for this flat plate airfoil. The curve labeled "low drag" uses NACA 0012 data for the straight section and the curve labeled "high drag" uses the flat plate data. The computed peak power coefficient is reduced from 0.38 to 0.28. Inclusion of wind shear reduces the peak power coefficient even further to 0.26. This is still above the measured value of 0.2. The current computer model is not valid in an absolute sense, rather it tends to overpredict. The model calculations, however, give a good qualitative explanation of the 5 m performance. The effect of the straight blade aerodynamics appear to be more significant than was previously thought.

Another factor contributing to the poor performance is the condition of the curved blades. Numerous pimple-like blemishes were found on the fiberglass skin. One blade showed evidence of a delamination which resulted in a considerable change in the airfoil crosssection. In particular, the section was no longer symmetrical.
Figure 23. Power Coefficient $C_p$ (Re = $2.6 \times 10^5$)

Figure 24. Power Coefficient $C_p$ (Re = $4.0 \times 10^5$)

Figure 25. Power Coefficient $C_p$ (Re = $4.4 \times 10^5$)

Figure 26. Power Coefficient $C_p$ (Re = $5.1 \times 10^5$)

Figure 27. Power Coefficient $K_p$ (Re = $2.8 \times 10^5$)

Figure 28. Power Coefficient $K_p$ (Re = $4.0 \times 10^5$)
Figure 29. Power Coefficient $K_p$ (Re = $4.4 \times 10^5$)

Figure 30. Power Coefficient $K_p$ (Re = $5.1 \times 10^5$)

Figure 31. Comparison of Theory and Data for 5 m Turbine at 175 RPM
III. ELECTRICAL SYSTEMS AUTOMATIC CONTROL AND DATA ACQUISITION

The goal of automatic control and data acquisition for the wind turbine program is to reduce manpower costs of operation by having cost effective automation capable of operating and monitoring the wind turbine system independently of on-site personnel. To achieve this goal Sandia Laboratories' Wind Turbine Test Facility is equipped with a microprocessor system and peripheral electronic devices which interface the processor with the turbine's power systems, operating sensors, anemometers, and output recording devices. The microprocessor is a recent development in solid state electronics which enables a miniature but complete digital computer to be built in the form of integrated circuits in a very small, inexpensive package.

The equipment in the test facility consists of a TI Silent 700 teleprinter, a set of control and instrumentation racks, and a power control console. The microprocessor based automation is achieved with a paper tape punch, reader, an Intel Intellec-80 microcomputer, and an ICOM dual floppy disk magnetic data storage system. Signal conditioning is performed by a DORIC 100-channel analog-to-digital converter. The power control console contains all operating controls and instrumentation for the 5-hp induction machine to operate the 5-meter VAWT.

Figure 32 is a simplified block diagram of a microprocessor system for automatic control of the VAWT. In essence the microprocessor is an automatic operator which runs the start motor, generator, or brakes as a function of the wind conditions and the state of the VAWT.
Figure 33 shows a simplified program flowchart for the microprocessor VAWT control. The advantage of this approach is that the operation is governed by software written into a programmable read only memory (PROM) which can be programmed to carry out all the required measurements and performance checks to ensure safe, reliable, and efficient operation of the wind turbine. Changes to the program can be made simply by erasing the PROM and reprogramming it.

![Flowchart](image)

**Figure 33. Microprocessor Program Flowchart for VAWT**

Basically, the program shown in Figure 33 determines when to run the VAWT based on wind speed history. If sufficient wind speed is observed for a sufficient time then the turbine is started. If the wind's speed falls below an acceptable level for more than a prescribed time the turbine is shut down. Both wind speed and time criteria for running are programmable and different values may be chosen depending on the site and particular turbine characteristics. Once the turbine is running the microprocessor monitors sensors such as bearing and generator temperature structural vibration, turbine shaft speed, and electrical power. If an unusual condition is observed the system will notify responsible personnel. If a malfunction occurs, the system will execute an
orderly shutdown. A microprocessor wind turbine controller which displays the above functions was demonstrated on May 17-20, 1976, at the vertical Axis Wind Turbine Technology Workshop.

Figure 34 displays block diagrams of wind instrumentation systems based on the conventional manual method and an automated data acquisition approach. In the manual approach the anemometer and wind turbine torque data are stored on a strip chart recorder. The chart is then digitized and the data is stored on magnetic tape for input to a computer for data processing and output plotting. This approach has a number of severe drawbacks. First, the strip chart recording is not appropriate for taking accurate data over extended periods of time. The amount of chart paper required for long runs is enormous and the accuracy obtained is inversely related to the paper’s speed because of ink smear and noise. Once the long lengths of recordings are made the information must be digitized for computer processing. This procedure requires extensive, time consuming, manual labor.

![Instrumentation Diagram](image.png)

Figure 34. Block Diagrams of Wind Instrumentation Systems

The automated approach alleviates the above problems by performing the answer to digital (A/D) conversion directly from the test instruments. The digital data is processed by a microprocessor and recorded onto a paper tape, magnetic cassette and fed directly to a large computer via a phone link. A further step may be taken by using digital instruments to eliminate the A/D converter entirely.
IV. STRUCTURES

Modal Analysis

Further work on the selection of the dominant modes of the VAWT has been done. In addition to the previously reported norm used in the selection procedure for the dominant modes, new norms which measure the contribution of the individual modes to the overall system's kinetic, potential, and total energy have been derived and programmed. Actual modal ordering will be completed once the loads analysis becomes available.

For check out of the procedures for calculating the modal participation norms, loads were obtained for the blades under the conditions for 60 mph wind at a rotational speed of 45.5 rpm. Loads for the struts were obtained from the loads on the straight sections of the blades using appropriate symmetry conditions. Mode shapes and frequencies for the VAWT to use with the loads were obtained at zero rpm because a nonlinear analysis at 45.5 rpm was not available to use to obtain initial tensions in the blades and struts due to centrifugal forces.

The results of the participating modal norm analysis showed that by far the most energy absorbing mode is the first chord-wise mode at 3.182 Hz. The mode ranked first with each norm and its ranking is not expected to change when initial tensions are applied to the blades. The next important mode is flatwise motion of the straight sections of the blades. However, the results are questionable because initial tension will change the results.

Blade Buckling Studies, 17 Meter

A buckling analysis of the 17 m wind turbine blade has been initiated. It is assumed that the worst condition is in the parked condition and is due to aerodynamic loads. The blade analyzed is upwind of the tower.

Two methods to study the buckling behavior are being used in parallel. The first uses the general purpose finite element code which has been described in previous Technical Quarterly Reports. This includes all large displacement effects. The second method is a closed-form approach using Castigliano's theorem. It also considers the straight-sections and the struts to behave as beam-columns. Both methods assume material linearity.

Though there are no definitive results at the moment, there are indications that if there are problems, they will occur in either the straight or strut sections of the blade.
Suggested Method for Establishing Fatigue Life of 17 Meter VAWT Blades

The material used to carry loads in the 17 m VAWT blades is extruded aluminum 6061-T6. Little data exists on the fatigue properties of 6061-T6 for varying amounts of mean and alternating stress. However, with the aid of MIL-HDBK-5B, [10] a good approximation for its behavior can be obtained.

Page 3-185 of MIL-HDBK-5B contains a figure depicting a constant-life diagram for the fatigue behavior of unnotched wrought 6061-T6 aluminum alloy. The ultimate tensile strength of this alloy is 44,300 psi as opposed to 38,000 psi for extruded 6061-T6. From the referenced figure, Table III can be constructed. This table gives fatigue strength versus number of cycles at zero mean stress.

TABLE III

Unnotched Wrought 6061-T6

<table>
<thead>
<tr>
<th>Fatigue Strength (psi)</th>
<th>N (No. of Cycles)</th>
</tr>
</thead>
<tbody>
<tr>
<td>32700</td>
<td>$10^4$</td>
</tr>
<tr>
<td>24200</td>
<td>$10^5$</td>
</tr>
<tr>
<td>18800</td>
<td>$10^6$</td>
</tr>
<tr>
<td>13800</td>
<td>$10^7$</td>
</tr>
</tbody>
</table>

Using this table and the ultimate strength (1 cycle), a S-N diagram can be drawn, Figure 35. Wrought 6061-T6 is the upper curve in this figure.

The curve for extruded 6061-T6 is obtained in the following manner. The operational limit for infinite life ($10^7$ cycles) of 6,000 psi is assumed. This is based on Kaman Aerospace rotor experience with initially unnotched extruded 6061-T6. The effect of notches and holes is apparently included in this experience factor. The lower limit (1 cycle) is equal to the ultimate strength of extruded 6061-T6. Using these end points and the fact that the shape of the S-N curve for extruded 6061-T6 should be the same as the unnotched wrought 6061-T6, the lower curve in Figure 35 can be drawn. Also used is the fact that the curve slope should approach zero at infinite cycles. Table IV can now be constructed from this curve. Also included in Table IV are data received via personal communication from Kaman on the fatigue strength obtained using a similar method. Since the data received from Kaman (second column, Table II) is always less than the data obtained from the Sandia curve, it is suggested that the Kaman data be subsequently used in order to obtain a lower limit on fatigue life.
Figure 35. Fatigue Strength vs Number of Cycles

<table>
<thead>
<tr>
<th>Extruded 6061-T6</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fatigue Strength (psi)</td>
</tr>
<tr>
<td>Sandia Curve</td>
</tr>
<tr>
<td>30500</td>
</tr>
<tr>
<td>23700</td>
</tr>
<tr>
<td>14900</td>
</tr>
<tr>
<td>9100</td>
</tr>
<tr>
<td>6000</td>
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</tbody>
</table>

A Goodman diagram [1] is now constructed using the data from Table II. This diagram, shown in Figure 36, relates an allowable alternating stress versus mean stress for a specified life (number of cycles). For each specified number of cycles a straight line is drawn from the allowable alternating stress at zero mean stress to the ultimate tensile strength (zero alternating stress). In reality this line is curved (same end points) with the data lying above the straight line. A commonly used curved line which lies close to test data is the Gerber line. However, use of the Gerber line results in a less conservative design, and it is suggested that it not be used in 17 m VAWT blade design.

It should be noted at this time that $10^7$ cycles translates into about 3,300 hours at 50 rpm (1 stress cycle per revolution). Hopefully the turbine blades are expected to last 20 years of operation, and 3,300 hours is but a small portion. The curve in Figure 36 labeled $10^7$ cycles can be
replaced by an identical curve labeled by a significantly higher number (i.e., $10^9$ cycles). This is due to the fact that for most engineering materials, aluminum included, a S-N curve, such as that shown in Figure 35, is quite flat for cycles greater than $10^7$.

![Figure 36. Goodman Diagram, Extruded 6061-T6](image)

The curves in Figure 36 should be used to predict fatigue life during turbine operation utilizing a cumulative damage theory. The simplest of these theories and also the one which is commonly used by helicopter rotor manufacturer's [12] has been denoted as Miner's Cumulative Damage Theory. [13] Reference 3 states this theory:

All applied cycles of stress above an endurance limit produce fatigue damage. Furthermore, the fractional life reduction is calculable as the summation of ratios of applied cycles ($n_1$) to fatigue life ($N_1$) provided each ratio is obtained at the same cyclic and steady-stress amplitudes. The fatigue life is defined as the amount of time required for the sum of these damage ratios to equal unity.

Mathematically, this states that fatigue life is "used up" when:

$$\sum_{i} \frac{n_1}{N_1} = 1$$

For example, using Figure 36, a blade which sees a mean stress of 12,000 psi and an alternating stress of 10,000 psi has a life time ($N_1$) of $10^6$ cycles. If during its proposed operational life it actually sees $10^3$ cycles ($n_1$) at this condition it uses up ($n_1/N_1 = 0.01$ of its fatigue life. It has not been clearly shown in the literature whether Miner's relation yields conservative results.
Reference 14 indicates that there is available data which indicates that the right-hand side of the above equation can vary from 0.3 to 3.0. This depends on a number of items, including material type, number of load levels, initial application of high and low stress, and randomness of the load. It is suggested that a value of unity be used for initial studies on the fatigue life of the 17 m VAWT blades for the following reasons:

1. It is a median value and easy to apply.
2. There is no present conclusive evidence that another number is better.
3. It is widely used in the helicopter rotor field.

It has been suggested that the 17 m VAWT blade fatigue life be estimated using Miner's relation and a Goodman diagram determined from an S-N curve which is based on data in MIL-HDBK-5B and data obtained from Kaman.

Turbine Base/Tower/Tiedown Components

A static structural analysis of the 17 m base/tower/tiedown system was completed this quarter. The detailed design is shown in Figure 37, and a schematic of the finite element model used for structural analysis is shown in Figure 38. The SAP IV linear, finite element structural code was used for the analytical results given here.

The major loads acting on the tower are shown in Figure 38 as $F_1$ and $F_2$. These loads are due to unbalanced aerodynamic forces acting on the turbine blade during rotation. Two specific load cases have been considered. The first is a "design" load ($F_1 = 9000$ lbs; $F_2 = 3000$ lbs). These loads correspond approximately to a 75 rpm, 80 mph runaway condition. The second ($F_1 = 5000$ lbs, $F_2 = 1500$ lbs) is a maximum "normal operating" load, corresponding to 52.5 rpm with 80 mph winds.

The aerodynamic tower resultants tend to vary as the turbine rotates, which suggests that a dynamic analysis may be more appropriate for the tower components. It is felt, however, that the high natural frequencies of the tower/base/tiedown components, relative to the aerodynamic load frequencies, make the static results meaningful, for engineering design purposes. A normal mode dynamic analysis for the complete turbine is currently being pursued and the legitimacy of using a static analysis will be checked pending completion of the dynamic analysis.

The transverse loads $F_1$ and $F_2$ dominate the response of the tower and tiedown components. In analyzing the base structure, two additional loads must be considered. These are the brake torque reaction load (50,000 ft-lbs) and the net axial load due to turbine weight and tiedown thrust (approx. 35,000 lbs). Both of these loads act at the vertex of the base.
Figure 38. Finite Element Model of Base/Tower/Tiedown System, 17 m Turbine
Table V summarizes the response of the tower and tiedown to the transverse aerodynamic loading. A cable pretension of 6,000 lb was used in these calculations. It is clear that at the design load condition, this pretension is not adequate as the downwind cable is nearly slack. A cable pretension between 8,000 and 12,000 lb will be used in the field to avoid this condition.

**TABLE V**

<table>
<thead>
<tr>
<th>Load and Deflection Data - Tower and Bearings</th>
<th>Design Load (75 rpm 80 mph)</th>
<th>Normal Operating (52.5 rpm 60 mph)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Max, Tower Deflection</td>
<td>1.2 in @ Strut Connection</td>
<td>0.67 in @ Strut Connection</td>
</tr>
<tr>
<td>Cable Tension, Preload of 6,000 lb</td>
<td>11,800 lb (upwind)</td>
<td>9,200 lb (upwind)</td>
</tr>
<tr>
<td></td>
<td>200 lb (downwind)</td>
<td>2,800 lb (downwind)</td>
</tr>
<tr>
<td>Lower Cable Bearing Side Load</td>
<td>2,798 lb</td>
<td>1,533 lb</td>
</tr>
<tr>
<td>Upper Cable Bearing Side Load</td>
<td>6,756 lb</td>
<td>3,703 lb</td>
</tr>
<tr>
<td>Cable Bearing Thrust Load</td>
<td>13,766 lb</td>
<td>13,766 lb</td>
</tr>
<tr>
<td>Lower Base Bearing Side Load</td>
<td>9,938 lb</td>
<td>5,358 lb</td>
</tr>
<tr>
<td>Upper Base Bearing Side Load</td>
<td>21,388 lb</td>
<td>11,622 lb</td>
</tr>
<tr>
<td>Base Bearing Thrust Load Weight</td>
<td>13,766 lb + Turbine Weight</td>
<td>13,766 lb + Turbine Weight</td>
</tr>
<tr>
<td>Max, Tower Bending Moment</td>
<td>951,500 in-lb @ Strut Connection</td>
<td>499,300 in-lb @ Strut Connection</td>
</tr>
<tr>
<td>Max, Tower Bending Stress</td>
<td>3,524 PSI</td>
<td>1,849 PSI</td>
</tr>
<tr>
<td>Max, Lower Jackshaft Bending Moment</td>
<td>465,100 in-lb @ Upper Base Bearing</td>
<td>218,400 in-lb @ Upper Base Bearing</td>
</tr>
<tr>
<td>Max, Lower Jackshaft Bending Stress</td>
<td>5,660 PSI</td>
<td>3,051 PSI</td>
</tr>
<tr>
<td>Max, Upper Jackshaft Bending Moment</td>
<td>766,700 in-lb @ Upper Blade Connection</td>
<td>421,300 in-lb @ Upper Blade Connection</td>
</tr>
<tr>
<td>Max, Upper Jackshaft Bending Stress</td>
<td>10,740 PSI</td>
<td>5,686 PSI</td>
</tr>
</tbody>
</table>

*Preliminary calculations indicate that the increased axial load caused by the additional cable tension can be adequately handled by the thrust bearing and base as currently designed.
All stresses in the tower are apparently a small fraction of the yield strength of the mild steel used for these components. The most highly stressed component appears to be the upper jackshaft, where bending stresses of 10,740 psi occur under design loads.

An analysis of the main base structure indicates that the torque applied at the base vertex from the brake produces the largest bending moments in the base elements. In particular, a stress of 22,000 psi may be realized in the main support legs at the vertex due to brake twisting. This stress is believed to be conservatively high, as the actual design has gussets at the vertex which are not included in the model.

In conclusion, the base/tower/tiedown system as it is now designed can readily withstand the static loads imposed by the turbine blades. To avoid slack in the downwind cable, the cable tension should be between 8,000 to 12,000 lb.

**Turbine Tie-Down, 17 Meter**

There are two principle aspects, or phases, to the design of a cable, tiedown system for the vertical axis wind turbine. The first is that of establishing geometric, physical and mechanical properties of the system for adequate load carrying capability and tower stiffening. Important properties include the number of cables, the cable elevation angle, cable density, active cable area and cable stiffness. The second aspect of the design is that of determining initial cable tension and sag to insure sufficient blade clearance and load retention after tower deflection. These two aspects of design are actually coupled together due to the dependence of cable stiffness on initial tension. The dependence is nonlinear and therefore difficult to handle, especially when the nonlinearities become large. With proper selection of cable properties and initial tensions the nonlinear effects can be minimized, thus permitting independent treatment of the two phases. This approach will be used here.

**Phase I Design Results**

Symmetrically distributed numbers of cables have a significant erection advantage over odd, or unsymmetrically distributed numbers of cables. This is because even numbers of cables can be mounted and tensioned in pairs rather than all at once. Four cables, at equally spaced azimuth positions, were selected for the 17 meter, VAWT tower tie-down. Two cables offer stiffness in only one vertical plane, and six cables were judged to be too many for cost reasons.

The cable elevation angle was selected as that which gave a maximum horizontal stiffening effect to the blade support tower and a minimum bending moment at the base of the tower. This angle is $35^\circ$ measured from a horizontal plane.
Cable "outriggers" at the top of the tower were eliminated because of relatively small stiffening effects, undesirable translation-rotation coupling at the top of the tower and added costs. They were not needed to reduce the blade-cable strike probability because of the relatively shallow cable elevation angle of 35°.

A minimum cable–tower horizontal stiffness at the top of the tower of approximately 9,000 lb/in was established for the four-cable tie-down system. This resulted in approximately a one inch, downwind, horizontal deflection at the top of the tower in an 80 mph wind. (This is the maximum wind speed in which the turbine will be permitted to operate.) In order to meet this stiffness requirement, the following wire rope was selected.

Name and Construction: galvanized bridge strand, 7 strand
Linear Weight: 2.07 lb/ft
Active Cross Sectional Area: 0.586 in
Effective Elastic Modulus: 25,0 x 10⁶ psi
Breaking Strength: 122,000 lb

Phase II Design, Initial Tension

Because of the nonlinear coupling of cable geometry (sag) and mechanical properties with cable tension, it is possible for results of this phase to effect preliminary design. This design feedback can be eliminated, if cable tension is high enough to minimize nonlinear effects, as will be demonstrated.

There are several features of the cable tension-sag problem which are worth discussing separately. The first is the relationship between tension and midpoint sag in a cable which connects two fixed points in space. These two points are the top of the undeflected blade support tower and the ground connection, see Figure 39.

![Figure 39. Cable Geometry](image-url)
The midpoint sag in the cable is given by the parabolic approximation [15]

$$\delta_c = \frac{wc^2}{6T} \cos \alpha \tag{1}$$

where $\alpha$ is the elevation angle of the undeflected cable, $T$ is the chordwise component of the cable tension (directed along a line connecting the cable endpoints), $c$ is the chord length and $w$ is the linear weight of the cable.

Another feature of the cable tension-sag problem which is of interest is the relationship between cable midpoint sag and sag at some other point. This relationship is

$$\delta_x = \frac{4\delta_c x(x - x)}{C^2 \cos^2 \alpha}$$

where $x$ is measured as shown in Figure 39. For the cable selected for tie-down of the 17 meter turbine, midpoint sag and sag at the point closest to the passing blade are shown in Figure 40. The point on a blade which comes closest to a sagging tie-down cable lies approximately at the intersection of the straight, circular arc and strut blade sections.

![Figure 40. Initial Tension vs Sag](image-url)
The next question which arises is what happens to the tension and sag in a cable when there is relative motion between the two end points. The relative motion between the end points will be permitted by keeping the ground connection fixed and allowing horizontal motion, $\Delta c_h$, at the top of the tower in the plane of the deflected cable. When this motion occurs, part of it is due to elastic stretch (or contraction) in the cable, and part is due to a change in the cable geometry (sag). See Reference 15 for a more complete discussion. The change in chord length, $\Delta c$, is related to the change in cable tension, $\Delta T$, by

$$\Delta c = \frac{\Delta c_h \cos \alpha}{AE} \left[ \frac{c \Delta T}{AE} + \frac{\omega^2 \rho c \cos^2 \alpha}{24 (1 + b)} \frac{\Delta T (\Delta T + 2T_1)}{T_1^2 (\Delta T + T_1)^2} \right]$$

(3)

Numerical results of (3) are presented in Figure 41 for the 17 meter turbine tie-down cable where cable tension change, $\Delta T$, is shown as a function of cable chord length change, $\Delta c$, and horizontal deflection, $\Delta c_h$. Also shown is the 9000 lb/in linear cable stiffness, $K_{c}$, used in the composite tower, tie-down analysis. As indicated in the figure, nonlinear effects become increasingly larger with deflection. Also evident is that the higher the initial cable tension, the greater the permissible deflection before nonlinear effects become strong. From the figure, cables with initial tensions of 12K lbs or greater behave in a nearly linear fashion for horizontal deflections, $\Delta c_h$, up to about 1 inch.

![Figure 41. Tension Change vs Deflection](image-url)
Two additional figures are useful. Figure 42 shows the dependence of final cable tension, $T_r'$, on $\Delta c$ and $\Delta c_h$, and Figure 43 shows the dependence of the cable sag at the strike point, $\delta_p'$, on the deflections. Results in both figures are presented for various values of initial cable tension. Note, in Figure 43, that for a given initial tension, $T_i$, the final sag, $\delta_p'$, increases rapidly with displacement. This suggests that selection of initial cable tension be based on a minimum acceptable clearance between the sagging cable and a passing blade. For example, if this minimum clearance is selected as 3 feet of separation in the vertical direction, approximately 1 foot may be due to cable sag after tower deflection (the rest would be an allowance for blade deflection and rigid body separation). From Figure 43, if a horizontal tower deflection, $\Delta c_h$, of 2.5 inches is allowed (conservatively) then for a strike point sag to be 1 foot or less, the initial cable tension should be 12,000 lb or more. If a deflection, $\Delta c_h$, of 3 inches is allowed, then 16,000 lb or more of initial cable tension is required to keep the strike point sag 1 foot or less. For the 17 meter turbine, a 12,000 lb initial cable tension is selected.

![Figure 42. Final Cable Tension vs Horizontal Tower Deflection and Cable Chord Length Change](image-url)
Figure 43. Strike Point Sag vs Horizontal Tower Deflection and Cable Chord Length Change

While the initial cable tension-cable sag-tower deflection interaction can be highly nonlinear, proper selection of cable properties and initial tension for a specified performance can practically eliminate the nonlinearities. Since tower deflection, under steady state conditions, is a function of wind speed, it is possible to ease cable tension under lightwind conditions (from a tension value selected to cover all wind possibilities) thereby reducing bearing loads and life. It may also happen that undesirable dynamic effects in the cables may arise under certain operating conditions. In this case, cable tension may have to be adjusted to "detune" the cables.
V. MECHANICAL DESIGN

Low Cost Blade Fabrication Studies

The low cost blade design effort has been confined to the identification of design approaches which are consistent with the long term goals of low cost at high volume production. Several manufacturers in the metals forming areas show continuing interest although it is apparently time that we give industry some positive signal that we seriously intend to fund such activities.

The applicability of several candidate blade designs was enhanced by the revelation at the VAWT Technology Workshop (May 1975) that a quarter-chord center of gravity was not to be a strict design requirement for the blades. This allows for heavier (and consequently stronger and stiffer) aft blade structure. This, in turn, promotes a manufacturing method which had been thought earlier to be impractical, i.e., extruding a one piece blade from aluminum alloy.

It is feasible to extrude a one-piece blade with an upper state-of-the-art limit of chord length of about 30 inches and with a possible extended limit of 36 inches. The cross section of such a blade would be similar to that shown in Figure 44. After being extruded, the blade would be bent to the desired curve by incremental cold forming techniques or by the stretch-forming process. Structural reinforcements could be provided at the blade ends by adhesive bonding, but this and other end attachment schemes require further analyses.

FEATURES:
- ONE PIECE ALUMINUM EXTRUSION
- BONDED END REINFORCEMENTS
- COLD BENT TO SHAPE AT SITE
- HIGH TECHNOLOGY - HIGH VOLUME

Figure 44. Extruded Aluminum Blade
A second low-cost blade design incorporating roll-formed components is presented in Figure 45. This design was presented in the May VAWT meeting and met with acceptance by representatives of the roll-forming industry. This design has been little changed except that for structural loading and ease of fabrication considerations, it is probably advisable to increase the thicknesses of the aft structure components. The roll-forming specialists proposed a simplified manufacturing technique which eliminates the stretch-forming sequence to produce the curved portion of the blade.

![Blade With Roll-Formed Components](image)

**FEATURES:**
- ROLL FORMED COMPONENTS
- AUTOMATIC SEAM WELDS
- LOW ALLOY STEEL
- STRETCH-FORMED TO CURVE
- INVESTMENT CAST END FIXTURES
- HIGH TECHNOLOGY-HIGH VOLUME

Figure 45. Blade With Roll-Formed Components

It may be practical to produce the blade component forms desired (already in the curved shape) directly from the roll-forming machines. A present approach for items with small bending radii is to produce continuous close-pitch spiral forms which are then segmented to make items such as bicycle wheel rims. The use of this technique for producing large curved wind turbine blades is an extension of the present state-of-the-art and the industry representatives indicate optimism concerning both the technical feasibility and the economic considerations of such an approach.

A detailed comparison of the two candidate designs included is premature but a few constraints can be noted. The size limit for the extrusion process (chord of 3 feet) is offset by a similar restriction in the roll-forming industry of a raw material width (roll stock) of about 3 feet. This would permit a blade similar to that shown in Figure 45 with a chord of about 4.5 feet. From a manufacturing viewpoint, the roll-formed design is not as restricted as the extrusion design in the choice of materials. The extrusion design will be restricted to several aluminum alloys with good extrusion properties. It is anticipated that each of these designs will be acceptable from a performance standpoint, and that the economics of selection will be based on both the sizes required and the number of blades to be produced.
Both of these metal monocoque designs can be manufactured with techniques that either exist or are very near the present state-of-the-art. Both take advantage of the VAWT allowing a constant airfoil cross-section. Input from the appropriate industries has been considered for these preliminary selections, and each is a good candidate for the task at hand. While the initial cost expectations are encouraging, it remains for industry to undertake a detailed study and development program which will yield reliable cost projections. It is recommended that we fund manufacturability studies for those manufacturing specialties that are either expanding the present state-of-the-art or are unique to our requirements. These studies would be primarily concerned with the difficulties encountered in producing the curved airfoil shape.

Finally, it must be reiterated that the proposed designs are considered target designs only. Follow-on designs which meet operational requirements with lower cost are desirable. We encourage proposals from those industries involved with other manufacturing methods, especially those which incorporate nonmetal materials such as plastics, fiberglass and filament winding.

Kaman 17 Meter Blade Contract

Blades for the 17 meter turbine are being designed and fabricated by Kaman Aerospace, Bloomfield, Connecticut. During the period from April to June, 1976, several milestones were reached. Profile geometry of the blades (the blade shape when viewed in the chordwise direction) was finalized. This included placing centerlines of the blade attachment to the tower pins at a radius of 14 inches from the turbine axis for both the straight sections and the struts. Kaman changed the original profile geometry somewhat to permit approximately 13 inches of straight blade to be fabricated at each spanwise end of the circular arc section. They felt it would be easier to bond blade attachment fittings to a straight section than a curved one, thus producing a stronger joint.

Airload predictions and stress calculations were essentially completed during this period. While no serious design problems resulted from the numerical evaluation of the blade stresses for the design conditions, one design change was made to more closely satisfy one of the performance specifications. The specification of interest was a requirement that all blade natural frequencies be above 3/rev at 75 rpm + 20%; that is, above 4.5 hz. The Kaman design as originally proposed placed the lowest natural frequency (that corresponding to the first lead-lag mode) at approximately 2.5 hz. The design change made by Kaman was to replace the original 1.5" wide (chordwise direction) trailing edge spline with one 2.8" wide. This was done to add chordwise bending stiffness to the blade section and thus raise the lowest natural frequency from 2.5 hz to about 3.2 hz. While this value does not satisfy the original 3/rev at 75 rpm + 20%, it is above 3/rev at 52 rpm + 20%, where 52 rpm is the maximum synchronous operating speed to be used.

During this period the Kaman and Sandia predictions of airloads (therefore stresses) did not agree closely, especially at large values of turbine radius. Sandia’s lead-lag loads are approximately twice as large as Kaman’s predictions and our flatwise loads are about 50% higher than
Kaman's. After both Sandia and Kaman spent some time trying to resolve the disagreement, it was decided that due to the state-of-the-art of VAWT airload predictions, it would be unproductive to spend more time trying to resolve the disagreement without more field experience, and that Kaman, who has design responsibility for this set of blades, should decide which airloads to use.

Other items accomplished include initiation of ingot procurement for extrusion components, resolution of design details related to end fittings, determination of modal bending moments and establishment of tower-blade interface requirements. A new delivery schedule was given to Sandia.

<table>
<thead>
<tr>
<th>Nonrecurring engineering</th>
<th>16 July 1976</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tooling</td>
<td>7 September 1976</td>
</tr>
<tr>
<td>One set VAWT blades</td>
<td>15 December 1976</td>
</tr>
</tbody>
</table>

Design technical difficulties and Alcoa's extrusion delivery schedule are quoted as being responsible for the delay from the original delivery date of 15 October 1976.

**Mechanical Design, 17 Meter**

The base tower of the VAWT supports the VAWT weight, guy cable loads, and operating loads. The base tower is constructed of welded structural steel angle with legs of 6 x 6 x 0.5 inch angle and side braces of 4 x 4 x 0.375 inch angle. The base tower is eight feet square at the base, tapers to three feet square at the top, and is eleven feet high.

The base tower is bolted to a steel reinforced concrete base that is eleven feet square, four and one-half feet thick, and weights 80,000 pounds. The top of the base tower contains a Timken roller bearing with a 500 rpm rating of 89,500 pounds of vertical thrust and 53,000 pounds of radial loading. This bearing supports the VAWT vertical loads. Three feet below this bearing is another Timken roller bearing with a 500 rpm rating of 33,400 pounds vertical thrust and 48,500 pounds of radial loading. These bearings mount the bottom shaft of the VAWT to the base tower to form the foundation for the rest of the VAWT.

The bottom shaft is an eight foot long steel shaft with a 30.5 inch diameter flange on the top end for mounting the blade shaft, and diameters from eleven to six inches along the rest of the shaft. The shaft has an inside diameter of 2.25 inches for instrumentation cables.

The moving discs of the braking system are attached to the upper part of the bottom shaft and the stationary brake calipers are attached to the top of the base tower.

The blade shaft is bolted to the top flange of the bottom shaft at a height of fifteen feet above the concrete base, and extends beyond this point for another 53 feet to a height of 68 feet. The blade shaft is a steel tube with a twenty-inch outside diameter and one inch wall thickness. A 30.5 inch diameter flange is welded to each end of the blade shaft. The blade struts are attached
to the center of the blade shaft and the blades are attached to the top and bottom shafts. The blade struts are attached to the shaft in an absolute location while the blade attachments are provided with shims to permit vertical adjustment.

The top shaft is a seven foot long steel shaft with a 30,5 inch diameter flange on the bottom end and outside diameters which vary incrementally from eleven to eight inches along the rest of the shaft. A set of Timken roller bearings, identical to the set on the bottom shaft, supports the top shaft and the stationary bearing holder that provides an attachment for the guy cables. The top of the VAWT is 75 feet from the concrete base.

The four guy cables are attached to the top of the VAWT and to four anchors of steel reinforced concrete, which cause the cables to make an angle of 35 degrees with the horizontal. The cable tension will be established at 6,000 pounds by the use of a load cell in each cable. The cables are 129 feet long and are attached to anchors 107 feet from the VAWT vertical centerline. The vertical load on the VAWT from the 4 guy cable tension loads is 14,000 pounds.

The vertical load on the thrust bearing due to the turnbuckles on the bottom radial bearing is 3,000 pounds.

The weights of the VAWT rotating parts follow:

<table>
<thead>
<tr>
<th>Item</th>
<th>Part</th>
<th>Weight-lbs</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>torque sensor</td>
<td>150</td>
</tr>
<tr>
<td>2</td>
<td>flex couplings</td>
<td>700</td>
</tr>
<tr>
<td>3</td>
<td>bottom shaft</td>
<td>2500</td>
</tr>
<tr>
<td>4</td>
<td>bearings</td>
<td>250</td>
</tr>
<tr>
<td>5</td>
<td>brake discs</td>
<td>850</td>
</tr>
<tr>
<td>6</td>
<td>blade shaft</td>
<td>11500</td>
</tr>
<tr>
<td>7</td>
<td>blades</td>
<td>2550</td>
</tr>
<tr>
<td>8</td>
<td>blade mounts</td>
<td>2100</td>
</tr>
<tr>
<td>9</td>
<td>top shaft</td>
<td>2500</td>
</tr>
<tr>
<td>10</td>
<td>shafts, pulleys, etc.</td>
<td>600</td>
</tr>
<tr>
<td></td>
<td>total</td>
<td>23700</td>
</tr>
</tbody>
</table>

The weights of VAWT components follow:

<table>
<thead>
<tr>
<th>Item</th>
<th>Component</th>
<th>Weight-lbs</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>speed increaser</td>
<td>670</td>
</tr>
<tr>
<td>2</td>
<td>right angle gearbox</td>
<td>150</td>
</tr>
<tr>
<td>3</td>
<td>generator</td>
<td>500</td>
</tr>
<tr>
<td>4</td>
<td>clutch</td>
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</tr>
<tr>
<td>5</td>
<td>motor</td>
<td>300</td>
</tr>
<tr>
<td></td>
<td>total</td>
<td>1700</td>
</tr>
</tbody>
</table>
The weights of the VAWT stationary parts follow:

<table>
<thead>
<tr>
<th>Item</th>
<th>Part</th>
<th>Weights-lbs</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>top guy cable and bearing mount</td>
<td>1450</td>
</tr>
<tr>
<td>2</td>
<td>guy cables</td>
<td>1100</td>
</tr>
<tr>
<td>3</td>
<td>brake calipers and mounts</td>
<td>1100</td>
</tr>
<tr>
<td>4</td>
<td>bearings</td>
<td>250</td>
</tr>
<tr>
<td>5</td>
<td>bottom bearing mounts</td>
<td>350</td>
</tr>
<tr>
<td>6</td>
<td>base tower</td>
<td>4000</td>
</tr>
<tr>
<td>7</td>
<td>speed increaser tower</td>
<td>500</td>
</tr>
<tr>
<td>8</td>
<td>mounting plates</td>
<td>2300</td>
</tr>
<tr>
<td>9</td>
<td>brackets, seals, etc.</td>
<td>550</td>
</tr>
<tr>
<td></td>
<td>total</td>
<td>11600</td>
</tr>
</tbody>
</table>

The total VAWT weight = 37000 lbs.

The bottom thrust bearing load follows:

<table>
<thead>
<tr>
<th>Item</th>
<th>Part</th>
<th>Load-lbs</th>
</tr>
</thead>
<tbody>
<tr>
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<td>cable tension-vertical</td>
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<td>bearing and guy cable mount</td>
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<td>total</td>
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</table>

Turbine Braking System, 17 Meter

Turbine Response to Braking -- The dynamic response of the system to a braking torque is a function of the braking torque, moment of inertia, and wind induced torque. The moments of inertia of various system components were calculated to be the following:

- Blades and Struts - 23360 sI ft²
- Turbine, shaft, and attachments - 463 sI ft² above speed increaser
- All high speed rotating equipment - 10 sI ft²
At 75 rpm the maximum wind induced torque is 40,000 ft-lb. The emergency and proportional brakes are sized to overcome this torque with each system capable of delivering 50,000 ft-lb of torque. The governing equation for the system response to the brake torque is:

\[ \frac{dw}{dt} = \frac{T_{wind} - T_{brake}}{I} \]

Several solutions to this equation are plotted in Figure 46. The "max wind" curves correspond to the condition where the wind speed is such to maximize the wind torque at the turbine RPM. The low inertia case corresponds to the decoupling of the high speed rotating equipment from the turbine shaft and the high inertia case corresponds to the coupling remaining intact. The max wind high inertia case imposes the greatest energy dissipation load on the braking system, \((2.26 \times 10^6 \text{ ft lb})\). The energy dissipating ability of a single rotor has been conservatively estimated at \(4.00 \times 10^6 \text{ ft lb}^2\). The maximum turbine deceleration occurs at the end of the brake cycle and is \(dw/dt = 2.1 \text{ rad/sec}^2\) (20 rpm/sec) in the low inertia case \((dw/dt = 1.5 \text{ rad/sec}^2\) in the high inertia case).

![Figure 46. Braking Response Curves](image)

**Mechanical Design** -- The braking system will use hydraulically-actuated disc brakes placed directly on the output shaft of the turbine above the base unit. Two identical brake rotors will be used: one for the emergency brake, and one for the proportional brake system.

The emergency brake system is designed to supply a constant preselected braking torque to the system. The system can be actuated by a signal from the turbine minicomputer or manually.
by push button contacts located both on the turbine pad and in the control room. In the event of a loss of power the system automatically actuates.

The proportional braking system can be used as an aid in synchronizing the turbine to the electrical network and for routine stopping of the turbine. It also has the capability to serve as the turbine "parking" brake. The system will supply a variable braking torque to the turbine with the torque being controlled through a potentiometer in the control room. A mechanical schematic of the braking system is shown in Figure 47. The upper half of the schematic illustrates the emergency braking system. It is designed to function as follows:

a. The system is filled with hydraulic fluid through the air fluid reservoir with Valve A in the position shown in the schematic.

b. The nitrogen bottle is attached and the system pressurized with dry nitrogen. The pressure in the line from the bottle is 200 psi. Valves C are closed and Valve H open. This allows nitrogen to flow through Regulator C establishing a pressure of 20 psi on the nitrogen side of the power booster. Valve D is in the position shown on the schematic and Valve A is in the position opposite that illustrated on the schematic. The hydraulic fluid is thus pressurized to 200 psi in the line from the booster to Valve A and is at atmospheric pressure in the brake lines.

c. This configuration is the "charged" position of the system and the pressures will remain static for extended periods of time (weeks).

d. The brakes are applied by de-energizing Valves A and C which places A in the position shown on the schematic and opens Valve C. Nitrogen at 150 psi is thus allowed to flow through Regulators A and B into the power booster. The booster pressurizes the hydraulic fluid to 1500 psi and this pressure is applied to the brakes through Valve A.

e. The brakes are released by energizing Valve A venting the brake lines to the atmosphere. The pressure in the power booster is reduced by opening Valve B and Closing Valves C and H. Valve D is then energized forcing hydraulic fluid into the system and displacing the booster ram back to its original position.

f. Valve D is de-energized, Valve B closed, and Valve H opened. This restores the system to the conditions of Step 2.

The proportional and parking brake system is completely independent of the emergency park system and is shown in the lower half of the schematic. When Valve E is in the position shown on the schematic, the system provides a braking torque proportional to the pressure modulated by Valve K. In the parking mode of operation the accumulator is first pressurized to 500 psig by controlling Valves I and K. Valve E is then de-energized and shifts into the position opposite that shown in the schematic. In this position, the accumulator supplies pressure to the brake calipers and the parking brake is set.
All valves and associated equipment for the emergency brake system will be located in the catwalk area immediately below the brake rotors with the exception of the nitrogen bottle which will be situated adjacent to the hydraulic pump on the pad. The valves, accumulator, and pressure gauges for the proportional brake system will be located adjacent to the pump at the base of the turbine.

**Electrical Design**

Figures 48 and 49 are schematics of the brake electrical system, and Figure 50 illustrates the control panel for the system. In the event of a loss of power or malfunction indication from the turbine minicomputer, the emergency brakes will be activated. Manual actuation of the emergency brakes is possible with three emergency push buttons. One of these will be located on the control console, one at the turbine base and one on the turbine catwalk. Relays on the brake circuitry monitor the condition of the brakes. The output from these relays will be used to assure that the turbine is operating in a safe condition. (For example, the turbine cannot be started if the emergency brake system is not charged.)
Figure 48. Proportional Brake Electrical System
SEVERAL CONTACTS OR CONSOLE RELEASE

\[ P_1 < 20 \quad P_3 > 120 \]

8 - CLOSED BY A SIGNAL THAT THE TURBINE IS OFF
7 - CLOSED BY A SIGNAL INDICATING MICROSWITCH IS OPEN

Figure 49. Emergency Brake Electrical System
Figure 50. Brake Control Panel
VI. VERTICAL-AXIS WIND TURBINE TECHNOLOGY WORKSHOP PROGRAM

I. PROGRAM OVERVIEWS

Federal Wind Energy Program
L. V. Divone, ERDA

Large Experimental Wind Turbines - Where We Are Now
R. L. Thomas, Lewis Research Center

Vertical-Axis Wind Turbine Program
R. H. Braasch, Sandia Laboratories

Electric Power Research Institute Program
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Structural Overview
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Structural Loads for the 17 m Darrieus Turbine
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Blade Structural Analysis  
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Tower Analysis  
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J. H. Biffo, Sandia

Effects of System Imbalance  
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Chairman's Closing Statement  
L. V. Divone, ERDA
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